# Theory of particle number correlations

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### Equilibrium: grand canonical ensemble

- describes volume V with temperature T and chemical potentials  $\mu_B$ ,  $\mu_C$ and  $\mu_S$  associated with conserved baryon, charge and strangeness numbers
- exchange of energy and particles with heat bath
- can be simulated with Lattice QCD
- all thermodynamic properties follow from

 $p(T, \mu_B, \mu_C, \mu_S)$ 

• For example mean value of net baryon number

$$M_q = \langle N_B \rangle = V \frac{\partial}{\partial \mu_B} p(T, \mu_B, \mu_C, \mu_S)$$

variance

$$\sigma_B^2 = \langle \delta N_B^2 \rangle = TV \frac{\partial^2}{\partial \mu_B^2} p(T, \mu_B, \mu_C, \mu_S)$$

skewness

$$S_B = \frac{\langle \delta N_q^3 \rangle}{\sigma_B^3} = \frac{1}{\sigma_B^3} T^2 V \frac{\partial^3}{\partial \mu_B^3} p(T, \mu_B, \mu_C, \mu_S)$$

kurtosis

$$\kappa_B = \frac{\langle \delta N_B^4 \rangle - 3 \langle \delta N_B^2 \rangle^2}{\sigma_B^4} = \frac{1}{\sigma_B^4} T^3 V \frac{\partial^4}{\partial \mu_B^4} p(T, \mu_B, \mu_C, \mu_S)$$

#### Hadron resonance gas

• Pressure for free hadrons and resonances with vacuum masses

$$p = \frac{T^2}{\pi^2} \sum_i d_i m_i^2 K_2\left(\frac{m_i}{T}\right) \cosh\left(\frac{B_i \mu_B + Q_i \mu_Q + S_i \mu_S}{T}\right)$$

Implies relations like

$$\kappa_B \sigma_B^2 = \frac{T^2 \frac{\partial^4}{\partial \mu_B^4} p}{\frac{\partial^2}{\partial \mu_B^2} p} = \frac{\langle B_i^4 \rangle}{\langle B_i^2 \rangle} = 1$$

when only baryons with  $B_i = \pm 1$  contribute.

• Similarly,

 $\kappa_B M_B = S_B \sigma_B$ 

• And for  $\mu_S = \mu_Q = 0$  one has relations like

$$S_B \sigma_B = \frac{T \frac{\partial^3}{\partial \mu_B^3} p}{\frac{\partial^2}{\partial \mu_B^2} p} = \tanh\left(\frac{\mu_B}{T}\right)$$

#### Hadron resonance gas versus experiment

 $\bullet\,$  Ratios of cumulants are independent of volume V and less sensitive to kinematic cuts

$$\frac{\chi_B^{(2)}}{\chi_B^{(1)}} = \frac{\sigma_q^2}{M_q}, \qquad \frac{\chi_B^{(3)}}{\chi_B^{(2)}} = S_q \sigma_q, \qquad \frac{\chi_B^{(4)}}{\chi_B^{(2)}} = \kappa_q \sigma_q^2$$

• Particularly well suited to compare to experiment



(Data: STAR, Lines: HRG, [F. Karsch, K. Redlich, PLB 695, 136 (2011)])

## Lattice QCD results on cumulants

- Cumulants of conserved quantum numbers can be calculated in lattice QCD. e. g. [HotQCD, PRD 86, 034509 (2012)]
- Results for

$$\frac{\chi_B^{(2)}}{T^2} = \frac{\sigma_B^2}{VT^5} = \frac{\langle \delta N_B^2 \rangle}{VT^5} \qquad \qquad \frac{\chi_S^{(2)}}{T^2} = \frac{\sigma_S^2}{VT^5} = \frac{\langle \delta N_S^2 \rangle}{VT^5}$$



# Ratios of cumulants from Lattice QCD

• From Wuppertal-Budapest collaboration [PRL 111, 062005 (2013)]

$$R^B_{42} = \frac{\chi^B_4}{\chi^B_2} = \frac{\langle \delta N^4_B \rangle}{\langle \delta N^2_B \rangle}$$

$$R^Q_{31} = \frac{\chi^Q_3}{\chi^Q_1} = \frac{\langle \delta N^3_Q \rangle}{\langle \delta N_Q \rangle}$$



More realistic description of fireball

- Ratios of cumulants of conserved quantum numbers  $N_B$ ,  $N_C$  and  $N_S$  have been proposed as particularly nice observables
- Problem 1: What is optimal range of acceptance?
  - $\bullet\,$  Full coverage for  $^{208}{\rm Pb}$   $^{208}{\rm Pb}$  : No fluctuations at all

 $N_B = 2 \times 208 = 416,$   $N_C = 2 \times 82 = 164,$   $N_S = 0.$ 

• Too small coverage: Poisson statistics

• Problem 2: Fireball is not in equilibrium

- At best approx. local equilibrium (viscous fluid dynamics) for some time
- Freeze-out corresponds to dropping out of equilibrium
- Need more differential description including dependence on rapidity and  $p_T$

# Correlation functions as generalized cumulants

- Correlation functions for conserved quantum numbers can also be studied locally
- Baryon number density fluctuations

 $C_2^{(B,B)}(t,\vec{x};t',\vec{x}') = \langle n_B(t,\vec{x}) n_B(t',x') \rangle - \langle n_B(t,\vec{x}) \rangle \langle n_B(t',\vec{x}') \rangle$ 

• Integral over equal time correlation determines variance

$$\sigma_B^2 = \langle \delta N_B^2 \rangle = \int_V d^3 x \int_V d^3 x' \; C_2^{(B,B)}(t,\vec{x};t,\vec{x}')$$

- Similar for higher order correlation functions
- Correlation functions for different thermodynamic variables can be translated

 $(\epsilon, n_B, n_C, n_S) \quad \leftrightarrow \quad (T, \mu_B, \mu_C, \mu_S)$ 

Cooper-Frye freeze-out



• Single particle distribution (Cooper & Frye 1974)

$$E\frac{dN_i}{d^3p} = -p_\mu \int_{\Sigma_f} \frac{d\Sigma^\mu}{(2\pi)^3} f_i(p;x)$$

with close-to equilibrium distribution

$$f_i(p;x) = f_i(p;T(x),\mu_i(x),u^{\mu}(x),\pi^{\mu\nu}(x),\varphi(x),\ldots)$$

• Precise position of freeze-out surface is not known. Usual assumption

$$\langle T(x) \rangle = T_{\mathsf{fo}} = \mathsf{const}$$

## Particle correlations from freeze-out

• Can be used for expectation values...

$$\left\langle E\frac{dN_i}{d^3p}\right\rangle = \left\langle -p_\mu \int_{\Sigma_f} \frac{d\Sigma^\mu}{(2\pi)^3} \, f_i(p;x) \right\rangle$$

• ... but also for correlation functions

$$\left\langle E\frac{dN_i}{d^3p}E'\frac{dN_j}{d^3p'}\right\rangle = p_{\mu}p'_{\nu}\int_{\Sigma_f}\frac{d\Sigma^{\mu}}{(2\pi)^3}\frac{d\Sigma'^{\nu}}{(2\pi)^3}\left\langle f_i(p;x)f_j(p';x')\right\rangle$$

• The right hand side involves correlation functions

$$\left\langle f_i(p;x) f_j(p';x') \right\rangle$$

between different points  $\boldsymbol{x}$  and  $\boldsymbol{x}'$  on the freeze-out surface.

- Works similar for higher order correlation functions.
- Thermal fluctuations and initial state fluctuations contribute to correlation functions

Particle correlations from field correlation functions

One can decompose

 $T(x) = \overline{T}(x) + \delta T(x),$   $\mu(x) = \overline{\mu}(x) + \delta \mu(x)$ 

and expand the distribution functions

$$\begin{aligned} f_i(p;x) = & f_i(p;\bar{T}(x),\bar{\mu}_i(x),\ldots) \\ &+ \delta T(x) \frac{\partial}{\partial T} f_i(p;\bar{T}(x),\bar{\mu}(x),\ldots) \\ &+ \delta \mu(x) \frac{\partial}{\partial \mu} f_i(p;\bar{T}(x),\bar{\mu}(x),\ldots) + \ldots \end{aligned}$$

• Two-particle correlation function governed by integral over  $\begin{array}{l} \left\langle f_i(p;x) \, f_j(p';x') \right\rangle = & f_i(p;\bar{T}(x),\ldots) \, f_j(p';\bar{T}(x'),\ldots) \\ & + \left\langle \delta T(x) \delta T(x') \right\rangle \frac{\partial}{\partial T} f_i(p;\bar{T}(x),\ldots) \frac{\partial}{\partial T} f_j(p;\bar{T}(x'),\ldots) \\ & + \left\langle \delta \mu(x) \delta \mu(x') \right\rangle \frac{\partial}{\partial \mu} f_i(p;\bar{T}(x),\ldots) \frac{\partial}{\partial \mu} f_j(p;\bar{T}(x'),\ldots) \\ & + \left\langle \delta \varphi(x) \delta \varphi(x') \right\rangle \frac{\partial}{\partial \varphi} f_i(p;\bar{T}(x),\ldots) \frac{\partial}{\partial \varphi} f_j(p;\bar{T}(x'),\ldots) \\ & + \ldots \end{array}$ 

# Critical physics

- Critical physics shows up in correlation functions
- In homogeneous space

$$\langle \varphi(\vec{x})\varphi(\vec{x}+\vec{r})\rangle \sim \frac{1}{r^{d-2+\eta}}\exp\left(\frac{r}{\xi}\right)$$

with correlation length

$$\xi \sim \frac{1}{|T - T_c|^{\nu}}$$

- Critical slowing down leads drop out of equilibrium (talk by R. Venugopalan)
- Could lead to (partial) freeze-out
- Correlation functions of net baryons, net charge etc could be sensitive to this.

# Mode-by-mode fluid dynamic description

- Correlation functions in a fireball can be expanded in Bessel-Fourier basis
- Chemical and kinetic freeze-out can be studied in that basis, as well [S. Floerchinger, U. A. Wiedemann, PRC 89, 034914 (2014)]
- Leads to differential correlation functions

$$C_{2}(\eta_{1},\phi_{1},p_{T1};\eta_{2},\phi_{2},p_{T2}) = \left\langle \frac{dN}{d\eta_{1}d\phi_{1}dp_{T1}} \frac{dN}{d\eta_{2}d\phi_{2}dp_{T2}} \right\rangle_{c}$$

and similar for higher orders  $C_3$ ,  $C_4$  etc.

- Integration over ranges of  $\eta$ ,  $\phi$  and  $p_T$  gives cumulants of particle numbers
- Should help to improve theory-experiment comparison.
- Besides thermal fluctuations, also initial state fluctuations contribute.
- Propagation of initial state fluctuations in net baryon number is sensitive to baryon diffusion i. e. heat conductivity
   IS Fluentings M. Mariage DBC 02, 064006 (2015)

[S. Floerchinger, M. Martinez, PRC 92, 064906 (2015)]

## Effective action

• Equations of motion for expectation values and correlation functions can be obtained from one-particle irreducible effective action

$$\Gamma[\Phi, T, \mu] = \int d^d x \left\{ Z \partial_\mu \Phi^* \partial^\mu \Phi + U(T, \mu, \Phi) + \ldots \right\}$$

with effective potential  $\boldsymbol{U}$  related to pressure

$$p(T,\mu) = -U(T,\mu,\Phi)$$

- Also viscous fluid dynamics can be described in this way [S. Floerchinger, 1603.07148]
- Correlation functions can be obtained from functional derivatives of  $\Gamma$ .
- Well understood for order parameter fields less understood for thermodynamic / fluid dynamic fields.

# Effective action

- The effective potential or pressure  $p(T, \mu)$  contains information about equation of state and can be determined by Lattice QCD.
- $\bullet\,$  Other elements of  $\Gamma$  can be calculated by
  - analytically continued LQCD results
  - perturbation theory
  - functional renormalization
  - classical simulations
  - universal critical physics
  - model calculations, ...
- Effective actions can be very helpful to think about physics.
- For QCD, the microscopic theory is very well known and heavy ions collisions provide a chance to investigate resulting effective action  $\Gamma$
- $\bullet\,$  Challenge for theory will be to calculate effective action  $\Gamma$  for QCD
- Challenge for phenomenology is to constrain  $\Gamma$  from observables.

Initial state fluctuations in baryon number and their evolution

Evolution of baryon number in fluid dynamics

• Small perturbation in static medium with  $u^{\mu}=(1,0,0,0)$ 

$$\frac{\partial}{\partial t}\delta n(t,\vec{x}) = D\vec{\nabla}^2 \delta n(t,\vec{x})$$

• Baryon number diffusion constant

$$D = \kappa \left[ \frac{nT}{\epsilon + p} \right]^2 \left( \frac{\partial(\mu/T)}{\partial n} \right)_{\epsilon}$$

• Heat capacity  $\kappa$  appears here because

baryon diffusion	_	heat conduction
in Landau frame	_	in Eckart frame

• Is D finite for  $n \to 0$  ?

## Heat conductivity

- Heat conductivity of QCD rather poorly understood theoretically so far.
- From perturbation theory [Danielewicz & Gyulassy, PRD 31, 53 (1985)]

$$\kappa \sim \frac{T^4}{\mu^2 \alpha_s^2 \ln \alpha_s} \qquad (\mu \ll T)$$

• From AdS/CFT [Son & Starinets, JHEP 0603 (2006)]

$$\kappa = 8\pi^2 \frac{T}{\mu^2} \eta = 2\pi \frac{sT}{\mu^2} \qquad (\mu \ll T)$$

• Baryon diffusion constant D finite for  $\mu \to 0$  !

• Evolution of baryon number density from conservation law

$$u^{\mu}\partial_{\mu}n + n\nabla_{\mu}u^{\mu} + \nabla_{\mu}\nu^{\mu} = 0$$

• Diffusion current  $u^{lpha}$  determined by heat conductivity  $\kappa$ 

$$\nu^{\alpha} = -\kappa \left[\frac{nT}{\epsilon + p}\right]^2 \Delta^{\alpha\beta} \partial_{\beta} \left(\frac{\mu}{T}\right)$$

#### Bjorken expansion

• Consider Bjorken type expansion

$$\partial_{\tau}\epsilon + (\epsilon + p)\frac{1}{\tau} - \left(\frac{4}{3}\eta + \zeta\right)\frac{1}{\tau^2} = 0$$
$$\partial_{\tau}n + n\frac{1}{\tau} = 0$$

- Heat conductivity  $\kappa$  does not enter by symmetry argument
- Compare ideal gas to lattice QCD equation of state [Borsanyi et al., JHEP 08 (2012) 053]



## Perturbations around Bjorken expansion

- Consider situation with  $\langle n(x)\rangle = \langle \mu(x)\rangle = 0$
- Local event-by-event fluctuation  $\delta n 
  eq 0$
- Concentrate now on Bjorken flow profile for  $u^{\mu}$
- Consider perturbation  $\delta n$

$$\partial_{\tau}\delta n + \frac{1}{\tau}\delta n - D(\tau)\left(\partial_x^2 + \partial_y^2 + \frac{1}{\tau^2}\partial_{\eta}^2\right)\delta n = 0$$

• Structures in transverse and rapidity directions are "flattened out" by heat conductive dissipation

## Solution by Bessel-Fourier expansion

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• Expand perturbations like

$$\delta n(\tau, r, \phi, \eta) = \int_0^\infty dk \, k \sum_{m=-\infty}^\infty \int \frac{dq}{2\pi} \, \delta n(\tau, k, m, q) \, e^{i(m\phi + q\eta)} J_m(kr)$$

Leads to ODE

$$\partial_{\tau}\delta n + rac{1}{ au}\delta n + D( au)\left(k^2 + rac{q^2}{ au^2}
ight)\delta n = 0.$$

• For q=0 and different  $kpprox 1/{
m fm}$ , AdS/CFT value  $\kappa=8\pi^2rac{T}{\mu^2}\eta$ 



### Evolution of perturbations

• For k = 0 and different q = 1, 3, 5, AdS/CFT value  $\kappa = 8\pi^2 \frac{T}{\mu^2} \eta$ 



• At  $\tau = 10 \text{fm/c}$ 



• Only long-range fluctuations survive diffusive damping.

## Fluctuations at freeze-out

- Background-perturbation splitting can also be used at freeze-out
- Interesting observable is net baryon number

$$n(\phi,\eta) = (B - \bar{B})(\phi,\eta)$$

- Correlation functions and distributions contain information about baryon number fluctuations
- Two-particle correlation function of baryons minus anti-baryons

 $C_{\text{Baryon}}(\phi_1 - \phi_2, \eta_1 - \eta_2) = \langle n(\phi_1, \eta_1) \, n(\phi_2, \eta_2) \rangle_c$ 

### Baryon number correlation function

• In Fourier representation

$$C_{\mathsf{Baryon}}(\Delta\phi,\Delta\eta) = \sum_{m=-\infty}^{\infty} \int \frac{dq}{2\pi} \, \tilde{C}_{\mathsf{Baryon}}(m,q) \, e^{im\Delta\phi + iq\Delta\eta}$$

heat conductivity leads to exponential suppression

$$\tilde{C}_{\mathsf{Baryon}}(m,q) = e^{-m^2 I_1 - q^2 I_2} \left. \tilde{C}_{\mathsf{Baryon}}(m,q) \right|_{\kappa=0}$$

•  $I_1$  and  $I_2$  can be approximated as

$$\begin{split} I_1 &\approx \int_{\tau_0}^{\tau_f} d\tau \; \frac{2}{R^2} \; \kappa \left[ \frac{nT}{\epsilon + p} \right]^2 \left( \frac{\partial(\mu/T)}{\partial n} \right)_{\epsilon} \\ I_2 &\approx \int_{\tau_0}^{\tau_f} d\tau \; \frac{2}{\tau^2} \; \kappa \left[ \frac{nT}{\epsilon + p} \right]^2 \left( \frac{\partial(\mu/T)}{\partial n} \right)_{\epsilon} \end{split}$$

•  $I_2 \gg I_1$  would lead to long-range correlations in rapidity direction ("baryon number ridge")