

*Composite chiral fermions from the  
renormalization group*

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## *Remaining problems of the standard model*

- Standard model of elementary particle physics works surprisingly well.
  - Seems to describe all measurements at the LHC so far.
  - Contains 18 free parameters (without neutrino masses)
    - 3 gauge couplings for  $U(1)$ ,  $SU(2)$  and  $SU(3)$
    - 1 Higgs field vacuum expectation value
    - 1 Higgs field self coupling
    - 3 lepton masses
    - 6 quark masses
    - 3 CKM mixing angles + 1 phase
- 13 out of 18 parameters are determined by the Yukawa couplings.
- Open questions are:
    - Why are there three generations?
    - What explains the Yukawa-coupling hierarchy between generations?
    - What gives mass to neutrinos?
    - What determines the Higgs VEV? (Hierarchy problem)

## *Are leptons and quarks composite?*

- It seems plausible that there is some structure underlying the standard model that explains the Yukawa couplings.
- Quarks and leptons before electroweak symmetry breaking are chiral: left-handed and right-handed fields in different gauge representations
- Chiral symmetry forbids a mass term.
- Can chiral fermions be composite?
- In principle yes, there is at least no good argument against it.
- Some constraints come from anomaly matching [’t Hooft (1979)].
- However, a formalism to describe this and to determine whether chiral bound states form in a given theory, is lacking.
- For example it is clear that Schrödinger’s equation cannot be used.

## *Constituents have not been found so far...*

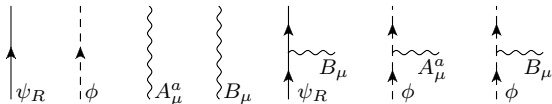
- If leptons and quark consist of more elementary constituents the question arises why these have never been found.
- In principle a confining theory with strong interactions at a very high energy scale could do the job.
- Can only work if this theory has unbroken chiral symmetry in contrast to QCD.

There is no obvious candidate for a theory underlying the standard model so let us sharpen knives by asking some questions on the standard model itself.

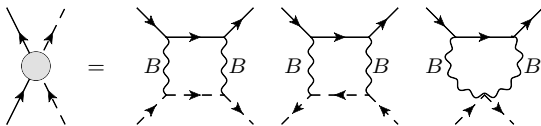
## Right-handed fermions and scalar bosons

Start from

- right-handed lepton  $\psi_R$ : SU(2) singlet, U(1)<sub>Y</sub> charge  $g'$
- mass-less scalar boson  $\phi$ : SU(2) doublet, U(1)<sub>Y</sub> charge  $-\frac{1}{2}g'$
- gauge fields  $B_\mu$  for U(1)<sub>Y</sub> and  $A_\mu^a$  for SU(2)



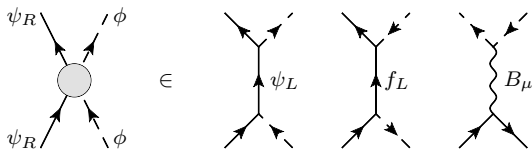
Quantum fluctuations induce fermion-boson vertex  $\lambda_{\phi R}$



- all particles in the loop are mass-less
- perturbative one-loop contributions linearly infrared divergent

# Composite fields

- What can be composite particles of  $\psi_R$  and  $\phi$ ?
- Or: What substructures can fermion-boson vertex  $\lambda_{\phi_R}$  have?



- left handed lepton  $\psi_L$ : SU(2) doublet, U(1)<sub>Y</sub> charge  $\frac{1}{2}g'$
  - left-handed fermion  $f_L$ : SU(2) doublet, U(1)<sub>Y</sub> charge  $\frac{3}{2}g'$
  - vector boson of  $B_\mu$  type
- $\psi_R$  and  $\phi$  have opposite U(1)<sub>Y</sub> charge or attractive interaction, in favor of bound state  $\psi_L$

## *Fermionic Hubbard-Stratonovich transformation*

- perform Hubbard-Stratonovich transformation with respect to the attractive channel
- field for  $\psi_L$  is introduced as auxiliary field with quadratic “Lagrangian”

$$\mathcal{L}_{\text{HS}} = i(\bar{\psi}_L - \bar{\xi}_L) \bar{\sigma}^\mu D_\mu q_L (-D_\nu D^\nu) (\psi_L - \xi_L)$$

- $D_\mu$  is covariant derivative appropriate for  $\psi_L$
- $\xi_L$  is quadratic in right-handed fermion and scalar fields,  $\xi_L \sim \phi\psi_R$
- the function

$$q_L(p^2) = 1 + \nu_L^2/p^2$$

contains a non-local mass  $\nu_L$

- for large  $\nu_L$  the fermion  $\psi_L$  is heavy and plays no role

## Effective theory after HS transformation

- Right-handed fermions as before, standard kinetic term.
- Left-handed fermions with kinetic term and non-local mass term  $\nu_L$

$$\begin{aligned}\mathcal{L}_{\psi_L} = & i (\bar{\psi}_L)_{\dot{a}} (\bar{\sigma}^\mu)^{\dot{a}b} (\partial_\mu - i A_\mu^a t_L^a - i B_\mu y_L) (\psi_L)_b \\ & + i \nu_L^2 (\bar{\psi}_L)_{\dot{a}} \left( [\sigma^\mu D_\mu]^{-1} \right)^{\dot{a}b} (\psi_L)_b\end{aligned}$$

- Yukawa interactions

$$\mathcal{L}_{\text{Yukawa}} = -h \left[ (\bar{\psi}_L)_{\dot{a}} \phi (\psi_R)^{\dot{a}} + (\bar{\psi}_R)^a \phi^\dagger (\psi_L)_a \right].$$

- Boson-Fermion interaction vertex

$$\mathcal{L}_{\phi R} = i (\bar{\psi}_R)^a \phi^\dagger \lambda_{\phi R} (-D^\nu D_\nu) (\sigma^\mu)_{ab} D_\mu \phi (\psi_R)^{\dot{b}}$$

- Kinetic terms for scalars and gauge fields as before.



## Adapting parameters

- Boson-fermion vertex has two contributions

$$\lambda_{\phi R} = (\lambda_{\phi R})_{\text{loops}} - \frac{h^2}{p^2 + \nu_L^2}$$

- first term generated by radiative corrections / loops
- second term from HS transformation
- Idea is now to adapt  $h$  and  $\nu_L$  such that  $\lambda_{\phi R} = 0$ .
- One-loop calculation with IR cutoff  $\Lambda$  gives

$$(\lambda_{\phi R})_{\text{loops}} = \frac{g'^4}{16\pi^2} \left[ \frac{1}{4\Lambda^2} - p^2 \frac{7}{12\Lambda^4} + \mathcal{O}(p^4) \right].$$

which cancels to the given order in  $p^2$  for

$$h_\Lambda^2 = \frac{3g'^4}{448\pi^2}, \quad \nu_{L,\Lambda}^2 = \frac{3}{7}\Lambda^2.$$

- for  $g'^2 = \alpha \frac{4\pi}{\cos^2 \theta_W}$  with the fine structure constant  $\alpha(M_Z) = 1/128$  and  $\sin^2 \theta_W(M_Z) = 0.23126$  one finds  $h_\Lambda = 0.0033$
- surprisingly close to Yukawa coupling of  $\tau$ -lepton  $h_\tau = 0.0072$
- non-local mass  $\nu_L$  vanishes for  $\Lambda \rightarrow 0$

## *Exact flow equation with HS transformation*

For functional RG study one needs flow equation that implements  $k$ -dependent HS transformation [Floerchinger & Wetterich, PLB 680, 371 (2009), see also Gies & Wetterich (2002), Pawłowski (2007)]

$$\begin{aligned}\partial_k \Gamma_k &= \frac{1}{2} \text{STr} \left\{ (\Gamma_k^{(2)} + R_k)^{-1} (\partial_k R_k - R_k (\partial_k Q^{-1}) R_k) \right\} \\ &\quad - \frac{1}{2} \overleftarrow{\Gamma}_k^{(1)} (\partial_k Q^{-1}) \overrightarrow{\Gamma}_k^{(1)}\end{aligned}$$

- exact flow equation that generalizes Wetterich equation
- $\Gamma_k^{(1)}$  is functional derivative with respect to the composite field
- $\partial_k Q^{-1}$  can be chosen arbitrary
- works also for fermionic composite fields

## Regulator functions

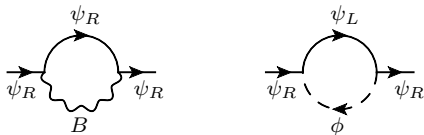
- all relevant diagrams are UV finite
- simple IR regulators are sufficient

$$\begin{aligned}\Delta\mathcal{L}_k &= -i k^2 (\bar{\psi}_L)_{\dot{a}} \left( [\sigma^\mu \partial_\mu]^{-1} \right)^{\dot{a}b} (\psi_L)_b \\ &\quad - i k^2 (\bar{\psi}_R)^a \left( [\bar{\sigma}^\mu \partial_\mu]^{-1} \right)_{a\dot{b}} (\psi_R)^{\dot{b}} \\ &\quad + k^2 \phi^\dagger \phi \\ &\quad - k^2 \frac{1}{2} (A^{a\mu} A_\mu^a + B^\mu B_\mu) + k^2 \bar{c}^a c^a\end{aligned}$$

- regulator functions break gauge invariance
- results presented in the following are for fixed gauge: Feynman gauge

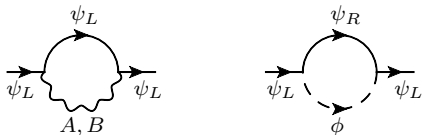
## Flow equations for anomalous dimensions

- anomalous dimension right-handed fermions



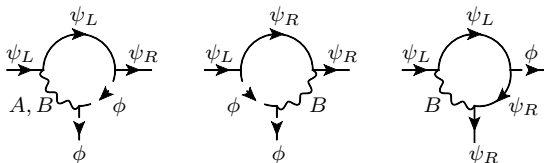
$$(\eta_R)_{\text{loops}} = \frac{1}{16\pi^2} \left[ 4g'^2 + 2h^2 \frac{k^2}{\nu_L^2} \ln \left( \frac{k^2 + \nu_L^2}{k^2} \right) \right]$$

- anomalous dimension left-handed fermions



$$(\eta_L)_{\text{loops}} = \frac{1}{16\pi^2} \left[ (3g^2 + g'^2) \frac{k^2}{\nu_L^2} \ln \left( \frac{k^2 + \nu_L^2}{k^2} \right) + 2h^2 \right]$$

## Flow equations Yukawa coupling



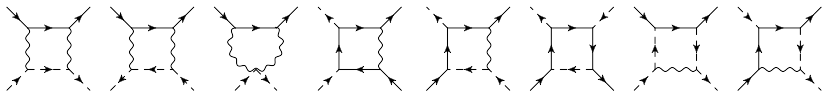
Yukawa coupling at vanishing momentum

$$\begin{aligned}
 (\partial_t h)_{\text{loops}} = & \frac{1}{16\pi^2} \left[ -h (3g^2 - g'^2) \frac{k^2}{\nu_L^2} \ln \left( \frac{k^2 + \nu_L^2}{k^2} \right) \right. \\
 & \left. - 2h g'^2 - 8h g'^2 \frac{k^2}{\nu_L^2} \ln \left( \frac{k^2 + \nu_L^2}{k^2} \right) \right]
 \end{aligned}$$

First derivative with respect to fermion momentum  $p^2$

$$\begin{aligned}
 (\partial_t h')_{\text{loops}} = & \frac{1}{16\pi^2} \left[ h \left( \frac{3}{4}g^2 - \frac{1}{4}g'^2 \right) \left[ -12 \frac{k^2}{\nu_L^4} + 6 \frac{2k^4 + k^2 \nu_L^2}{\nu_L^6} \right. \right. \\
 & \left. \left. \times \ln \left( \frac{k^2 + \nu_L^2}{k^2} \right) \right] + \frac{1}{2} h g'^2 \frac{1}{k^2} \right]
 \end{aligned}$$

## Flow equation boson-fermion vertex



at vanishing momentum

$$\begin{aligned}
 (\partial_t \lambda_{\phi R})_{\text{loops}} = & \frac{1}{16\pi^2} \left[ -\frac{1}{2} g'^4 \frac{1}{k^2} + 8h^2 g'^2 \frac{1}{k^2 + \nu_L^2} - 3h^4 \frac{k^2}{\nu_L^4} \ln \left( \frac{(2\nu_L^2 + k^2)k^2}{(\nu_L^2 + k^2)} \right) \right. \\
 & \left. - h^2 \left( \frac{3}{2} g^2 + \frac{1}{2} g'^2 \right) \left[ \frac{3k^2 + 2\nu_L^2}{\nu_L^2(\nu_L^2 + k^2)} - \frac{3k^2}{\nu_L^4} \ln \left( \frac{k^2 + \nu_L^2}{k^2} \right) \right] \right]
 \end{aligned}$$

first derivative with respect to fermion momentum  $p^2$

$$\begin{aligned}
 (\partial_t \lambda'_{\phi R})_{\text{loops}} = & \frac{1}{16\pi^2} \left[ \frac{7}{3} g'^4 \frac{1}{k^4} + 2h^2 g'^2 \frac{k^2 + 2\nu_L^2}{(k^2 + \nu_L^2)^2 k^2} - h^2 \left( \frac{3}{2} g^2 + \frac{1}{2} g'^2 \right) \right. \\
 & \left. \times \left[ -\frac{24k^2}{\nu_L^6} - \frac{2}{k^2 \nu_L^2} + \frac{2}{k^2(k^2 + \nu_L^2)} + \frac{12k^2(2k^2 + \nu_L^2)}{\nu_L^8} \ln \left( \frac{k^2 + \nu_L^2}{k^2} \right) \right] \right]
 \end{aligned}$$

## Scale-dependent HS transformation

- choose parameters of  $k$ -dependent HS transformation such that

$$\partial_k \lambda_{\phi R}(p^2)|_{p^2=0} = 0, \quad \partial_k \lambda'_{\phi R}(p^2)|_{p^2=0} = 0.$$

- choose also  $p$ -dependent wave-function renormalization for composite field  $\psi_L(p)$  such that

$$\partial_k h(p^2)|_{p^2=0} = 0.$$

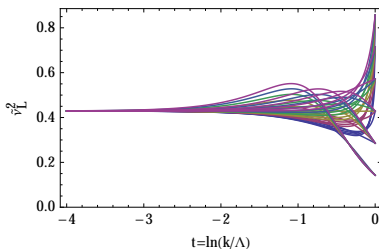
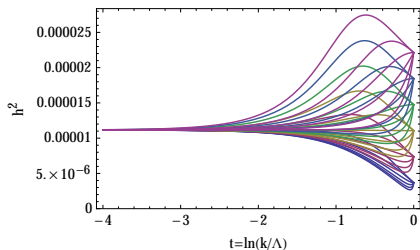
- that gives final flow equations for non-local mass

$$\begin{aligned} \partial_t \nu_L^2 &= (\eta_L)_{\text{loops}} \nu_L^2 + \frac{\nu_L^4}{h^2} (\partial_t \lambda_{\phi R})_{\text{loops}} + \frac{\nu_L^6}{h^2} (\partial_t \lambda'_{\phi R})_{\text{loops}} \\ &\quad + \frac{2\nu_L^4}{h} (\partial_t h')_{\text{loops}} \end{aligned}$$

and the Yukawa coupling

$$\begin{aligned} \partial_t h^2 &= 2h (\partial_t h)_{\text{loops}} + h^2 [(\eta_R)_{\text{loops}} + (\eta_L)_{\text{loops}}] \\ &\quad + 2\nu_L^2 (\partial_t \lambda_{\phi R})_{\text{loops}} + \nu_L^4 (\partial_t \lambda'_{\phi R})_{\text{loops}} + \nu_L^2 2h (\partial_t h')_{\text{loops}} \end{aligned}$$

## Solution of flow equations



- for fixed gauge couplings  $g(M_Z) = 0.651$  and  $g'(M_Z) = 0.807$
- fixed point approximately at

$$h^{*2} = \frac{3g'^4}{448\pi^2} \approx 0.000011, \quad \tilde{\nu}_L^{*2} = \frac{\nu_L^2}{k^2} = \frac{3}{7} \approx 0.43$$

- non-local mass parameter  $\nu_L$  vanishes with  $k$
- Yukawa coupling related to  $U(1)_Y$  gauge coupling
- numerical value  $h^* = 0.0033$  close to  $h_{\tau\text{-lepton}} = 0.0072$



## Flow of gauge couplings

- One loop perturbative flow equations

$$\partial_t g = - \frac{\frac{22}{3} - \frac{1}{3}(n_{l_L} + 3n_{q_L}) - \frac{1}{6}g^3}{16\pi^2} g^3,$$

$$\partial_t g' = \frac{\frac{2}{3} \left( \frac{1}{2}n_{l_L} + n_{l_R^e} + \frac{1}{6}n_{q_L} + \frac{4}{3}n_{q_R^u} + \frac{1}{3}n_{q_R^d} \right) + \frac{1}{6}g'^3}{16\pi^2} g'^3,$$

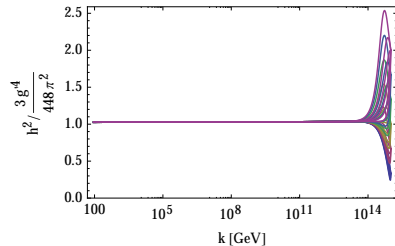
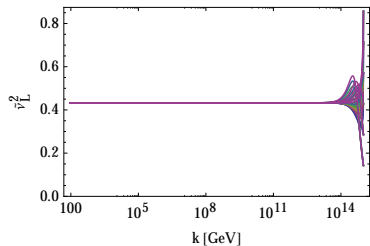
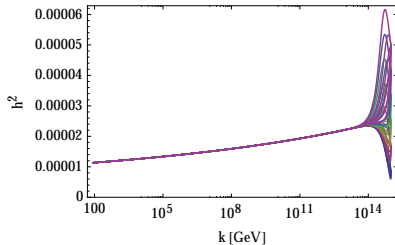
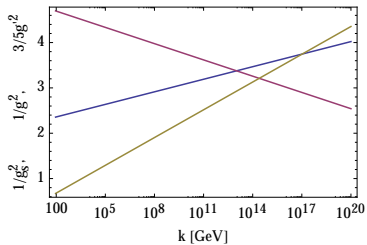
where the fermion content is

- $n_{l_L}$  left-handed leptons,
  - $n_{l_R^e}$  right-handed leptons of electron type,
  - $n_{q_L}$  left-handed quarks,
  - $n_{q_R^u}$  right-handed quarks of up-type,
  - $n_{q_R^d}$  right-handed quarks of down-type
- For the standard model with complete fermion content

$$g^2(k) = \frac{1}{\frac{1}{g^2(k_0)} + \frac{19}{96\pi^2} \ln(k/k_0)},$$

$$g'^2(k) = \frac{1}{\frac{1}{g'^2(k_0)} - \frac{41}{96\pi^2} \ln(k/k_0)}.$$

# Flow with flowing gauge couplings



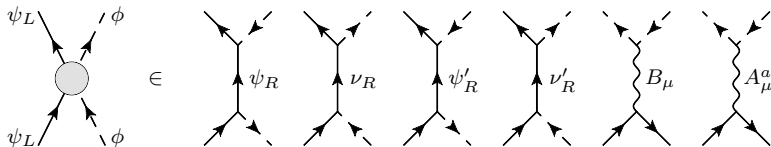
## Remarks on anomalies

- it is known that theories with only right-handed fermions (or only left-handed fermions) lead to gauge anomalies
- on first sight this seems to make an initial theory with only right-handed fermions inconsistent
- on the other side, the auxiliary fields that are added by the Hubbard-Stratonovich transformation can also contribute to the anomaly and might even cancel it
- quite generally, theories with composite chiral fermions must fulfill anomaly matching conditions [[t Hooft \(1979\)](#)]
- these issues need more study



## Composite right-handed fermions

- also right-handed fermions might be composite



- combinations of left-handed fermions  $\psi_L$  and scalars  $\phi$ 
  - right-handed fermion  $\psi_R$ : SU(2) singlet, U(1)<sub>Y</sub> charge  $g'$
  - right-handed fermion  $\nu_R$ : SU(2) singlet, U(1)<sub>Y</sub> charge 0
  - right-handed fermion  $\psi'_R$ : SU(2) triplet, U(1)<sub>Y</sub> charge  $g'$
  - right-handed fermion  $\nu'_R$ : SU(2) triplet, U(1)<sub>Y</sub> charge 0
  - vector boson of  $B_\mu$  type
  - vector boson of  $A_\mu^a$  type
- $\psi_L$  and  $\phi$  can be bound by U(1)<sub>Y</sub> or SU(2) interactions
- attractive U(1)<sub>Y</sub> interaction favors right-handed neutrino type  $\nu_R$

## Conclusions

- Left-handed  $\tau$ -lepton could be composite of scalar doublet and right-handed  $\tau$ -lepton!
- Yukawa coupling can be predicted and agrees up to factor  $\sim 2$  with experimental value but good agreement could be partly accidental.
- Theoretical uncertainties still high:
  - Fierz ambiguities in Hubbard-Stratonovich transformation
  - Effect of scalar field self interaction and vacuum expectation value
- Flow equation with scale-dependent Hubbard-Stratonovich transformation can be used to investigate this interesting physics.
- More detailed analysis needed to investigate possibilities for other bound states (right-handed neutrinos ?).
- Question of anomalies needs further studies.