

*Backreaction effects on the matter side of  
Einstein's field equations*

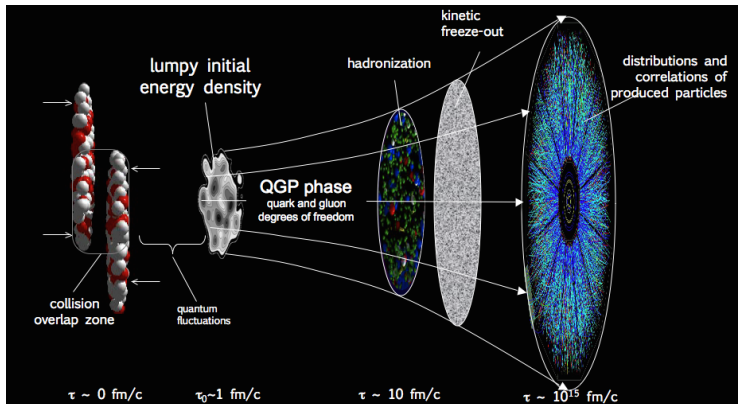
Stefan Flörchinger (CERN)

Seminar at Geneva University, May 22, 2015.

based on:

- S. Floerchinger, N. Tetradis and U. A. Wiedemann,  
*Accelerating Cosmological Expansion from Shear and Bulk Viscosity*,  
[[Phys. Rev. Lett. 114, 091301 \(2015\)](#)]
- work in progress with Diego Blas, Mathias Garny, Nikolaos Tetradis  
and Urs A. Wiedemann

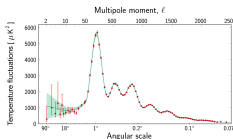
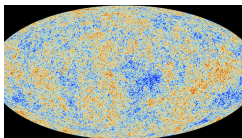
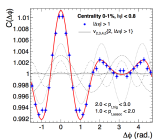
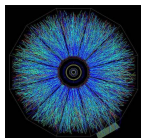
## *From heavy ion collisions to cosmology*



- goal of heavy ion program is to understand QFT in- and out-of-equilibrium
- needed e. g. for Condensed matter physics, Cosmology
- ongoing experiments at RHIC and at the LHC
- standard model of heavy ion collision is now in terms of **viscous relativistic fluid dynamics**

# Fluid dynamic perturbation theory for heavy ions

proposed in: [Floerchinger & Wiedemann, PLB 728, 407 (2014)]



- goal: determine transport properties experimentally
- so far: numerical fluid simulations e.g. [Heinz & Snellings (2013)]
- new: solve fluid equations for smooth and symmetric background and order-by-order in perturbations
- less numerical effort – more systematic studies
- good convergence properties [Floerchinger *et al.*, PLB 735, 305 (2014), Brouzakis *et al.* PRD 91, 065007 (2015)]
- similar technique used in cosmology since many years
- some insights from heavy ion physics might be useful for cosmology

## *Backreaction: General idea*

- for 0 + 1 dimensional, non-linear dynamics

$$\dot{\varphi} = f(\varphi) = f_0 + f_1 \varphi + \frac{1}{2} f_2 \varphi^2 + \dots$$

- one has for expectation values  $\bar{\varphi} = \langle \varphi \rangle$

$$\dot{\bar{\varphi}} = f_0 + f_1 \bar{\varphi} + \frac{1}{2} f_2 \bar{\varphi}^2 + \frac{1}{2} f_2 \langle (\varphi - \bar{\varphi})^2 \rangle + \dots$$

- evolution equation for expectation value  $\bar{\varphi}$  depends on two-point correlation function or spectrum  $P_2 = \langle (\varphi - \bar{\varphi})^2 \rangle$
- evolution equation for spectrum depends on bispectrum and so on
- more complicated for higher dimensional theories
- more complicated for gauge theories such as gravity

## *Backreaction in gravity*

- Einstein's equations are non-linear.
- Important question [Ellis (1984)]: If Einstein's field equations describe small scales, including inhomogeneities, do they also hold on large scales?
- Is there a sizable backreaction from inhomogeneities to evolution of background fields, i.e. to the cosmological expansion?
- Difficult question, has been studied by many people [Ellis & Stoeger (1987); Mukhanov, Abramo & Brandenberger (1997); Unruh (1998); Buchert (2000); Geshnzjani & Brandenberger (2002); Schwarz (2002); Wetterich (2003); Räsänen (2004); Kolb, Matarrese & Riotto (2006); Brown, Behrend, Malik (2009); Gasperini, Marozzi & Veneziano (2009); Clarkson & Umeh (2011); Green & Wald (2011); ...]
- Recent reviews: [Buchert & Räsänen, *Ann. Rev. Nucl. Part. Sci.* 62, 57 (2012); Green & Wald, *Class. Quant. Grav.* 31, 234003 (2014)]
- No general consensus but most people believe now that **gravitational backreaction is rather small**.
- Here we look at a **backreaction on the matter side** of Einstein's equations.

# Relativistic fluid dynamics

## Energy-momentum tensor and conserved current

$$T^{\mu\nu} = (\epsilon + p + \pi_{\text{bulk}})u^\mu u^\nu + (p + \pi_{\text{bulk}})g^{\mu\nu} + \pi^{\mu\nu}$$

$$N^\mu = n u^\mu + \nu^\mu$$

- energy density  $\epsilon$ , pressure  $p = p(\epsilon)$ , number density  $n$
- fluid velocity  $u^\mu$
- constitutive relations for viscous terms in derivative expansion
  - bulk viscous pressure  $\pi_{\text{bulk}} = -\zeta \nabla_\mu u^\mu + \dots$
  - shear stress  $\pi^{\mu\nu} = -\eta \left[ \Delta^{\mu\alpha} \nabla_\alpha u^\nu + \Delta^{\nu\alpha} \nabla_\alpha u^\mu - \frac{2}{3} \Delta^{\mu\nu} \nabla_\alpha u^\alpha \right] + \dots$
  - diffusion current  $\nu^\alpha = -\kappa \left[ \frac{nT}{\epsilon+p} \right]^2 \Delta^{\alpha\beta} \partial_\beta \left( \frac{\mu}{T} \right) + \dots$

Fluid dynamic equations from covariant **conservation laws**

$$\nabla_\mu T^{\mu\nu} = 0, \quad \nabla_\mu N^\mu = 0.$$

## Fluid equation for energy density

$$u^\mu \partial_\mu \epsilon + (\epsilon + p) \nabla_\mu u^\mu - \zeta \Theta^2 - 2\eta \sigma^{\mu\nu} \sigma_{\mu\nu} = 0$$

with

- bulk viscosity  $\zeta$ , shear viscosity  $\eta$
- expansion scalar

$$\Theta = \nabla_\mu u^\mu = \frac{1}{a} \left[ 3 \frac{\dot{a}}{a} + \vec{\nabla} \cdot \vec{v} - \Psi \vec{\nabla} \cdot \vec{v} - 3 \frac{\dot{a}}{a} \Psi - 3 \dot{\Phi} - 3 \vec{v} \cdot \vec{\nabla} \Phi + \dots \right]$$

- shear stress ( $\Delta^{\mu\nu} = g^{\mu\nu} + u^\mu u^\nu$ )

$$\sigma^{\mu\nu} = \left[ \frac{1}{2} \Delta^{\mu\alpha} \Delta^{\nu\beta} + \frac{1}{2} \Delta^{\mu\beta} \Delta^{\nu\alpha} - \frac{1}{3} \Delta^{\mu\nu} \Delta^{\alpha\beta} \right] \nabla_\alpha u_\beta$$

For small fluid velocity  $\vec{v}^2 \ll c^2$  and Newtonian potentials  $\Phi, \Psi \ll 1$

$$\begin{aligned} & \dot{\epsilon} + \vec{v} \cdot \vec{\nabla} \epsilon + (\epsilon + p) \left( 3 \frac{\dot{a}}{a} + \vec{\nabla} \cdot \vec{v} \right) \\ &= \frac{\zeta}{a} \left[ 3 \frac{\dot{a}}{a} + \vec{\nabla} \cdot \vec{v} \right]^2 + \frac{\eta}{a} \left[ \partial_i v_j \partial_i v_j + \partial_i v_j \partial_j v_i - \frac{2}{3} (\vec{\nabla} \cdot \vec{v})^2 \right] \end{aligned}$$



## Fluid dynamic backreaction in Cosmology

Expectation value of energy density  $\bar{\epsilon} = \langle \epsilon \rangle$

$$\frac{1}{a} \dot{\bar{\epsilon}} + 3H (\bar{\epsilon} + \bar{p} - 3\bar{\zeta}H) = D$$

with dissipative backreaction term

$$D = \frac{1}{a^2} \langle \eta [\partial_i v_j \partial_i v_j + \partial_i v_j \partial_j v_i - \frac{2}{3} \partial_i v_i \partial_j v_j] \rangle \\ + \frac{1}{a^2} \langle \zeta [\vec{\nabla} \cdot \vec{v}]^2 \rangle + \frac{1}{a} \langle \vec{v} \cdot \vec{\nabla} (p - 6\zeta H) \rangle$$

- $D$  vanishes for unperturbed homogeneous and isotropic universe
- $D$  has contribution from shear viscosity, bulk viscosity and thermodynamic work done by contraction against pressure gradients
- viscous terms in  $D$  are positive semi-definite
- bulk viscous pressure  $\pi_{\text{bulk}} = -3\bar{\zeta}H$  already present in background
- for  $\frac{1}{a} \vec{\nabla} \vec{v} \sim H$  backreaction term at same order
- for spatially constant viscosities and scalar perturbations only

$$D = \frac{\bar{\zeta} + \frac{4}{3}\bar{\eta}}{a^2} \int d^3q P_{\theta\theta}(q)$$

## *Why is dissipation interesting for (late time) cosmology?*

- Ideal cosmological fluid can be characterized by the equation of state. Allows to distinguish and constrain different components
  - radiation
  - cold and warm dark matter
  - dark energy
- Dissipative or transport properties can provide finer details
  - example: Silk damping
- Constraints of viscous properties in the dark sector such as
  - shear and bulk viscosity
  - heat conductivity
  - relaxation times ...could lead to nice constraints of dark matter models
- For curiosity one may also ask whether qualitatively new effects can arise from dissipation

## *Dissipative effects in cosmological expansion*

So far only homogenous expansion + linear perturbations investigated

- for homogeneous and isotropic expansion only effect from bulk viscosity
- bulk viscous pressure is negative

$$p_{\text{eff}} = p + \pi_{\text{bulk}} = p - 3\zeta H$$

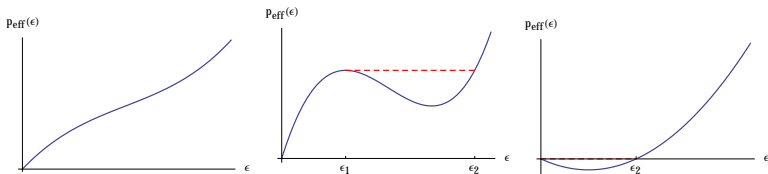
- negative viscous pressure  $\pi_{\text{bulk}} < 0$  acts similar to dark energy and can be used to accelerate the universe  
[Murphy (1973); Padmanabhan & Chitre (1987); Fabris, Goncalves & de Sa Ribeiro (2006); Li & Barrow (2009); Velten & Schwarz (2011); Gagnon & Lesgourgues (2011); ...]
- Model based on scalar particles with small self interaction leads to phenomenologically plausible cosmology  
[Gagnon & Lesgourgues (2011)]

## Is negative effective pressure physical?

- Accelerated expansion from bulk viscous pressure for homogeneous universe needs negative effective pressure  $p_{\text{eff}} = p - 3\zeta H < 0$
- Is this physically plausible?
- Kinetic theory gives

$$p_{\text{eff}}(x) = \int \frac{d^3 p}{(2\pi)^3} \frac{\vec{p}^2}{3E_{\vec{p}}} f(x, \vec{p}) \geq 0$$

- There is also a fluid dynamic stability argument against  $p_{\text{eff}} < 0$



If there is a vacuum with  $\epsilon = p_{\text{eff}} = 0$ , negative pressure phases cannot be stable. (But could be metastable.)

## *Dissipation of perturbations*

- The dissipative backreaction does not need negative effective pressure

$$\frac{1}{a} \dot{\bar{\epsilon}} + 3H (\bar{\epsilon} + \bar{p}_{\text{eff}}) = D$$

- $D$  is an integral over perturbations, could become large at late times.
- Can it potentially accelerate the universe?
- Need equation for scale parameter.
- Use trace of Einstein's equations  $R = 8\pi G_{\text{N}} T^{\mu}_{\mu}$

$$\frac{1}{a} \dot{H} + 2H^2 = \frac{4\pi G_{\text{N}}}{3} (\bar{\epsilon} - 3\bar{p}_{\text{eff}})$$

does not depend on unknown quantities like  $\langle (\epsilon + p_{\text{eff}}) u^{\mu} u^{\nu} \rangle$

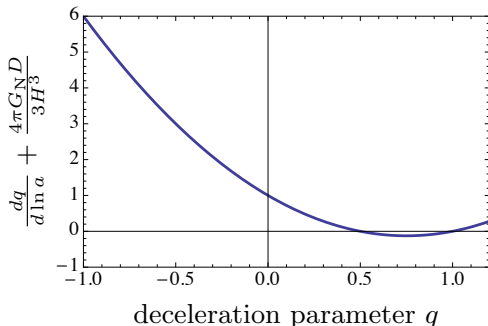
- To close the equations one needs equation of state  $\bar{p}_{\text{eff}} = \bar{p}_{\text{eff}}(\bar{\epsilon})$  and dissipation parameter  $D$

## Deceleration parameter

- assume now simple equation of state  $p_{\text{eff}} = \hat{w} \epsilon$
- obtain for deceleration parameter  $q = -1 - \frac{\dot{H}}{aH^2}$

$$-\frac{dq}{d \ln a} + 2(q-1)\left(q - \frac{1}{2}(1+3\hat{w})\right) = \frac{4\pi G_N D(1-3\hat{w})}{3H^3}$$

- for  $D = 0$  attractive fixed point at  $q_* = \frac{1+3\hat{w}}{2}$  and in particular  $q_* = \frac{1}{2}$  for matter domination (deceleration)
- for  $D > 0$  the fixed point is shifted to larger values



## Could viscous backreaction lead to $\Lambda$ CDM expansion?

- Backreaction term  $D(z)$  will be *some* function of redshift.
- For given dissipative properties  $D(z)$  can be determined, but calculation is involved.
- One may ask simpler question: For what form of  $D(z)$  would the expansion be as in the  $\Lambda$ CDM model?
- The *ad hoc* ansatz  $D(z) = \text{const} \cdot H(z)$  leads to modified Friedmann equations

$$\bar{\epsilon} - \frac{D}{4H} = \frac{3}{8\pi G_N} H^2, \quad \bar{p}_{\text{eff}} - \frac{D}{12H} = -\frac{1}{8\pi G_N} \left( 2\frac{1}{a} \dot{H} + 3H^2 \right)$$

- In terms of  $\hat{\epsilon} = \bar{\epsilon} - \frac{D}{3H}$  one can write

$$\frac{1}{a} \dot{\hat{\epsilon}} + 3H(\hat{\epsilon} + \bar{p}_{\text{eff}}) = 0, \quad R + \frac{8\pi G_N D}{3H} = -8\pi G_N (\hat{\epsilon} - 3\bar{p}_{\text{eff}})$$

- For  $\bar{p}_{\text{eff}} = 0$  these are standard equations for  $\Lambda$ CDM model with

$$\Lambda = \frac{2\pi G_N D}{3H}$$

## Estimating viscous backreaction $D$

- For  $\frac{4\pi G_{\text{N}} D}{3H^3} \approx 4$  one could explain the current accelerated expansion ( $q \approx -0.6$ ) by dissipative backreaction.
- Is this possible?
- In principle one can determine  $D$  for given equation of state and viscous properties from dynamics of structure formation.
- So far only rough estimates. If shear viscosity dominates:

$$D = \frac{1}{a^2} \langle \eta [\partial_i v_j \partial_i v_j + \partial_i v_j \partial_j v_i - \frac{2}{3} \partial_i v_i \partial_j v_j] \rangle \approx \sigma \bar{\eta} H^2$$

with  $\sigma = \mathcal{O}(1)$ . Corresponds to  $\Delta v \approx 100$  km/s for  $\Delta x \approx 1$  Mpc

- Leads to

$$\frac{4\pi G_{\text{N}} D}{3H^3} \approx \frac{\sigma \bar{\eta} H}{2\rho_c}$$

with  $\rho_c = \frac{3H^2}{8\pi G_{\text{N}}}$

- Need also estimate for viscosity  $\eta$



## Viscosities

- Shear and bulk viscosity arise from transport of momentum.
- Relativistic particles / radiation contribute to shear viscosity

$$\eta = c_\eta \epsilon_R \tau_R$$

- prefactor  $c_\eta = \mathcal{O}(1)$
- energy density of radiation  $\epsilon_R$
- mean free time  $\tau_R$ , large for small interaction cross section
- fluid approximation requires  $\tau_R H < 1$
- Bulk viscosity vanishes in situations with conformal symmetry but can be large when conformal symmetry is broken.
- For massive scalar particles with  $\lambda\phi^4$  interaction [Jeon & Yaffe (1996)]

$$\zeta \sim \frac{m^6}{\lambda^4 T^3} e^{2m/T}, \quad \eta \sim \frac{m^{5/2} T^{1/2}}{\lambda^2} \quad \text{for} \quad \frac{T}{m} \ll 1$$

- Bulk viscosity can become large when inelastic collisions become rare

## Estimating viscous backreaction $D$

Concentrate on shear viscosity induced by radiation

$$\frac{4\pi G_N D}{3H^3} \approx \frac{\sigma \bar{\eta} H}{2\rho_c} = \frac{\sigma c \eta}{2} \frac{\epsilon_R}{\rho_c} \tau_R H$$

- could only become of order one for  $\epsilon_R/\rho_c = \mathcal{O}(1)$ ,  $\tau_R H = \mathcal{O}(1)$
- photons or rel. neutrinos have too long mean free times,  $\tau_R H \gg 1$
- curiously, gravitons have mean free time [Hawking (1966)]

$$\tau_G = \frac{1}{16\pi G_N \eta}$$

- allows to solve for  $\eta$  and  $\tau_G$  [Weinberg (1972)] and leads to

$$\frac{4\pi G_N D}{3H^3} \approx \sigma \sqrt{\frac{c\eta \epsilon_G}{24\rho_c}}$$

- presumably too small to have an interesting effect

Considerations illustrate how  $D$  is determined by the properties of different components of the universe or could be used to constrain them.

## How is structure formation modified?

- Viscosities modify dynamics of structure formation.
- On linear level this can be easily taken into account.
  - from energy conservation ( $\theta = \vec{\nabla} \cdot \vec{v}$ )

$$\dot{\delta}\epsilon + 3\frac{\dot{a}}{a}\delta\epsilon + \bar{\epsilon}\theta = 0$$

- from Navier-Stokes equation

$$\bar{\epsilon} \left[ \dot{\theta} + \frac{\dot{a}}{a}\theta - q^2\psi \right] + \frac{1}{a} \left( \zeta + \frac{4}{3}\eta \right) q^2\theta = 0$$

- Poisson equation

$$-q^2\psi = 4\pi G_N a^2 \delta\epsilon$$

- In terms of  $\delta = \frac{\delta\epsilon}{\bar{\epsilon}}$

$$\ddot{\delta} + \left[ \frac{\dot{a}}{a} + \frac{\zeta + \frac{4}{3}\eta}{a\bar{\epsilon}} q^2 \right] \dot{\delta} - 4\pi G_N \bar{\epsilon} \delta = 0$$

The viscosities slow down gravitational collapse but do not wash out structure.

- More detailed investigations in progress.

## Conclusions

- Backreaction term  $D$  describes how energy from perturbation is dissipated into background energy density.
- It is clear that there are dissipative effects of some size also in the dark sector, but unclear of what size.
- Fluid velocity gradients grow due to gravitational collapse but could be dissipated by viscosity.
- If dissipative effects are large they could potentially explain the observed accelerated expansion.
- Dissipative backreaction is triggered by structure formation so it would be natural that it sets in at late times.
- If dissipative effects are smaller they are still interesting in order to learn more about the dark sector.

*Backup*

## Modification of Friedmann's equations 1

- For universe with fluid velocity inhomogeneities one cannot easily take direct average of Einstein's equations.
- However, fluid equation for energy density and trace of Einstein's equations can be used.
- By integration one finds modified Friedmann equation

$$H(\tau)^2 = \frac{8\pi G_{\text{N}}}{3} \left[ \bar{\epsilon}(\tau) - \int_{\tau_1}^{\tau} d\tau' \left( \frac{a(\tau')}{a(\tau)} \right)^4 a(\tau') D(\tau') \right]$$

- Additive deviation from Friedmann's law for  $D(\tau') > 0$
- Part of the total energy density is due to dissipative production

$$\bar{\epsilon} = \bar{\epsilon}_{\text{nd}} + \bar{\epsilon}_{\text{d}}$$

- Assume for dissipatively produced part

$$\dot{\bar{\epsilon}}_{\text{d}} + 3 \frac{\dot{a}}{a} (1 + \hat{w}_{\text{d}}) \bar{\epsilon}_{\text{d}} = a D$$

## Modification of Friedmann's equations 2

Leads to another variant of Friedmann's equation

$$H(\tau)^2 = \frac{8\pi G_N}{3} \left[ \bar{\epsilon}_{\text{nd}}(\tau) + \int_{\tau_1}^{\tau} d\tau' \left[ \left( \frac{a(\tau')}{a(\tau)} \right)^{3+3\hat{w}_d} - \left( \frac{a(\tau')}{a(\tau)} \right)^4 \right] a(\tau') D(\tau') \right]$$

- If the dissipative backreaction  $D$  produces pure radiation,  $\hat{w}_d = 1/3$ , it does not show up in effective Friedmann equation at all!
- For  $\hat{w}_d < 1/3$  there is a new component with positive contribution on the right hand side of the effective Friedmann equation.
- To understand expansion, parametrize for late times

$$D(\tau) = H(\tau) \left( \frac{a(\tau)}{a(\tau_0)} \right)^{-\kappa} \tilde{D}$$

with constants  $\tilde{D}$  and  $\kappa$ .

- Hubble parameter as function of  $(a_0/a) = 1 + z$

$$H(a) = H_0 \sqrt{\Omega_\Lambda + \Omega_M \left( \frac{a_0}{a} \right)^3 + \Omega_R \left( \frac{a_0}{a} \right)^4 + \Omega_D \left( \frac{a_0}{a} \right)^\kappa}$$

- For  $\kappa \approx 0$  the role of  $\Omega_\Lambda$  and  $\Omega_D$  would be similar.