

# From Susy-QM to Susy-LFT

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## OUTLINE:

- Generalities
- From Susy-QM to Susy-LT
- vacuum sector
- susy vs. lattice derivatives

# Motivation, Problems

## Why Susy?

- Only possible extension of POINCARÉ invariance to larger spacetime symmetry (HSL)
- Physics beyond Standard Model (hierarchy, gauge coupling unification, dark matter candidates)
- Superstring theory (susy needed for consistent QG?)
- Tool to obtain results in strongly coupled QFT.

## Why Susy-QM?

- Most simple susy-FT
- IR-dynamics of susy-FT in finite  $V$
- Matrix theory description of  $M$  theory
- Index theorems, isospectral deformations, integrable systems, susy inspired approximations . . .

## Why Susy-LT?

Nonperturbative dynamics (spectrum, CSB)

Confirm/extend existing results ( $\mathcal{N} = 2$  SYM)

Check conjectured results ( $\mathcal{N} = 1$  SYM)

How to deal with fermions

## Gauge Theories

- Main focus on  $\mathcal{N} = 1$  SYM:

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{i}{2}\bar{\psi}\not{D}\psi$$

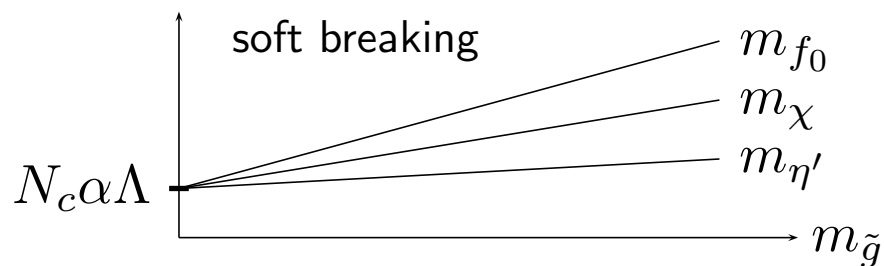
$$U_A(1) \xrightarrow{\text{anomaly}} \mathbb{Z}_{2N_c} \xrightarrow{\text{condensate}} \mathbb{Z}_2$$

$N_c$  ground states  $\langle \bar{\lambda}\lambda \rangle = c\Lambda^3 e^{2\pi i k/N_c}$ ,  $c = ?$

( $SU(2)$  and  $SU(3)$ ) : DESY-Münster)

hadron spectrum (VY action from WI)

mass splitting for  $m_{\tilde{g}} \neq 0$  (Evans et.al)



beyond VY (Farrar et.al, Louis et.al)  
glue/gluinoball mixing (Peetz et.al: small)

which type of fermions?

- **Wilson**: non-chiral, undoubled, ultra-local, cheap, hermitean  
susy broken by lattice + Wilson term  
*susy and chiral limit at  $m_{\tilde{g}} \rightarrow 0$*   
condensate, smallest masses, Ward identities:  
Montvay et.al, Peetz et.al, . . .
- **Ginsparg-Wilson** (overlap, domain walls): chiral, undoubled, local, expensive, non-hermitean  
no fine-tuning for chiral limit  
condensate: Kogut et.al
- $\mathcal{N} = 2$  or  $\mathcal{N} = 4$  SYM:  
2 or 6 scalars  $\rightarrow$  fine tuning gets worse  
euclidean  $S_B$  unbounded from below?  
new approaches (Kaplan et.al, Sugino, Itoh et.al)

## Problems specific to Lattice regularization

- No discrete version of susy  $\Rightarrow$  plethora of unwanted relevant operators, fine tuning  $\Rightarrow$  models with subset of exact susy? (WZ; SYM with  $\mathcal{N} > 1$ )
- **No Leibniz** rule on lattice  $\Lambda$ :

$$f : \Lambda \rightarrow \mathbb{C}, (f, g) = \sum_x \bar{f}(x)g(x)$$

$$(Df)(x) = \sum_y D_{xy}f(y) \quad \text{linear}$$

$$D(fg) = (Df)g + f(Dg) \implies D = 0$$

- **ultralocal forward/backward** derivatives (a=1):

$$(\partial_\mu^f f)(x) = f(x + \hat{\mu}) - f(x)$$

$$(\partial_\mu^b f)(x) = f(x) - f(x - \hat{\mu})$$

$$(f, \partial_\mu^f g) = -(\partial_\mu^b f, g).$$

weighted sum:  $r \in [-1, 1]$

$$\partial_\mu^r = \frac{1}{2}(1+r)\partial_\mu^f + \frac{1}{2}(1-r)\partial_\mu^b = \partial_\mu^a + \partial_\mu^s,$$

antisymmetric and symmetric parts

$$\partial_\mu^a = \frac{1}{2}(\partial_\mu^f + \partial_\mu^b) \quad , \quad \partial_\mu^s = \frac{r}{2}(\partial_\mu^f - \partial_\mu^b)$$

$r = 0$ : antisymmetric and **doubling**;

$$\partial_\mu^s = \frac{r}{2} \underbrace{\partial_\mu^f \partial_\mu^b}_\Delta \implies \sum_\mu \partial_\mu^s = \frac{r}{2} a \Delta$$

- **Wilson fermions**

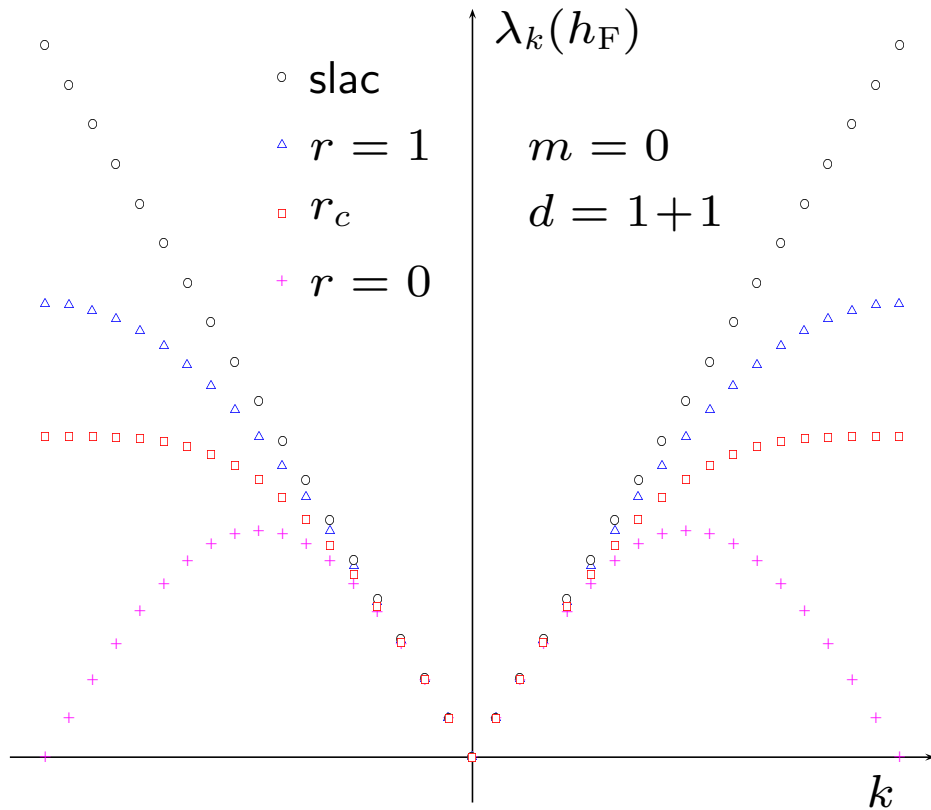
$$S_F = (\bar{\psi}, D_w \psi), \quad D_w = \underbrace{i\gamma^\mu \partial_\mu^a - m}_{\text{doubling}} + \underbrace{\frac{r}{2} a \Delta}_{\text{W-term}}$$

- **Hamiltonian form**: continuous  $t$ , discrete space

$$h_F^r = -i\alpha_M^i \partial_i^a + \beta \left( m - \frac{r}{2} a \Delta \right) = h_F^{r\dagger}$$

- **Slac fermions** (Weinstein et.al): chiral, no doubling

$$h_F = -i\alpha_M^i \partial_i^{\text{slac}} + \beta m = h_F^\dagger, \quad \partial_i^{\text{slac}} \text{ antisymmetric}$$



- $\partial_\mu^{\text{slac}}$  non-local, 'identical' spectrum as  $\partial_\mu^{\text{cont}}$
- **Ginsparg-Wilson fermions** (e.g. Neuberger)

$$\gamma_5 D + D \gamma_5 = a D \gamma_5 D, \quad D^\dagger = \gamma_5 D \gamma_5$$

## Models, Methods and selected results

- Divergences depend on fermion type:  
( $d, \mathcal{N}$ ) = (2, 2) and (4, 1) Wess-Zumino: tadpoles linearly divergent for W, finite for WG (Fujikawa)

- No Leibniz rule:

- superfield  $\cdot$  superfield  $\neq$  superfield
- would be **central charges** not central:

$$\sum_x (\text{div}W(\phi))(x) \neq \sum_{x,i} \frac{\partial W_i(\phi)}{\partial \phi(x)} \frac{\partial \phi(x)}{\partial x^i}$$

- restoration of rule for  $a \rightarrow 0$  (Fujikawa et.al)

- Exact (partial) susy on lattice:

$\Rightarrow$  decrease number of **fine tunings**

applied to  $d = 2, 4$  WZ and extended SYM

- covariant quantization:

typically non-local fermions;



sometimes non-local interaction (no Leibniz rule!)

- keep **subset of extended susy**:  $4 \rightarrow 1$  (Catterall)

- keep Leibniz rule via **noncommutativity** (D'Adda)

(Kaplan, Sugino, Camprostrini, . . . )

- **Hamiltonian formalism:**

time continuous, keep spectral subalgebra (?) of

$$\{Q_\alpha^I, \bar{Q}_\beta^J\} = 2 (\delta^{IJ} P_{\alpha\beta} + i\delta_{\alpha\beta} Z_A^{IJ} + i\gamma_{*\alpha\beta} Z_S^{IJ})$$

$Z$  : central charges,  $Q_\alpha^I$  real supercharges

Example: **WZ in 2 dimensions**:  $Z_A^{IJ} = 0$ ,

$$\mathcal{N} = 1 : \quad Z_S = \int dx \partial_x W$$

$$\mathcal{N} = 2 : \quad F(\phi^1 + i\phi^2) = W + iU$$

$$(Z_S^{IJ}) = \sigma_3 \int dx \partial_x W - \sigma_1 \int dx \partial_x U,$$

# Susy-QM

- Susy FT on finite space lattice = susy-QM
- lattice derivative: most simple case

$$Q = \begin{pmatrix} 0 & A \\ A^\dagger & 0 \end{pmatrix}, \quad A = \partial + W, \quad A^\dagger = \partial^\dagger + W,$$

$$H = Q^2 = \begin{pmatrix} AA^\dagger & 0 \\ 0 & A^\dagger A \end{pmatrix}$$

discretize  $H$ :

$$(AA^\dagger)_L = -\partial^2 + W^2 + W'$$

$$(A^\dagger A)_L = -\partial^2 + W^2 - W'$$

discretize  $Q$ : isospectral operators

$$A_L A_L^\dagger = \partial \partial^\dagger + W^2 + \partial W + W \partial^\dagger$$

$$A_L^\dagger A_L = \partial^\dagger \partial + W^2 + \partial^\dagger W + W \partial$$

Difference: no Leibniz,  $\partial^\dagger \neq -\partial$ , **central charge**

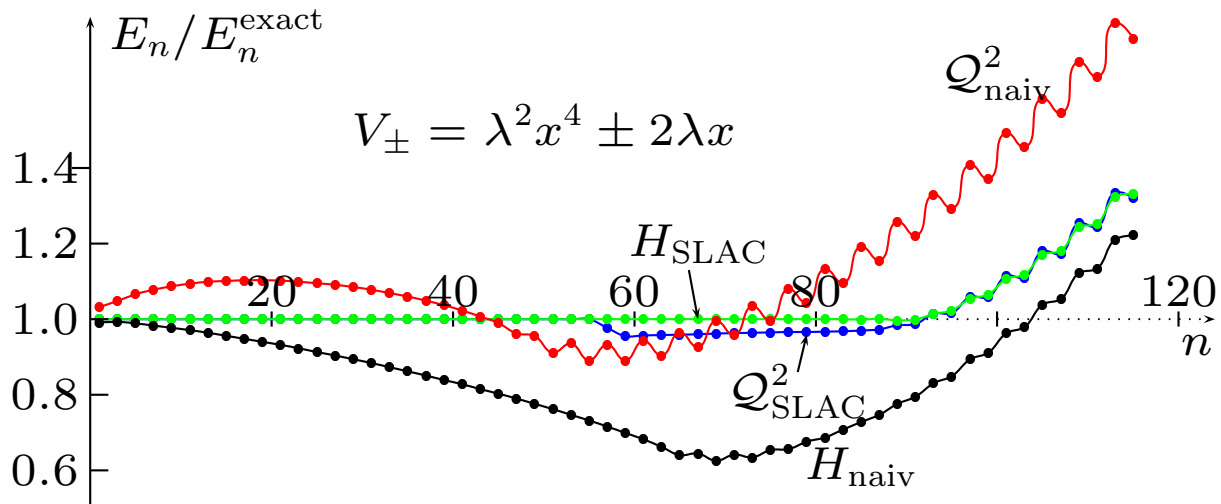


Figure 1:  $EV$  of  $(Q_L)^2$  and  $H_L$  for  $SLAC$  derivative and forward-derivative for  $N = 180$ ,  $L = 30$ ,  $\lambda = 1$ .

non-local  $SLAC$ -derivative:

- much more accurate for WZ-models (first studies)
- further improvement for gauge theories (Slavnov)

- generalization  $\Rightarrow$  lattice FT (here  $\mathcal{N} = 2$ ):

$\{\psi, \psi^\dagger\} = \mathbb{1}$ , nilpotent complex charge:

$$Q = \psi \otimes (\partial + W), \quad \psi = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \Rightarrow 2H = \{Q, Q^\dagger\}$$

$2N$  dimensions:  $x \in \mathbb{R}^{2N}$ ,  $\psi^1, \dots, \psi^{2N}$

$$W_n(x) = \partial_n \chi(x) \quad , \quad \{\psi^n, \psi^{m\dagger}\} = \delta^{mn}$$

$$Q = \sum_{n=1}^{2N} \psi^n (\partial_n + \partial_n \chi) = e^{-\chi} Q_0 e^{\chi}, \quad Q^2 = 0$$

Hamiltonian of  $2N$ -dimensional  $\mathcal{N} = 2$  SQM:

$$H = -\frac{1}{2}\Delta + \frac{1}{2}(\nabla\chi, \nabla\chi) + \frac{1}{2}\Delta\chi - \psi^{n\dagger} \chi_{,nm} \psi^m$$

$$\mathcal{H} = \underbrace{\mathfrak{h} \otimes \dots \otimes \mathfrak{h}}_{N\text{-times}}, \quad \mathfrak{h} = L_2(\mathbb{R}^2) \otimes \mathbb{C}^4$$

SQM  $\rightarrow$  Lattice-WZ<sub>2,2</sub> via identifications

$$\phi(n) = \begin{pmatrix} x^{2n-1} \\ x^{2n} \end{pmatrix}, \quad \psi(n) = \begin{pmatrix} \psi^{2n-1} \\ \psi^{2n} \end{pmatrix}$$

$$H = (\psi, h_F \psi) + \dots \implies \chi = \frac{1}{2}(\phi, h_F \phi) + \dots$$

$$\chi \text{ real: } \implies \gamma^0 = \sigma_3, \gamma^1 = i\sigma_1, \gamma_* = -\sigma_2 \implies$$

$$h_F = \begin{pmatrix} m & \partial_x \\ \partial_x^\dagger & -m \end{pmatrix} = -i\gamma_* \partial_x^a + m\gamma^0 - i\gamma^1 \partial_x^s$$

unusual Wilson term  $\sim \partial^s$  ( $\mathcal{N} = 1$ : usual one)

$$h_F^2 = (\partial\partial^\dagger + m^2)\mathbb{1}_2$$

Interacting fields

$$\chi = \frac{1}{2}(\phi, h_F \phi) + \sum f(\phi(n)), \quad \Delta f = 0.$$

Super-Hamiltonian:  $H = P_0 + \mathcal{Z}$

$$P_0 = \frac{1}{2}(\pi, \pi) + \frac{1}{2}(\phi, \partial\partial^\dagger\phi) + \frac{1}{2}(\nabla_\phi f, \nabla_\phi f) \\ + (\psi, h_F^0 \psi) - (\psi, \gamma^0 \Gamma(\phi)\psi)$$

$$\Gamma(\phi) = f_{,11}(\phi) - i\gamma_* f_{,12}(\phi), \quad \text{Yukawa}$$

$f$  harmonic  $\Rightarrow$

$$\frac{\partial f}{\partial \phi_1} = \frac{\partial g}{\partial \phi_2} \quad \text{and} \quad \frac{\partial f}{\partial \phi_2} = -\frac{\partial g}{\partial \phi_1}$$

Would be central charge

$$\mathcal{Z} = -(\nabla_{\phi} g, \partial_x^a \phi) + (\nabla_{\phi} g, \sigma_3 \partial_x^s \phi)$$

- weak coupling:

free massive model for  $f = \frac{1}{2}m(\phi_2^2 - \phi_1^2)$ :

exist one unique susy ground state (for all  $\partial$ )

- strong coupling: (Jaffe et.al)

Neglect  $\partial$  in controlled way  $\Rightarrow H = \sum_n h_n$

$h_n$  single site operators on  $L_2(\mathbb{R}^2) \times \mathbb{C}^4$

$$H = -\frac{1}{2}\Delta + \frac{1}{2}(\nabla f, \nabla f) - \psi^\dagger f'' \psi.$$

$$f(\phi) = \frac{\lambda}{p} \Re(\phi_1 + i\phi_2)^p$$

Elitzur, Schwimmer:  $p - 1$  susy ground states  $\Rightarrow$

Lattice WZ has  $(p - 1)^N$  susy ground states

Can prove: **Holds true for all  $\lambda \neq 0$** ; e.g.

$$f(\phi) = \frac{\lambda}{p} \Re (\phi_1 + i\phi_2)^p + o(\phi^p) \implies (p-1)^N$$

Highly degenerate vacuum sector!!

- **From strong to weak coupling:**

**Step 1:** Perturbation  $Q(\epsilon) = Q_0 + \epsilon Q_1$

hermitean  $Q_i$ , commute with  $\Gamma = \Gamma^\dagger$ ,  $\Gamma^2 = \mathbb{1}$

$$Q(\epsilon)\psi(\epsilon) = \lambda(\epsilon)\psi(\epsilon)$$

$$Q_0\psi_0 = 0, \quad \Gamma\psi_0 = \psi_0$$

$\implies \lambda(0) = 0$ ; **formal power series**

$$\psi(\epsilon) = \psi_0 + \sum_{k=1}^{\infty} \epsilon^k \psi_k, \quad \lambda(\epsilon) = \sum_{k=1}^{\infty} \epsilon^k \lambda_k$$

**Proposition:** (Jaffe, Lesniewski and Lewenstein)

as formal power series  $\lambda(\epsilon) = 0$  and  $\Gamma\psi(\epsilon) = \psi(\epsilon)$

**Step 2:** In Majorana representation

$$\{Q_1^1, Q_1^1\} = \{Q_1^2, Q_1^2\} = 2(P_0 + \mathcal{Z})$$

$$\{Q_1^1, Q_2^2\} = 0, \quad \mathcal{Z} = \mathcal{Z}_S^{11} = -\mathcal{Z}_S^{22}$$

$$Q_1^1 = \underbrace{(\pi, \psi_1) + (\nabla W, \psi_2)}_{B_0 \text{ strong coupling}} + \underbrace{(\partial\phi^1, \psi_2^1) - (\partial^\dagger\phi^2, \psi_2^2)}_{B_1 \text{ perturbation}}$$

$B_0$  essentially s.a. on  $\mathcal{D}(B_0) = C_c^\infty(\mathbb{R}^{2N}) \otimes \mathbb{C}^D$

use  $B_0$  to define **energy norm**

• bounds on  $a\|f\|^2 + \|B_0f\|^2$ ,  $f \in \mathcal{D}(B_0)$

$\implies \mathcal{D}(\bar{B}_0)$  weighted Sobolov space

• For all  $\lambda \in \mathbb{R}$ ,  $\epsilon > 0$  exists  $C_\epsilon > 0$  such that

$$\|\lambda B_1 f\| \leq \epsilon \|B_0 f\| + C_\epsilon \|f\|, \quad \forall f \in \mathcal{D}(\bar{B}_0)$$

Kato-Rellich:  $Q_1^1(\lambda)$  s.a. on  $\mathcal{D}(\bar{B}_0)$

$\lambda B_1$  is  $B_0$  bounded with **arbitrary small bound**



$\Rightarrow Q_1^1(\epsilon)$  analytic family (Kato)

• Spectrum of  $Q_1^1(\epsilon)$  discrete  $\Rightarrow \lambda(\epsilon)$  analytic

KLW, Annals of Physics 316 (2004) 357

• applies to  $\mathcal{N} = 1$  in  $d = 2$ :

deg  $W = p$  even:

susy unbroken in sc and pt

deg  $W = p$  odd:

susy broken in sc; may be unbroken in pt; example

$$W(\phi) = g_2\phi^3 + g_0\phi$$

strong coupling: susy broken  $\forall g_0$  (correct)

weak coupling: susy for  $g_0 < 0$

• applies to  $\mathcal{N} = 2$  in  $d = 2$ :

puzzle

$(p - 1)^N$  susy ground states on finite lattice

$(p - 1)$  susy ground states in continuum (Jaffe et.al)

conjecture  $H = P_0 + \mathcal{Z}$ ,  $\mathcal{Z} \xrightarrow{a \rightarrow 0} -c < 0$

- rigorous result independent on choice of  $\partial$
- $\mathcal{N} = 1$  WZ in 4 dimensions  
analog results for ground states of  $Q_1^2 = H - P_3$ .
- Not applicable to potentials with **flat directions!!**
- For arbitrary  $\partial$ : 'would be central charges'

$$\mathcal{Z}_S^{IJ} = \sigma_3^{IJ}(W', \partial^a \phi) - \sigma_1^{IJ}(U', \partial^a \phi)$$

$$\begin{aligned} \mathcal{Z}_L^{IJ} = & -\sigma_3^{IJ} \gamma^0(W', \partial^s \phi) + \sigma_1^{IJ} (\gamma^0(U', \partial^s \phi) \\ & -i(\pi, I \partial^s \phi) - \frac{i}{2}(\psi, I \partial^s \psi)) \end{aligned}$$

cont. algebra, no doubling, chiral symmetry  $\Rightarrow$

**Slac derivative favoured** (gauge theories ?)

- checked **index theorem** on lattice of  $D^{\text{slac}}[U]$
- how to **implement** (detailed balance, ergodic, . . .)