Exotic Phases and Phase Transitions for Interacting Fermions

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Interacting Relativistic Fermions

- Inhomogeneous Phases in Finite N_f GN Model
- Ohiral Gross-Neveu Model (NJL₂)
- Critical Flavor Number of 3*d* Thirring Model
- Summary and Outlook

- scattering of electrons, quarks, neutrinos ...
- mediated by exchange particle X
- QED: virtual photons can be "integrated out"
 - \rightarrow non-local current-current four-Fermi interaction

$$S_{
m eff}=S_0+{
m const}\int{
m d}^4x{
m d}^4y\, J^\mu(x){\cal K}_{\mu
u}(x-y)J^
u(y),\quad J^\mu=ar\psi\gamma^\mu\psi$$

• X heavy \rightarrow pointlike ψ^4 -interaction for $q^2 \ll m_x^2$



• Lorentz-invariance \rightarrow tensor-bilinears

scalar field pseudo-scalar field vector field
$$\begin{split} S(x) &= \bar{\psi}(x)\psi(x) \\ P(x) &= \bar{\psi}(x)\gamma_5\psi(x) \\ J^{\mu}(x) &= \bar{\psi}(x)\gamma^{\mu}\psi(x) \quad \text{etc.} \end{split}$$

• point-like ψ^4 interaction

 $\mathcal{L}_{\mathrm{int}} \propto M^2(x), \quad M(x) = S(x), \ P(x), \ J^{\mu}(x), \dots$

 $d = 4 \rightarrow$ non-renormalizable effective theory with cutoff Λ

• weak interaction \rightarrow Fermi-theory (β -decay, neutrino scattering)

 $M = \bar{\psi}_{e} \gamma^{\mu} (1 - \gamma_{5}) \psi_{\nu_{e}} + \dots$ coupling G_{F}

• "unitary limit" ($q^2 \ll m_w^2$)

- strong interaction:
- quarks and gluons confined in hadrons and glueballs



- chiral symmetry breaking → chiral limit: SSB of global chiral SU(2)×SU(2) symmetry
- order parameter = chiral condensate $\langle \bar{\psi}\psi \rangle$

dynamical mass generation (gap) $m \propto \langle ar{\psi} \psi
angle$

- high *T* low μ_B: weakly-coupled quark-gluon plasma accessible to lattice simulations [©]
- high μ_B low T: color-superconductor expected
- intermediate regime: intense debate not (yet) accessible to lattice simulations ©



- chiral properties
 → effective 4-Fermi models
- confinement not explained (missing gluons)

- a) Nambu-Jona-Lasino model
- color-blind, in chiral limit:

$$\mathcal{L} = \bar{\psi} \mathrm{i} \gamma^{\mu} \partial_{\mu} \psi + \frac{g}{2} [(\bar{\psi} \psi)^{2} - (\bar{\psi} \gamma_{5} \vec{\tau} \psi)^{2}], \quad \psi = \begin{pmatrix} u \\ d \end{pmatrix}$$

• same SU(2)×SU(2) as QCD, non-renormalizable, equivalent to

$$\mathcal{L} = ar{\psi} \mathcal{D} \psi - rac{g}{2} ig(\sigma^2 + ec{\pi}^{\,2} ig), \quad \mathcal{D} = \mathrm{i} \gamma^\mu \partial_\mu + g(\sigma + \mathrm{i} \gamma_5 ec{ au} \cdot ec{ au})$$

(bosonization, Hubbard-Stratonovich transformation, ...)

- shows SSB, gap, CSC-phase ©
- b) Quark-Meson model

$$\mathcal{L} = \bar{\psi}\mathcal{D}\psi + \frac{1}{2}(\partial_{\mu}\sigma\partial^{\mu}\sigma + \partial\vec{\pi}\partial^{\mu}\vec{\pi}) - \frac{\lambda}{4}(\sigma^{2} + \vec{\pi}^{2} - \mathbf{v}^{2})^{2}$$

• similar properties as NJL, but renormalizable (Landau pole)

- conducting polymers (Trans- and Cis-polyacetylen)
- quasi 1-dimensional inhomogeneous superconductors
- quasi 2-dimensional Dirac materials, high-temperature SC s
- description of optical lattices

• modeled by relativistic ψ^4 -theories in d = 2, 3, 4

- two Dirac points of Dirac materials $\Rightarrow \psi$ has 4 components
- particle and condensed matter physics, structures, methods, algorithms \rightarrow any dimension





Su, Schrieffer, Heeger Mertsching, Fischbeck

Semenoff, Hands, Herbut....

Cirac, ...

• boundary conditions in path integral & chemical potential

 $\mathcal{L}_{\textit{E}} = \mathcal{L}_{0} + \mathcal{L}_{\text{int}}, \quad \mathcal{L}_{0} = \mathrm{i}\bar{\psi}\gamma^{\mu}\partial_{\mu}\psi + \mu\bar{\psi}\gamma^{0}\psi, \quad \psi \text{ anti-periodic}$

- \mathcal{L}_0 flavor-blind, \mathcal{L}_{int} four Fermi interaction
- admit N_f flavors

$$\psi = \begin{pmatrix} \psi_1 \\ \vdots \\ \psi_{N_f} \end{pmatrix}, \quad \bar{\psi}\gamma^{\mu}\partial_{\mu}\psi = \sum_{a=1}^{N_f} \bar{\psi}_a \gamma^{\mu}\partial_{\mu}\psi_a$$

• thermodynamics: grand canonical partition function

$$Z = \operatorname{tr} e^{-\beta(\hat{H} - \mu \hat{Q})} = \int \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{-S_E[\psi,\bar{\psi}]}, \quad S_E = \int \mathrm{d}\tau \mathrm{d}x \,\mathcal{L}_E$$

• expectation values in thermal equilibrium (T, μ)

$$\langle O[\psi, \bar{\psi}] \rangle = \frac{1}{Z} \int \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{-S_E[\psi, \bar{\psi}]}$$

- d = 2: integrable systems, sometimes soluble
- d = 3: renormalizable in large-N_f expansion

simple realization of asymptotic safety scenario

- d = 4: not renormalizable, effective theory
- Iattice approach:
 - critical behavior, finite size analysis
 - masses of light "particles"
 - phase diagrams at finite T, finite μ
 - generic sign problem→ model-dependent analysis
 - $\bullet \ \ strong \ interaction \rightarrow chiral \ lattice \ fermions$

Braun, Gies, Scherer

extended FRG analysis by H. Gies et al.

Schmidt, Wellegehausen, Lenz, AW

S. Hands et al. and Jena group

Lenz, Pannullo, Wagner, Wellegehausen, AW

• scalar-scalar interaction in Gross-Neveu model

$$egin{aligned} \mathcal{L}_{\mathrm{GN}} &= ar{\psi}(\partial\!\!\!/ + \mu\gamma^{\mathsf{0}})\psi - rac{g^2}{2\mathrm{N_f}}(ar{\psi}\psi)^2 \ &\equiv ar{\psi}\mathcal{D}\psi + rac{\mathrm{N_f}}{2g^2}\sigma^2, \qquad \mathcal{D} &= \partial\!\!\!/ + \sigma + \mu\gamma^{\mathsf{0}} \end{aligned}$$

• finite temperature and density: grand canonical ensemble

$$\begin{split} \langle \hat{\mathcal{O}} \rangle &= \operatorname{tr}(\hat{\rho} \, \hat{\mathcal{O}}) = \frac{1}{Z} \int \mathcal{D} \bar{\psi} \mathcal{D} \psi \mathcal{D} \sigma \, \mathrm{e}^{-S} \mathcal{O} \\ Z &= \int \mathcal{D} \bar{\psi} \mathcal{D} \psi \mathcal{D} \sigma \, \mathrm{e}^{-S} = \int \mathcal{D} \sigma \, \mathrm{e}^{-N_{\mathrm{f}} S_{\mathrm{eff}}[\sigma]} \end{split}$$

• order parameter for \mathbb{Z}_2 chiral symmetry

$$\langle \bar{\psi}(x)\psi(x)
angle = -rac{1}{g^2}\langle \sigma(x)
angle$$

• saddle point approximation becomes exact

$$Z \stackrel{\mathrm{N_f} \to \infty}{\longrightarrow} \mathrm{e}^{-\mathrm{N_f} \min_{\sigma} S_{\mathrm{eff}}[\sigma]}$$

• periodic BC $\stackrel{?}{\Rightarrow}$ constant minimizing σ

$$S_{\text{eff}}[\sigma] = \frac{1}{2g^2} \int \sigma^2 - \log \det \mathcal{D} = V \cdot U_{\text{eff}}(\sigma)$$

- free energy density U_{eff} known \rightarrow phase diagram with hom. phases
- is equilibrium state $\hat{\rho} \propto e^{-\beta(\hat{H}-\mu\hat{Q})}$ translation invariant?
- inhomogeneous structures?
 - $N_f \rightarrow \infty$ GN type: d = 2 yes, d = 3 probably no
 - $N_{
 m f} < \infty$ GN type: d=2 "yes", d=3 probably no Narayanan; Buballa, Kurth, Wagner, Winstel
 - d = 4 NJL and Quark-Meson models (cutoff dependence?)
 - large-N_f var. calculations Broniowski, Kotlaroz, Kutschera; Nakano, Tatsumi; Nickel; Carignano, Buballa, Schaefer; ...
 - and much more for related models ...



- 1 + 1 dimensions second (and first) order lines Lifschitz-point $(T, \mu_0) \approx (0.318, 0.608)$ $N_f \rightarrow \infty$: inhomogeneous phase
- 1 + 2 dimensions second order line only jump at $(\mu, T) = (1, 0)$ $N_f \rightarrow \infty$: no inhomogeneous phase in continuum limit

Narayanan; Buballa, Kurth, Wagner, Winstel (2020)

- an finite lattice (crystals): inhom. condensates not excluded
- extensions (models, dimension) Nickel
- standard review Buballa, Carignano (2015)

typical condensate fields, $N_f \rightarrow \infty$





- inhomogeneous condensates at T = 0 for $\mu > \mu_{crit} \approx 0.636$
- $4\pi U_{\text{eff}}[\sigma] > -1 \Rightarrow \text{metastable}$
- metastable kink-antikink (top left) \Rightarrow energy(kink-antikink) = $2/\pi$
- $\bullet\,$ analytical solution in 1 + 1d GN and cGN in large $N_{\rm f}$ limit

Thies et al.

AW, Statistical Approach to Quantum Field Theory, Lecture Notes in Physics (2021)

- \bullet inhomogeneous condensates for finite $N_{\rm f}$
 - do they exist for finite μ?
 - \rightarrow breaking of translation invariance?
 - no-go theorems (Mermin-Wagner, Coleman)



GN: Lenz, Pannullo, Wagner, Wellegehausen, AW, Phys. Rev. D101 (2020) 094512 and Phys. Rev. D102 (2020) 114501 cGN: J.Lenz, M. Mandl, AW, e-Print: 2109.05525 [hep-lat] discretize on quadratic or hypercubic lattice

$$S_{
m eff} = rac{1}{2g^2} \sum_{\chi} \sigma_{\chi}^2 - \log \det \mathcal{D}, \quad \mathcal{D} = \gamma^\mu \partial_\mu + \mu \gamma^\mu + \sigma$$

- keep "all" continuum symmetries
- no sign problem
 - $\bullet~$ naive fermions: $N_{\rm f}=8,16,\ldots$
 - $\bullet\,$ chiral SLAC fermions: $\mathrm{N_f}=2,4,8,\ldots$
- 2d: done, 3d: preliminary results
- many ensembles on grid in (T, μ) -space
- $31 \le N_s \le 128$ and scale setting \rightarrow lattice spacing, volume
- rational HMC with $N_{\rm PF}=2N_{\rm f}$ pseudo-fermions

Observables



- $\langle \sigma^2 \rangle$ does not see inhom. phase
- homogeneously broken
- symmetric & inhomogeneous

• differentiate three phases

$$C(x) = \langle \sigma(t_0, x) \sigma(t_0, 0) \rangle = \frac{1}{N_t N_s} \sum_{t, y} \langle \sigma(t, y + x) \sigma(t, y) \rangle$$

- $\bullet\,$ no washing out by translations of $\sigma\,$
- Fourier transform $\tilde{C}(k) = \mathcal{F}_{x}(C)(k)$
 - symmetric phase: small amplitude
 - homogeneous broken phase: peak at k = 0
 - inhomomogeneous phase: peaks at $\pm q$ (dominant wavelength)





• SLAC faster convergence to continuum limit: SLAC $(a, N_s) = (0.250, 63)$ naive (improved) $(a, N_s) = (0.252, 64)$ naive (improved) $(a, N_s) = (0.126, 128)$

full phase diagram, chiral fermions, $N_f = 8$



• longe range correlations, $N_s = 725$



- inhomogeneous correlator $A(x) \cdot C_{\text{periodic}}(x)$
- BKT (Berezinskii, Kosterlitz, Thouless) $A(x) \sim |x|^{-\beta}$
- analysis of amplitude A not conclusive yet (BKT for cGN-model)



- low temperature T = 0.076
 - Baryon number:

$${\cal B} = rac{\mathrm{i}}{\mathrm{N_f}} \Big\langle \int \mathrm{d}x\, ar{\psi}(x) \gamma^0 \psi(x) \Big
angle$$

- homogeneous condensate
- analytic large-N_f result
- silver blaze property
- transition symmetric \rightarrow inhom. at $\mu_{\rm crit} \approx 0.51$
- analytic large-N_f result

• analytic large-N_f result for n_B and σ at $(\mu, T) = (0.7, 0)$



• baryonic crystal: N_f fermions for each cycle of oscillation

 $\bullet\,$ fermions located at nodes of condensate field σ

 \rightarrow perfect correlation $n_B(x)$ and $\sigma^2(x)$

Schnetz, Thies, Urlichs

● correlation condensate ↔ baryon density

$$\mathcal{C}_{n_B\sigma^2}(x) = rac{\mathrm{i}}{\mathrm{N}_\mathrm{f}} \langle n_B(0,x) \, \sigma^2(0,0)
angle$$



- $\bullet\,$ left: analytical results for $N_f \to \infty$
- right: simulation results (SLAC, $N_f = 8$)
- $\bullet\,$ qualitative agreement $N_{\rm f}=$ 8 and $N_{\rm f}\to\infty$

with J. Lenz and M. Mandl, 2021

- U(1)-invariant extension of GN-model = chiral GN-model
- scalar and pseudo-scalar channels

$${\cal L}_E = ar\psi {
m i} \partial\!\!\!/ \psi + {g^2\over 2 N_{
m f}} \left((ar\psi \psi)^2 + (ar\psi {
m i} \gamma_* \psi)^2
ight) \; ,$$

• equivalent formulation

$$\mathcal{L}_{E} = \bar{\psi} i \mathcal{D} \psi + \frac{N_{f}}{2g^{2}} \rho^{2} \quad \text{with} \quad \mathcal{D} = \partial \!\!\!/ + \mu \gamma_{0} + \rho \, e^{i \gamma_{*} \theta}$$

• with complex condensate field

$$\Delta = \sigma + i\pi = \rho e^{i\theta}$$

 $\bullet \mbox{ large-} N_f$ solution simpler as for GN (chiral rotations)

analytic phase diagram and first simulations



- symmetric phase $T > T_c$
- inhomogeneous phase below T_c
- condensate-field: chiral spiral $\Delta = e^{iqx}, \ q \propto \mu$



- Distributions of $\sum_{t,x} \Delta(t,x)$
- μ = 0, N_s = 63, a ≈ 0.46
- increasing temperature

spatial correlators of condensates

$$C_{\sigma\sigma}(x) = \frac{1}{N_t N_s} \sum_{t,y} \langle \sigma(t, y + x) \sigma(t, y) \rangle$$
$$C_{\sigma\pi}(x) = \frac{1}{N_t N_s} \sum_{t,y} \langle \sigma(t, y + x) \pi(t, y) \rangle$$



spiral" at low and higher temperature (N_f = 2, N_s = 63, $\mu \approx$ 1.14, $a \approx$ 0.46)



• correlators $C_{\sigma\sigma}(x)$ and $C_{\sigma\pi}(x)$ symmetric phase $\mu = 0$: dominated by hom. σ inhomogeneous



- dominant winding number n_{max} for $T/\rho_0 \approx 0.030$
- linear fit: slope 7.91 \pm 0.10
- parameters: $N_f = 8$, $N_s = 63$, $a \approx 0.41$.

$$\begin{split} C_{4\mathrm{F}}(x) &= \frac{1}{N_t N_s} \sum_{t,y} \left\langle \bar{\psi}(1+\gamma_*) \psi(t,y+x) \bar{\psi}(1-\gamma_*) \psi(t,y) \right\rangle \propto \mathcal{C}(x) \\ \mathcal{C}(x) &\propto \left\langle \Delta^*(t,x) \Delta(t,0) \right\rangle \rightarrow x^{-1/N_f} \quad \text{(Witten)} \end{split}$$



BKT phase $(N_f = 2)$

$$\begin{split} |C_{4F}(x) &\to \frac{\alpha}{x^{\beta}} + \frac{\alpha}{(L-x)^{\beta}} \\ \alpha &= 6.52 \pm 0.02 \;, \quad \beta = 0.521 \pm 0.001 \\ \text{massive phase (N_{\rm f} = 2)} \\ |C_{4F}(x) &\to \sum_{i=1}^{2} \gamma_i \cosh\left[m_i\left(x - \frac{L}{2}\right)\right] \\ m_1 &= 0.533 \pm 0.006, \quad m_2 = (5.76 \pm 0.03) \cdot 10^{-2} \\ \gamma_1 &= (4.3 \pm 0.3) \cdot 10^{-3}, \; \gamma_2 = 3.2515 \pm 4 \cdot 10^{-4} \end{split}$$

• current-current Thirring-interaction

$$\mathcal{L}_{\mathsf{int}} = -rac{m{g}^2}{2\mathrm{N_f}}(ar{\psi}\gamma^\mu\psi)^2, \quad \mathbb{Z}_2 imes\mathsf{U}(2\mathrm{N_f}) ext{ invariant}$$

- scalar condensate $\langle \bar{\psi}\psi\rangle$ breaks U(2N_f) \rightarrow U(N_f)
- pseudo-scalar condensate $\langle \bar{\psi} \gamma_4 \gamma_5 \psi \rangle$ breaks \mathbb{Z}_2 parity
- remove ψ^4 -term with auxiliary vector field v_{μ}
- $\bullet~$ fermionic integration $\rightarrow~$ fermion determinant

$$Z_{\mathrm{Th}} = \int \mathcal{D} v_{\mu} \, \mathrm{e}^{-\mathrm{N}_{\mathrm{f}} \mathcal{S}_{\mathrm{eff}}}, \quad \mathcal{S}_{\mathrm{eff}} = rac{1}{2g^2} \int \mathrm{d}^3 x \, v_{\mu} v^{\mu} - \log \mathrm{det}(\mathrm{i} D \!\!\!\!/)$$

 \bullet large $N_f \rightarrow$ path integral localized at saddle point

$$Z \stackrel{\mathrm{N_f} \to \infty}{\longrightarrow} \mathrm{e}^{-\mathrm{N_f} \min_{\nu} S_{\mathrm{eff}}[\nu_{\mu}]}$$

• translation invariance $\Rightarrow v_{\mu}$ constant

$$S_{\rm eff}[v_{\mu}] = V \cdot U_{\rm eff}(v_{\mu})$$

• effective potential ($m \neq 0$)

$$U_{\rm eff} = rac{1}{2g_{
m ren}^2} v_\mu v^\mu + U_{
m free}(T,m^2), \quad g^2 = rac{4\pi g_{
m ren}^2}{4\pi + \Lambda g_{
m ren}^2}$$

• condensate

$$\begin{split} \mathrm{N_f} &\to \infty: \quad \langle \bar{\psi}\psi \rangle = \frac{1}{V} \frac{\partial}{\partial m} \log Z \xrightarrow{m \to 0} 0 \quad v_\mu \text{-independent} \\ \mathrm{N_f} &= 1/2: \quad \langle \bar{\psi}\psi \rangle \neq 0 \qquad \qquad \text{equivalent to GN} \end{split}$$

- exists critical flavor number N_{f}^{crit} : there is broken phase for $N_{f} \leq N_{f}^{crit}$ only symmetric phase for $N_{f} > N_{f}^{crit}$
- situation before 2017:

- SD equations
- 1/N_f-expansion

- FRG
- lattice, staggered
- Iattice, domain wall
- new results change situation

advantages of chiral SLAC fermions

- exact $U(2N_f) \times \mathbb{Z}_2$ symmetry on hyper-cubic lattice
- $v_{\mu}(x)$ site variable (not gauge field)
- no doublers, no sign-problem for 4-component ψ
- relatively cheap
- simulation results
 - 4-component $\psi \Rightarrow$ no SSB for $N_f = 1, 2, ...$ simulations for $0.5 \le N_f \le 1 \Rightarrow N_f^{crit} = 0.80(4)$
 - 2-component $\psi \Rightarrow$ breaking for $N_{f}^{ir} \leq 9$
 - domain wall fermions (DWF) different implementations $N_{f}^{crit} \lesssim 1 \text{ or } 1 < N_{f}^{crit} < 2$
 - $\label{eq:recent} \mbox{orecan} \mbox{ recent FRG-studies compatible with $N_{f}^{crit} < 1$} \\ momentum-depended vertices$

B. Wellegehausen, D. Schmidt, AW, PRD 96 (2017)

- J. Lenz, B. Wellegehausen, AW, PRD 100 (2019)
- B. Wellegehausen, D. Schmidt, AW, PRD 96 (2017)

Hands et al. 2019 and 2020

L. Dabelow, H. Gies, B. Knorr, PRD 99, 2019



• $N_f = 2, L = 16$

- small finite size corrections
- no SSB for $N_{\rm f}=2$
- supported by dual formulation → filling strong coupling expansion

- detailed analysis:
 - effective potential in various channels (dual formulation)
 - chiral condensate Σ_{L,N_f} , mean spectral density $\bar{\varrho}_{L,N_f}(E)$
 - $\bullet\,$ symmetry of low-lying spectrum for $N_{\rm f} \in [0.8, 1.0]$
 - susceptibilities

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• curvature of effective potential (free energy density) at origin:

SSB if
$$\kappa(n) = V_{\text{eff}}''(x, n)\Big|_{x=0} < 0$$
 for one n

• plots: curvatures for $N_f = 1$ and $N_f = 2$ (dotted: strong coupling)

• physical domain: right of dark bar



• Banks-Casher: condensate from mean spectral density $\bar{\rho}$:

$$\langle \bar{\psi}\psi \rangle = \lim_{m \to 0} \lim_{V \to \infty} \frac{2m}{V} \int_0^\infty \frac{\mathrm{d}E}{E^2 + m^2} \,\bar{\rho}(E)$$





density builds up near E = 0

- U(2) unbroken: expect singlet and triplet
- $U(2) \rightarrow U(1) \times U(1)$: two Goldstone modes

 $N = 1.00, L_{S}^{2} \times 24$

 $N = 0.80, L_{c}^{2} \times 24$



• first simulations of 3d system with fully chiral fermions

 $N_{f}^{crit} = 0.80(4)$

- 2-component ψ : parity breaking PT for $N_{\rm f}^{\rm crit} = 0.5, 1.5, 3.5, 4.5$
- staggered fermions problematic: wrong universality class?
- domain wall fermions: favor $1 < N_f^{crit} < 2$ very large extra dimension, v_{μ} link variable (Simon Hands)
- $\bullet \ \ \text{still discrepancy SLAC} \leftrightarrow \mathsf{DWF}$
- spotted new PT without order parameter $N_f \ge 0.8$ (needs clarification)

Lenz, Wellegehausen, AW

- first ψ^4 -simulations with chiral fermions (finite μ , T, N_f)
- symmetries of lattice action relevant in d = 3 (staggered vs. chiral)
- recent result $N_{\rm f}^{\rm crit}$ < 1 (cp. domain wall fermions)
- $\bullet~2d$ GN: $\mathrm{N_f}=8$ or 16 phase diagram similar to $\mathrm{N_f}\to\infty$
- \bullet strong correlation baryon density \leftrightarrow condensate
- $\bullet\,$ inhomogeneous "phases" shrink with decreasing $N_{\rm f}$
- in progress:
 - ψ^4 in magnetic fields (cascade of first order transitions) J. Lenz, M. Mandl, AW, in progress
- first simulation results for d = 3 GN coding of d = 4 quark-meson mode
- we are aiming at:

behavior of condensates in rotating vessels?

..... gauge theories

Thanks!

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Pannullo, Wagner, Winstel; Lenz, Mandl, AW