

Path Integration in Statistical Field Theory: from QM to Interacting Fermion Systems

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Methods of Path Integration in Modern Physics
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- weakly coupled subsystems: perturbation theory
- if not: strongly coupled system

properties can only be explained by
strong correlations of subsystems

- example of strongly coupled systems:
 - ultra-cold atoms in optical lattices
 - high-temperature superconductors
 - statistical systems near phase transitions
 - strong interaction at low energies

- **exactly soluble models** (large symmetry, QFT, TFT)
- **approximations**
mean field, strong coupling expansion, . . .
- **restriction to effective degrees of freedom**
Born-Oppenheimer approximation, Landau-theory, . . .
- **functional methods**
Schwinger-Dyson equations
functional renormalization group equation
- **numerical simulations**
lattice field theories = particular classical spin systems
⇒ powerful methods of statistical physics and stochastics

Quantum mechanical system in thermal equilibrium

- Hamiltonian $\hat{H} : \mathcal{H} \mapsto \mathcal{H}$
- system in thermal equilibrium with heat bath

canonical $\hat{\rho}_\beta = \frac{1}{Z_\beta} \hat{K}(\beta), \quad \hat{K}(\beta) = e^{-\beta \hat{H}}, \quad \beta = \frac{1}{kT}$

- normalizing partition function

$$Z_\beta = \text{tr} \hat{K}(\beta)$$

- expectation value of observable \hat{O} in ensemble

$$\langle \hat{O} \rangle_\beta = \text{tr}(\hat{\rho}_\beta \hat{O})$$

- inner and free energy

$$U = \langle \hat{H} \rangle_\beta = -\frac{\partial}{\partial \beta} \log Z_\beta \quad , \quad F_\beta = -kT \log Z_\beta$$

⇒ all thermodynamic potentials, entropy $S = -\partial_T F, \dots$

- specific heat

$$C_V = \langle \hat{H}^2 \rangle_\beta - \langle \hat{H} \rangle_\beta^2 = -\frac{\partial U}{\partial \beta} > 0$$

- system of particles: specify Hilbert space and \hat{H}
 - identical bosons: symmetric states
 - identical fermions: antisymmetric states
- traces on different Hilbert spaces

Path integral for partition function in quantum mechanics

- euclidean evolution operator \hat{K} satisfies *diffusion* type equation

$$\hat{K}(\beta) = e^{-\beta\hat{H}} \implies \frac{d}{d\beta}\hat{K}(\beta) = -\hat{H}\hat{K}(\beta)$$

- compare with time-evolution operator and Schrödinger equation

$$\hat{U}(t) = e^{-i\hat{H}t/\hbar} \implies i\hbar\frac{d}{dt}\hat{U}(t) = \hat{H}\hat{U}(t)$$

- formally: $\hat{U}(t = -i\hbar\beta) = \hat{K}(\beta)$, imaginary time
- \hbar quantum fluctuations, kT thermal fluctuations

- evaluate trace in position space

$$\langle q | e^{-\beta \hat{H}} | q' \rangle = K(\beta, q, q') \implies Z_\beta = \int dq K(\beta, q, q)$$

- “initial condition” for kernel: $\lim_{\beta \rightarrow 0} K(\beta, q, q') = \delta(q, q')$

free particle in d dimensions (Brownian motion)

$$\hat{H}_0 = -\frac{\hbar^2}{2m} \Delta \implies K_0(\beta, q, q') = \left(\frac{m}{2\pi \hbar^2 \beta} \right)^{d/2} e^{-\frac{m}{2\hbar^2 \beta} (q' - q)^2}$$

- Hamiltonian $\hat{H} = \hat{H}_0 + \hat{V}$ bounded from below \implies

$$e^{-\beta(\hat{H}_0 + \hat{V})} = s - \lim_{n \rightarrow \infty} \left(e^{-\beta \hat{H}_0 / n} e^{-\beta \hat{V} / n} \right)^n, \quad \hat{V} = V(\hat{q})$$

- insert for every identity $\mathbb{1}$ in

$$\left(e^{-\frac{\beta}{n} \hat{H}_0} e^{-\frac{\beta}{n} \hat{V}} \right) \mathbb{1} \left(e^{-\frac{\beta}{n} \hat{H}_0} e^{-\frac{\beta}{n} \hat{V}} \right) \mathbb{1} \dots \mathbb{1} \left(e^{-\frac{\beta}{n} \hat{H}_0} e^{-\frac{\beta}{n} \hat{V}} \right)$$

the resolution $\mathbb{1} = \int d\mathbf{q} |\mathbf{q}\rangle \langle \mathbf{q}| \Rightarrow$

$$\begin{aligned} K(\beta, \mathbf{q}', \mathbf{q}) &= \lim_{n \rightarrow \infty} \langle \mathbf{q}' | \left(e^{-\frac{\beta}{n} \hat{H}_0} e^{-\frac{\beta}{n} \hat{V}} \right)^n | \mathbf{q} \rangle \\ &= \lim_{n \rightarrow \infty} \int d\mathbf{q}_1 \cdots d\mathbf{q}_{n-1} \prod_{j=0}^{j=n-1} \langle \mathbf{q}_{j+1} | e^{-\frac{\beta}{n} \hat{H}_0} e^{-\frac{\beta}{n} \hat{V}} | \mathbf{q}_j \rangle, \end{aligned}$$

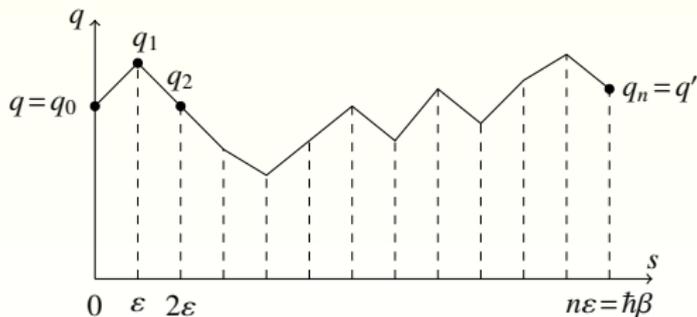
- initial and final positions $\mathbf{q}_0 = \mathbf{q}$ and $\mathbf{q}_n = \mathbf{q}'$
- define small $\varepsilon = \hbar\beta/n$ and finally use

$$\begin{aligned} e^{-\frac{\beta}{n} V(\hat{q})} | \mathbf{q}_j \rangle &= | \mathbf{q}_j \rangle e^{-\frac{\beta}{n} V(\mathbf{q}_j)} \\ \langle \mathbf{q}_{j+1} | e^{-\frac{\beta}{n} \hat{H}_0} | \mathbf{q}_j \rangle &= \left(\frac{m}{2\pi \hbar \varepsilon} \right)^{d/2} e^{-\frac{m}{2\hbar \varepsilon} (\mathbf{q}_{j+1} - \mathbf{q}_j)^2} \end{aligned}$$

- discretized “path integral”

$$K(\beta, q', q) = \lim_{n \rightarrow \infty} \int dq_1 \cdots dq_{n-1} \left(\frac{m}{2\pi\hbar\varepsilon} \right)^{n/2} \cdot \exp \left\{ -\frac{\varepsilon}{\hbar} \sum_{j=0}^{j=n-1} \left[\frac{m}{2} \left(\frac{q_{j+1} - q_j}{\varepsilon} \right)^2 + V(q_j) \right] \right\}$$

- divide interval $[0, \hbar\beta]$ into n sub-intervals of length $\varepsilon = \hbar\beta/n$
- consider path $q(\tau)$ with sampling points $q(\tau = k\varepsilon) = q_k$



- Riemann sum in exponent approximates **Riemann integral**

$$S_E[q] = \int_0^\beta d\tau \left(\frac{m}{2} \dot{q}^2(\tau) + V(q(\tau)) \right)$$

- S_E is **Euclidean action** (\propto action for imaginary time)
- integration over all sampling points $\xrightarrow{n \rightarrow \infty}$ formal path integral $\mathcal{D}q$
- path integral with real and positive density

$$K(\beta, q', q) = \mathcal{C} \int_{q(0)=q}^{q(\hbar, \beta)=q'} \mathcal{D}q e^{-S_E[q]/\hbar}$$

- on diagonal = integration over all path $q \rightarrow q$

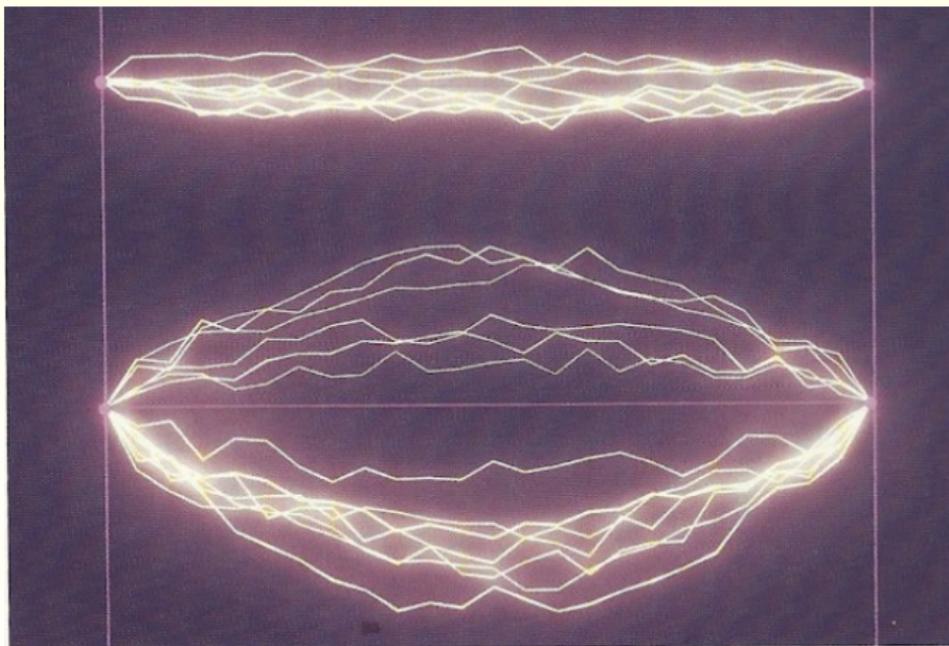
$$K(\beta, q, q) = \langle q | e^{-\beta \hat{H}} | q \rangle = \mathcal{C} \int_{q(0)=q}^{q(\hbar\beta)=q} \mathcal{D}q e^{-S_E[q]/\hbar}$$

- trace

$$\text{tr} e^{-\beta \hat{H}} = \int dq \langle q | e^{-\beta \hat{H}} | q \rangle = \mathcal{C} \oint_{q(0)=q(\hbar\beta)} \mathcal{D}q e^{-S_E[q]/\hbar}$$

partition function $Z(\beta)$ integral over **all periodic paths** with period $\hbar\beta$.

- can construct well-defined Wiener-measure
 measure(differentiable paths) = 0
 measure(continuous paths) = 1



exercise (Mehler formula)

show that the harmonic oscillator with Hamiltonian

$$\hat{H}_\omega = -\frac{\hbar^2}{2m} \frac{d^2}{dq^2} + \frac{m\omega^2}{2} q^2$$

has heat kernel

$$K_\omega(\beta, q', q) = \sqrt{\frac{m\omega}{2\pi\hbar \sinh(\hbar\omega\beta)}} \exp\left\{-\frac{m\omega}{2\hbar} \left[(q^2 + q'^2) \coth(\hbar\omega\beta) - \frac{2qq'}{\sinh(\hbar\omega\beta)} \right]\right\}$$

- equation of euclidean motion $\ddot{q} = \omega^2 q$ has for given q, q' the solution

$$q(\tau) = q \cosh(\omega\tau) + (q' - q \cosh(\omega\beta)) \frac{\sinh(\omega\tau)}{\sinh(\omega\beta)}$$

- action

$$S = \frac{m}{2} \int_0^\beta (\dot{q}^2 + \omega^2 q^2) = \frac{m\omega}{2 \sinh \omega\beta} \left((q^2 + q'^2) \cosh \omega\beta - 2qq' \right)$$

- second derivative

$$\frac{\partial^2 \mathcal{S}}{\partial q \partial q'} = -\frac{m\omega}{\sinh(\omega\beta)}$$

- semiclassical formula exact for harmonic oscillator

$$K(\beta, q', q) = \sqrt{-\frac{1}{2\pi} \frac{\partial^2 \mathcal{S}}{\partial q \partial q'}} e^{-\mathcal{S}}$$

- yields above results for heat kernel

- diagonal elements

$$K_\omega(\beta, q, q) = \sqrt{\frac{m\omega}{2\pi\hbar \sinh(\hbar\omega\beta)}} \exp \left\{ -\frac{2m\omega q^2 \sinh^2(\hbar\omega\beta/2)}{\hbar \sin(\hbar\omega\beta)} \right\}$$

- partition function

$$Z_\beta = \frac{1}{2 \sinh(\hbar\omega\beta/2)} = \frac{e^{-\hbar\omega\beta/2}}{1 - e^{-\hbar\omega\beta}} = e^{-\hbar\omega\beta/2} \sum_{n=0}^{\infty} e^{-n\hbar\omega\beta}$$

- evaluate trace with **energy eigenbasis** of $\hat{H} \Rightarrow$

$$Z(\beta) = \text{tr} e^{-\beta\hat{H}} = \langle n | e^{-\beta\hat{H}} | n \rangle = \sum_n e^{-\beta E_n}$$

- comparison of two sums \Rightarrow

$$E_n = \hbar\omega \left(n + \frac{1}{2} \right), \quad n \in \mathbb{N}$$

- position operator

$$\hat{q}(t) = e^{it\hat{H}/\hbar} \hat{q} e^{-it\hat{H}/\hbar}, \quad \hat{q}(0) = \hat{q}$$

- imaginary time $t = -i\tau \Rightarrow$ euclidean operator

$$\hat{q}_E(\tau) = e^{\tau\hat{H}/\hbar} \hat{q} e^{-\tau\hat{H}/\hbar}, \quad \hat{q}_E(0) = \hat{q}$$

- correlations at different $0 \leq \tau_1 \leq \tau_2 \leq \dots \leq \tau_n \leq \beta$ in ensemble

$$\langle \hat{q}_E(\tau_n) \cdots \hat{q}_E(\tau_1) \rangle_\beta \equiv \frac{1}{Z(\beta)} \text{tr} \left(e^{-\beta\hat{H}} \hat{q}_E(\tau_n) \cdots \hat{q}_E(\tau_1) \right)$$

- consider thermal two-point function (now we set $\hbar = 1$)

$$\langle \hat{q}_E(\tau_2) \hat{q}_E(\tau_1) \rangle_\beta = \frac{1}{Z(\beta)} \text{tr} \left(e^{-(\beta-\tau_2)\hat{H}} \hat{q} e^{-(\tau_2-\tau_1)\hat{H}} \hat{q} e^{-\tau_1\hat{H}} \right)$$

- spectral decomposition: $|n\rangle$ orthonormal eigenstates of $\hat{H} \Rightarrow$

$$\langle \dots \rangle_\beta = \frac{1}{Z(\beta)} \sum_n e^{-(\beta-\tau_2)E_n} \langle n | \hat{q} e^{-(\tau_2-\tau_1)\hat{H}} \hat{q} | n \rangle e^{-\tau_1 E_n}$$

- insert $\mathbb{1} = \sum |m\rangle \langle m| \Rightarrow$

$$\langle \dots \rangle_\beta = \frac{1}{Z(\beta)} \sum_{n,m} e^{-(\beta-\tau_2+\tau_1)E_n} e^{-(\tau_2-\tau_1)E_m} \langle n | \hat{q} | m \rangle \langle m | \hat{q} | n \rangle$$

- low temperature $\beta \rightarrow \infty$: contribution of excited states to $\sum_n(\dots)$ exponentially suppressed, $Z(\beta) \rightarrow \exp(-\beta E_0) \Rightarrow$

$$\langle \hat{q}_E(\tau_2) \hat{q}_E(\tau_1) \rangle_\beta \xrightarrow{\beta \rightarrow \infty} \sum_{m \geq 0} e^{-(\tau_2-\tau_1)(E_m-E_0)} |\langle 0 | \hat{q} | m \rangle|^2$$

- likewise

$$\langle \hat{q}_E(\tau) \rangle_\beta \longrightarrow \langle 0 | \hat{q} | 0 \rangle$$

- connected two-point function

$$\langle \hat{q}_E(\tau_2) \hat{q}_E(\tau_1) \rangle_{c,\beta} \equiv \langle \hat{q}_E(\tau_2) \hat{q}_E(\tau_1) \rangle_{\beta} - \langle \hat{q}_E(\tau_2) \rangle_{\beta} \langle \hat{q}_E(\tau_1) \rangle_{\beta}$$

- term with $m = 0$ in $\sum_m(\dots)$ cancels \Rightarrow exponential decay with $\tau_1 - \tau_2$:

$$\lim_{\beta \rightarrow \infty} \langle \hat{q}_E(\tau_2) \hat{q}_E(\tau_1) \rangle_{c,\beta} = \sum_{m \geq 1} e^{-(\tau_2 - \tau_1)(E_m - E_0)} |\langle 0 | \hat{q} | m \rangle|^2$$

- energy gap $E_1 - E_0$ and matrix element $|\langle 0 | \hat{q} | 1 \rangle|^2$ from

$$\langle \hat{q}_E(\tau_2) \hat{q}_E(\tau_1) \rangle_{c,\beta \rightarrow \infty} \longrightarrow e^{-(E_1 - E_0)(\tau_2 - \tau_1)} |\langle 0 | \hat{q} | 1 \rangle|^2, \quad \tau_2 - \tau_1 \rightarrow \infty$$

- for path-integral representation consider matrix elements

$$\langle q' | e^{-\beta \hat{H}} e^{\tau_2 \hat{H}} \hat{q} e^{-\tau_2 \hat{H}} e^{\tau_1 \hat{H}} \hat{q} e^{-\tau_1 \hat{H}} | q \rangle$$

- resolution of the identity and $\hat{q}|u\rangle = u|u\rangle$:

$$\langle \dots \rangle = \int dv du \langle q' | e^{-(\beta-\tau_2)\hat{H}} |v\rangle v \langle v | e^{-(\tau_2-\tau_1)\hat{H}} |u\rangle u \langle u | e^{-\tau_1 \hat{H}} |q\rangle$$

- path integral representations each propagator ($\beta > \tau_2 > \tau_1$):
 - sum over paths with $q(0) = q$ and $q(\tau_1) = u$
 - sum over paths with $q(\tau_1) = u$ and $q(\tau_2) = v$
 - sum over paths with $q(\tau_2) = v$ and $q(\beta) = q'$
 - multiply with intermediate positions $q(\tau_1)$ and $q(\tau_2)$
- $\int du dv$: path integral over all paths with $q(0) = q$ and $q(\beta) = q'$

- insertion of $q(\tau_2)q(\tau_1)$ in path integral

$$\langle \hat{q}_E(\tau_2)\hat{q}_E(\tau_1) \rangle_\beta = \frac{1}{Z(\beta)} \oint \mathcal{D}q e^{-S_E[q]} q(\tau_2)q(\tau_1)$$

- similarly: thermal n -point correlation functions

$$\langle \hat{q}_E(\tau_n) \cdots \hat{q}_E(\tau_1) \rangle_\beta = \frac{1}{Z(\beta)} \oint \mathcal{D}q e^{-S_E[q]} q(\tau_n) \cdots q(\tau_1)$$

conclusion

there exist a path integral representation for all equilibrium quantities, e.g.

- thermodynamic potentials, equation of state, correlation functions

real time: quantum mechanics

- action from mechanics

$$S = \int dt \left(\frac{m}{2} \dot{q}^2 - V(q) \right)$$

- real time path integral

$$\begin{aligned} \langle q' | e^{-it\hat{H}/\hbar} | q \rangle \\ = \mathcal{C} \int_{q(0)=q}^{q(t)=q'} \mathcal{D}q e^{iS[q]/\hbar} \end{aligned}$$

- correlation functions

$$\begin{aligned} \langle 0 | T \hat{q}(t_1) \hat{q}(t_2) | 0 \rangle \\ = \mathcal{C} \int \mathcal{D}q e^{iS[q]/\hbar} q(t_1) q(t_2) \end{aligned}$$

- oscillatory integrals

imaginary time: quantum statistics

- euclidean action

$$S_E = \int d\tau \left(\frac{m}{2} \dot{q}^2 + V(q) \right)$$

- imaginary time path integral

$$\begin{aligned} \langle q' | e^{-\beta\hat{H}/\hbar} | q \rangle \\ = \mathcal{C} \int_{q(0)=q}^{q(\hbar\beta)=q'} \mathcal{D}q e^{-S_E[q]/\hbar} \end{aligned}$$

- correlation functions

$$\begin{aligned} \langle \hat{q}_E(\tau_1) \hat{q}_E(\tau_2) \rangle_\beta \\ = \mathcal{C} \int \mathcal{D}q e^{-S_E[q]/\hbar} q(\tau_1) q(\tau_2) \end{aligned}$$

- exponentially damped integrals

- numerical simulations: discrete (euclidean) time
- system on time lattice = **classical spin system**

$$Z_\beta = \lim_{n \rightarrow \infty} \int dq_1 \cdots dq_n \left(\frac{m}{2\pi\hbar\varepsilon} \right)^{n/2} e^{-S_E(q_1, \dots, q_n)/\hbar}$$

- expectation values of observables

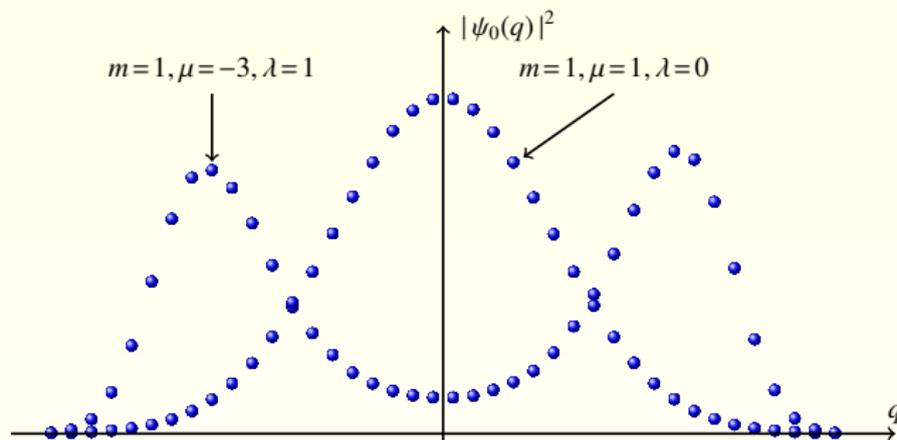
$$\int dq_1 \cdots dq_n F(q_1, \dots, q_n)$$

high-dimensional integral (sometimes $n = 10^6$ required)

- curse of dimension: analytical and numerical approaches do not work
- stochastic methods, e.g. Monte-Carlo **important sampling**

what can be determined?

- energies, transitions amplitudes and wave functions in QM
- potentials, phase transitions, condensates and critical exponents
- bound states, masses and structure functions in particle physics ...



$$\hat{H} = \frac{\hat{p}^2}{2m} + \mu\hat{q}^2 + \lambda\hat{q}^4$$

- Monte-Carlo simulation (Metropolis algorithm)
- square of the ground state wave function
- parameters in units of lattice constant ε

A. Wipf, Lecture Notes Physics 864 (2013)

exercise: harmonic chain

find free energy for periodic chain of coupled harmonic oscillators

$$H = \frac{1}{2m} \sum_{i=1}^N p_i^2 + \frac{m\omega^2}{2} \sum_i (q_{i+1} - q_i)^2, \quad q_i = q_{i+N}$$

- periodic $q(\tau) \Rightarrow$ may integrate by parts in

$$L_E = \frac{m}{2} \int d\tau (\dot{q}^2 + \omega^2 (q_{i+1} - q_i)^2)$$

- matrix notation

$$L_E = \frac{m}{2} \int d\tau q^T \left(-\frac{d^2}{d\tau^2} + A \right) q, \quad A = \omega^2 (2\delta_{ij} - \delta_{i,j+1} - \delta_{i,j-1})$$

- hint: non-negative eigenvalues and orthonormal eigenvectors of A :

$$\omega_k = 2\omega \sin \frac{\pi k}{N} \quad \text{and} \quad e_k$$

- expand $q(\tau) = \sum c_k(\tau) e_k$

$$L_E = \sum_k \frac{m}{2} \int d\tau (\dot{c}_k^2 + \omega_k^2 c_k^2)$$

- N decoupled oscillators with frequencies $\omega_k \Rightarrow$

$$\langle \mathbf{q} | e^{-\beta \hat{H}} | \mathbf{q} \rangle = \prod_k K_{\omega_k}(\beta, \mathbf{q}_k, \mathbf{q}_k)$$

- results for one-dimensional oscillator \Rightarrow

$$Z_\beta = \prod_k \frac{e^{\beta\omega_k/2}}{e^{\beta\omega_k} - 1} = \prod_k \frac{e^{-\beta\omega_k/2}}{1 - e^{-\beta\omega_k}}, \quad \omega_k = 2\omega \sin \frac{\pi k}{N}$$

- free energy contains zero-point energy

$$F_\beta = \frac{1}{2} \sum_k \hbar\omega_k + kT \sum_k \log(1 - e^{-\hbar\omega_k/kT})$$

- spin 0: scalar field (Higgs particle, inflaton, ...)
- spin $\frac{1}{2}$: spinor field (electron, neutrinos, quarks, ...)
- spin 1: vector field (photon, W-bosons, Z-boson, gluons, ...)

a quick way from quantum mechanics to quantized scalar field theory:

- scalar field $\phi(t, \mathbf{x})$ satisfies Klein-Gordon type equation ($\hbar = c = 1$)

$$\square\phi + V'(\phi) = 0$$

- Lagrangian = integral of Lagrangian density over space

$$\mathcal{L}[\phi] = \int_{\text{space}} d\mathbf{x} \mathcal{L}(\phi, \partial_\mu\phi), \quad \mathcal{L} = \frac{1}{2}\partial_\mu\phi\partial^\mu\phi - V(\phi)$$

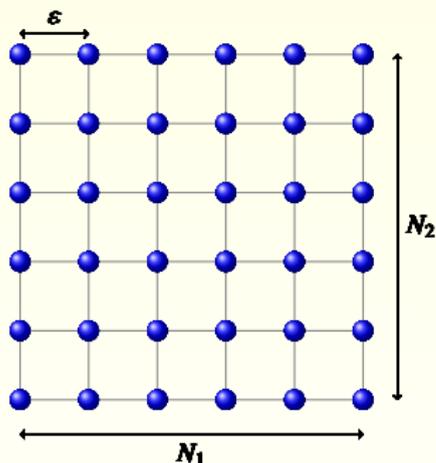
- momentum field, Legendre transform \Rightarrow **Hamiltonian** (fixed time t)

$$\pi(\mathbf{x}) = \frac{\delta L}{\delta \dot{\phi}(\mathbf{x})} = \frac{\partial \mathcal{L}}{\partial \dot{\phi}(\mathbf{x})} = \dot{\phi}(\mathbf{x})$$

$$H = \int d\mathbf{x} (\pi \dot{\phi} - L) = \int d\mathbf{x} \mathcal{H}, \quad \mathcal{H} = \frac{1}{2} \pi^2 + \frac{1}{2} (\nabla \phi)^2 + V(\phi)$$

- free particle: $V \propto \phi^2 \Rightarrow$ **Klein-Gordon** $\square \phi + m^2 \phi = 0$
- infinitely many dof: one at each space point
- one of many possible regularizations: **discretize space**
- field theory on **space lattice**: $\mathbf{x} = \varepsilon \mathbf{n}$ with $\mathbf{n} \in \mathbb{Z}^{d-1}$

$$\phi(t, \mathbf{x}) \longrightarrow \phi_{\mathbf{x}=\varepsilon \mathbf{n}}(t) \quad , \quad \int d\mathbf{x} \longrightarrow \varepsilon^{d-1} \sum_{\mathbf{n}}$$



- e.g. periodic bc
- lattice constant ε
- # of lattice sites $N = \prod N_i$
- linear extends $L_i = \varepsilon N_i$
- physical volume $V = \varepsilon^{d-1} N$

- finite hypercubic lattice in space

$$\mathbf{x} = \varepsilon \mathbf{n} \quad \text{with} \quad n_i \in \{1, 2, \dots, N_i\}$$

- continuum field $\phi(\mathbf{x}) \rightarrow$ lattice field $\phi_{\mathbf{x}}$
- integral \rightarrow Riemann sum

$$\int d\mathbf{x} \rightarrow \varepsilon^{d-1} \sum_{\mathbf{n}}$$

- derivative \rightarrow difference quotient

$$\frac{\partial \phi(\mathbf{x})}{\partial x_i} \rightarrow (\partial_i \phi)_{\mathbf{x}}$$

- example: symmetric “lattice derivative”

$$(\partial_i \phi)_{\mathbf{x}} = \frac{\phi_{\mathbf{x} + \varepsilon \mathbf{e}_i} - \phi_{\mathbf{x} - \varepsilon \mathbf{e}_i}}{2\varepsilon}$$

- finite lattice \rightarrow mechanical system with finite number of dof

$$H = \varepsilon^{d-1} \sum_{\mathbf{x} \in \text{lattice}} \left(\frac{1}{2} \pi_{\mathbf{x}}^2 + \frac{1}{2} (\partial\phi)_{\mathbf{x}}^2 + V(\phi_{\mathbf{x}}) \right)$$

- path integral quantization known

$$\langle \{\phi'_{\mathbf{x}}\} | e^{-i\hat{H}/\hbar} | \{\phi_{\mathbf{x}}\} \rangle = \mathcal{C} \int \prod_{\mathbf{x}} \mathcal{D}\phi_{\mathbf{x}} e^{iS[\{\phi_{\mathbf{x}}\}]/\hbar}$$

- (formal) path integral over paths $\{\phi_{\mathbf{x}}(t)\}$ in configuration space

$$\phi_{\mathbf{x}}(0) = \phi_{\mathbf{x}} \quad \text{and} \quad \phi_{\mathbf{x}}(t) = \phi'_{\mathbf{x}}, \quad \forall \mathbf{x} = \varepsilon \mathbf{n}$$

- high-dimensional quantum mechanical system with action

$$S[\{\phi_{\mathbf{x}}\}] = \int dt \varepsilon^{d-1} \sum_{\mathbf{x}} \left(\frac{1}{2} \dot{\phi}_{\mathbf{x}}^2 - \frac{1}{2} (\partial\phi)_{\mathbf{x}}^2 - V(\phi_{\mathbf{x}}) \right)$$

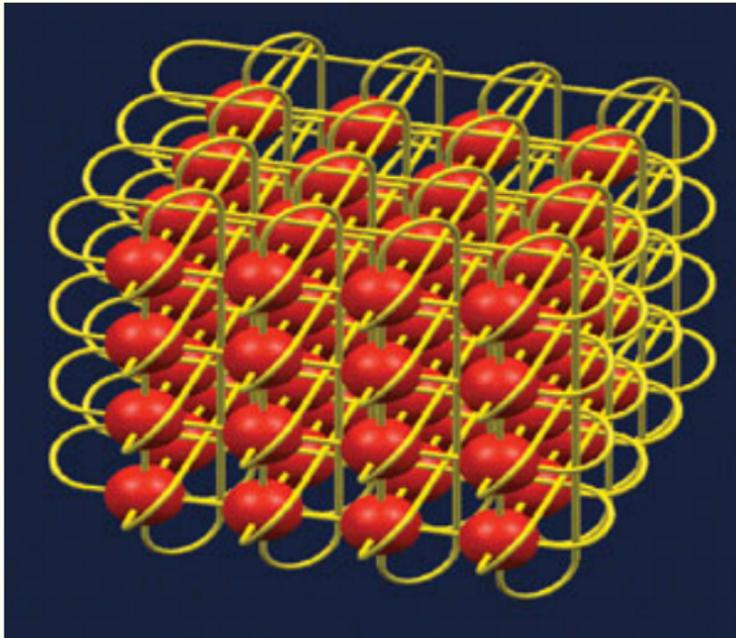
- canonical partition function

$$Z_\beta = \mathcal{C} \int \prod_{\mathbf{x}} \mathcal{D}\phi_{\mathbf{x}} e^{-S_E[\{\phi_{\mathbf{x}}\}]/\hbar}, \quad \phi_{\mathbf{x}}(\tau) = \phi_{\mathbf{x}}(\tau + \hbar\beta)$$

- real euclidean action

$$S_E[\{\phi_{\mathbf{x}}\}] = \int d\tau \varepsilon^{d-1} \sum_{\mathbf{x}} \left(\frac{1}{2} \dot{\phi}_{\mathbf{x}}^2 + \frac{1}{2} (\partial\phi)_{\mathbf{x}}^2 + V(\phi_{\mathbf{x}}) \right)$$

- path-integral well-defined after discretization of “time”
- convenient: same lattice constant ε in time and spatial directions
- replace $\tau \in [0, \hbar\beta] \rightarrow \tau \in \{\varepsilon, 2\varepsilon, \dots, N_0\varepsilon\}$ with $N_0\varepsilon = \hbar\beta$
- lattice sites $(x^\mu) = (\tau, \mathbf{x}) = (\varepsilon n^\mu)$ with $n_\mu \in \{1, 2, \dots, N_\mu\}$
 $\Rightarrow d$ -dimensional hypercubic space-time lattice



lattice field ϕ_x defined on sites of space-time lattice Λ

- d -dimensional Euclid'sche space-time \rightarrow **lattice** Λ , sites $x \in \Lambda$
- continuous field $\phi(x) \rightarrow$ **lattice field** ϕ_x , $x \in \Lambda$
 - finite lattice: extend in direction μ : $L_\mu = \varepsilon N_\mu$
finite temperature: $L_1 = \dots = L_{d-1} \gg L_0 \equiv \beta = 1/(kT)$
scalar field **periodic in imaginary time direction**

$$\phi_{x=(x^0+\varepsilon N_0, \mathfrak{x})} = \phi_{x=(x^0, \mathfrak{x})} \implies \text{temperature-dependence}$$

- typically: also periodic in spatial directions
 \Rightarrow identification $x^\mu \sim x^\mu + L_\mu$ (torus)
- space-time volume $V = \varepsilon^d N_1 N_2 \dots N_d$
- some freedom in **choice of lattice derivative** (use symmetries)

dimensionless fields and couplings ($\hbar = c = 1$)

- natural units $\hbar = c = 1 \Rightarrow$ all units in powers of length L
- dimensionless action (unit L^0)

$$S_E = \int d^d x \left(\frac{1}{2} (\partial\phi)^2 + \sum_a \lambda_a^{\text{ph}} \phi^a \right)$$

- $\int d^d x (\partial\phi)^2$ dimensionless $\Rightarrow [\partial\phi] = L^{-d/2} \Rightarrow [\phi] = L^{1-d/2}$
- $\lambda_a^{\text{ph}} \int d^d x \phi^a$ dimensionless $\Rightarrow [\lambda_a^{\text{ph}}] = L^{-d-a+ad/2}$
- in particular $\lambda_2^{\text{ph}} \propto m^2 \Rightarrow [m] = L^{-1}$
- 4 space-time dimensions $\Rightarrow \lambda_4^{\text{ph}}$ dimensionless
- dimensionless lattice field and lattice constants ($x = \varepsilon n$)

$$\phi_x = \varepsilon^{1-d/2} \phi_n, \quad \lambda_a^{\text{ph}} = \varepsilon^{-d-a+ad/2} \lambda_a$$

- lattice action with dimensionful quantities

$$S_L^{\text{ph}} = \varepsilon^d \sum_x \left(\frac{1}{2} \left(\frac{\phi_{x+\varepsilon e_\mu} - \phi_{x-\varepsilon e_\mu}}{2\varepsilon} \right)^2 + \sum_a \lambda_a^{\text{ph}} \phi_x^a \right)$$

⇒ lattice action with dimensionless quantities

$$S_L = \sum_n \left(\frac{1}{2} (\phi_{n+e_\mu} - \phi_{n-e_\mu})^2 + \sum_a \lambda_a \phi_n^a \right)$$

- partition function

$$Z_\beta = \mathcal{C} \int \prod_{n=1}^{N_0 N_1 \dots} d\phi_n e^{-S_L[\{\phi_n\}]}$$

- finite-dimensional well-defined integral (lattice regularization)
- lattice formulation without any dimensionful quantity
- processor knows numbers, not units!

- merely letting $\varepsilon \rightarrow 0$: no meaningful continuum limit
- λ_a must be changed as $\varepsilon \rightarrow 0$
- condition: **dimensionful observables approach well-defined finite limits**
- existence of such **continuum limit** not guaranteed
- example: consider correlation length in

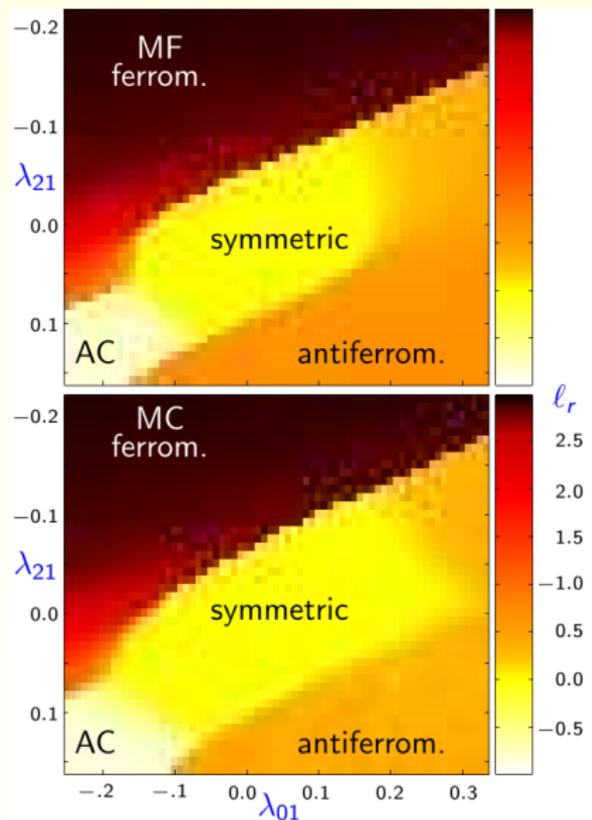
$$\langle \phi(n)\phi(m) \rangle_c \propto e^{-|n-m|/\xi}, \quad \frac{1}{\xi} = m = \text{dimensionless mass}$$

- ξ depends on dimensionless couplings $\xi = \xi(\lambda_a)$
- relates to (given) dimensionful mass $m^{\text{ph}} = 1/(\varepsilon\xi) \Rightarrow \varepsilon$
- m^{ph} from experiment, $\xi(\lambda_a)$ measured on lattice
- renormalization**: keep m^{ph} (and further observables) fixed $\Rightarrow \lambda_a$

- extend of physical objects \gg separation of lattice points
- extend of physical objects \ll box size
- conditions (scaling window)
 - small discretization effects $\xi \gg 1$
 - small finite size effects $\xi \ll N_\mu$
 - strict continuum limit: $\xi \rightarrow \infty$
- 2'nd order phase transition required in system with $N_{\text{spatial}} \rightarrow \infty$
- theory renormalizable: only a small number of λ_a must be tuned
- relevant renormalizable field theories
 - non-Abelian gauge theories in $d \leq 4$
 - scalar field theories in $d < 4$
 - four-Fermi theories in $d \leq 3$
 - non-linear sigma-models in $d \leq 3$
 - Einstein-gravity in $d \leq 4$ (???)

- **input in simulations:** only a few observables (masses)
- simulate with stochastic algorithms in scaling window
- repeat simulations with same observables but decreasing ε
- **output:** many (dimensionful) observables
- extrapolate to $\varepsilon \rightarrow 0$
- if theory **renormalizable:** converge to a continuum limit as $\varepsilon \rightarrow 0$
- finite temperature: N_0 given, ε from matching to observable $\Rightarrow \beta = \varepsilon N_0$.
 \Rightarrow temperature dependence of
 - free energy
 - condensates
 - pressure, densities
 - free energy of two static charges (confinement)
 - phase diagram
 - screening effects
 - correlations in heat bath, . . .

- path integral for finite temperature QFT = classical spin model
- no non-commutative operators, instead: path or functional integration over fields
- scalar field:
assign $\phi_n \in \mathbb{R}$ to each lattice site
- sigma models:
 $\phi_n \in \text{Sphere}$
- discrete spin models:
 $\phi_n \in \text{discrete group}$
- example: Potts-model:
 $\phi_n \in \mathbb{Z}_q$
figures: 3–state Potts-type model



electron, muon, quarks, ... are described by 4-component spinor field $\psi_\alpha(x)$

- metric tensor in Minkowski space-time

$$(\eta_{\mu\nu}) = \text{diag}(1, -1, -1, -1)$$

- 4 × 4 gamma-matrices

$$\gamma^0, \dots, \gamma^3, \quad \{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu} \mathbb{1}$$

- covariant Dirac equation for free massive fermions

$$(i\rlap{\not{\partial}} - m)\psi(x) = 0, \quad \rlap{\not{\partial}} = \gamma^\mu \partial_\mu$$

- Euler-Lagrange equation of invariant action

$$S = \int d^4x \bar{\psi}(i\rlap{\not{\partial}} - m)\psi, \quad \bar{\psi} = \psi^\dagger \gamma^0 \implies \pi_\psi = -i\psi^\dagger$$

- quantization: $\psi(\mathbf{x}) \rightarrow \hat{\psi}(\mathbf{x})$
- satisfies anti-commutation relation

$$\{\hat{\psi}_\alpha(t, \mathbf{x}), \hat{\psi}_\beta^\dagger(t, \mathbf{y})\} = \delta_{\alpha\beta} \delta(\mathbf{x} - \mathbf{y})$$

- Hamilton operator: $\beta = \gamma^0$, $\alpha = \gamma^0 \boldsymbol{\gamma}$:

$$\hat{H} = \int d\mathbf{x} \hat{\psi}^\dagger(\mathbf{x}) (\hat{h} \hat{\psi})(\mathbf{x}), \quad \hat{h} = i \boldsymbol{\alpha} \cdot \nabla + m \beta$$

- derive path integral representation of partition function

$$Z_\beta = \text{tr} e^{-\beta \hat{H}}$$

- leads to imaginary time path integral
- replace $t \rightarrow -i\tau$ and

$$\gamma_E^0 = \gamma^0 \quad \text{and} \quad \gamma_E^i = i\gamma^i$$

- ACR with euclidean metric

$$\{\gamma_E^\mu, \gamma_E^\nu\} = 2\delta^{\mu\nu} \mathbb{1}, \quad \gamma_E^\mu \text{ hermitean}$$

- lattice regularization (drop index E)

space-time $\mathbb{R}^4 \rightarrow$ finite (hypercubic) lattice Λ
 continuum field $\psi(x)$ on $\mathbb{R}^4 \rightarrow$ lattice field ψ_x

- expected path integral

$$Z_\beta = \text{tr}_{\text{reg}} e^{-\beta \hat{H}} = \mathcal{C} \oint \prod_{\alpha, x \in \Lambda} d\psi_{\alpha, x}^\dagger d\psi_{\alpha, x} e^{-S_L[\psi, \psi^\dagger]}$$

- integration over anti-periodic fields (ACR for ψ , see below)

$$\psi_x(\tau + \beta) = -\psi_x(\tau), \quad \text{also on time lattice}$$

- S_L some lattice regularization of

$$S_E = \int d^d x \psi^\dagger (i\partial + im)\psi$$

- quantized scalar field obey equal-time CR

$$[\hat{\phi}(t, \mathbf{x}), \hat{\phi}(t, \mathbf{y})] = 0, \quad \mathbf{x} \neq \mathbf{y}$$

⇒ commuting fields in path integral

$$[\phi(x), \phi(y)] = 0, \quad \forall x, y$$

- quantized fermion field obey equal-time ACR

$$\{\hat{\psi}_\alpha(t, \mathbf{x}), \hat{\psi}_\beta^\dagger(t, \mathbf{y})\} = 0, \quad \mathbf{x} \neq \mathbf{y},$$

⇒ anti-commuting fields in path integral

$$\{\psi_\alpha(x), \psi_\beta^\dagger(y)\} = 0, \quad \forall x, y$$

- variables $\{\psi_{\alpha,n}, \psi_{\alpha,n}^\dagger\}$ in fermion path integral: Grassmann variables

- free theories have quadratic action

Gaussian integrals with $A = A^T$ positive matrix; exercise \Rightarrow

$$\int \prod_{n=1}^N d\phi_n \exp\left(-\frac{1}{2} \sum \phi_n A_{nm} \phi_m\right) = \frac{(2\pi)^{n/2}}{\sqrt{\det A}}$$

- what do we get for fermions?
- simplify notation: $\psi_{\alpha,n} \equiv \eta_i$ and $\psi_{\alpha,n}^\dagger \equiv \bar{\eta}_i$ with $i = 1, \dots, m$
- objects $\{\eta_i, \bar{\eta}_i\}$ form complex Grassmann algebra:

$$\{\eta_i, \eta_j\} = \{\bar{\eta}_i, \bar{\eta}_j\} = \{\eta_i, \bar{\eta}_j\} = 0 \implies \eta_i^2 = \bar{\eta}_i^2 = 0$$

Grassmann integration defined by ($a, b \in \mathbb{C}$)

$$\int \text{linear}, \quad \int d\eta_i (a + b\eta_i) = b, \quad \int d\bar{\eta}_i (a + b\bar{\eta}_i) = b$$

- Grassmann integrals with

$$\mathcal{D}\bar{\eta}\mathcal{D}\eta \equiv \prod_{i=1}^m d\bar{\eta}_i d\eta_i$$

- free fermions \Rightarrow Gaussian Grassmann integral

$$Z = \int \mathcal{D}\bar{\eta}\mathcal{D}\eta e^{-\bar{\eta}A\eta}, \quad \bar{\eta}A\eta = \sum_{i,j} \bar{\eta}_i A_{ij} \eta_j$$

- expand exponential function: $\int \mathcal{D}\bar{\eta}\mathcal{D}\eta (\bar{\eta}A\eta)^k = 0$ for $k \neq m$
- remaining contribution (use $\bar{\eta}_i^2 = 0$)

$$\begin{aligned} \frac{1}{n!} \int \mathcal{D}\bar{\eta}\mathcal{D}\eta (\bar{\eta}A\eta)^m &= \int \mathcal{D}\bar{\eta}\mathcal{D}\eta \sum_{i_1, \dots, i_m} (\bar{\eta}_1 A_{1i_1} \eta_{i_1}) \cdots (\bar{\eta}_m A_{mi_m} \eta_{i_m}) \\ &= \int \mathcal{D}\bar{\eta}\mathcal{D}\eta \prod_i (\bar{\eta}_i \eta_i) \sum_{i_1, \dots, i_m} \varepsilon_{i_1 \dots i_m} A_{1i_1} \cdots A_{mi_m} \\ &= (-1)^m \int \prod_i (d\bar{\eta}_i \bar{\eta}_i d\eta_i \eta_i) \det A = (-1)^m \det A \end{aligned}$$

- simple formula

$$\int \mathcal{D}\bar{\eta} \mathcal{D}\eta e^{-\bar{\eta} A \eta} = \det A$$

- generalization: generating function

$$Z(\bar{\alpha}, \alpha) = \int \mathcal{D}\bar{\eta} \mathcal{D}\eta e^{-\bar{\eta} A \eta + \bar{\alpha} \eta + \bar{\eta} \alpha} = (e^{-\bar{\alpha} A^{-1} \alpha}) \det A$$

- expand in powers of $\bar{\alpha}$, $\alpha \Rightarrow$

$$\langle \bar{\eta}_i \eta_j \rangle \equiv \frac{1}{Z} \int \mathcal{D}\bar{\eta} \mathcal{D}\eta e^{-\bar{\eta} A \eta} \bar{\eta}_i \eta_j = (A^{-1})_{ij}$$

- application to Dirac fields: above partition function

$$Z_\beta = \oint \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-S_L}, \quad \mathcal{D}\bar{\psi} \mathcal{D}\psi = \prod_{\alpha, n} d\psi_{\alpha, n}^\dagger d\psi_{\alpha, n}$$

- dimensionless field and couplings

$$S_L = \sum_{n \in \Lambda} \psi_n^\dagger (i\partial_{nm} + im\delta_{nm}) \psi_n = \sum_n \bar{\psi}_n D_{nm} \psi_m$$

- lattice partition function

$$Z_\beta = C \det D$$

- expectation value in canonical ensemble

$$\langle \hat{A} \rangle_\beta = \frac{1}{Z_\beta} \oint \mathcal{D}\bar{\psi} \mathcal{D}\psi A(\bar{\psi}, \psi) e^{-S_L(\psi, \bar{\psi})}$$

- formula for complex scalar field

$$Z_\beta = \oint \mathcal{D}\phi \mathcal{D}\bar{\phi} \exp\left(-\sum \bar{\phi}_m C_{mn} \phi_n\right) \propto \frac{1}{\det C}$$

- boson fields: periodic in imaginary time
fermion fields: anti-periodic in imaginary time

- neutral scalars (+: periodic bc)

$$S_E = \frac{1}{2} \int \phi(-\Delta + m^2) \phi \implies F_\beta = \frac{kT}{2} \log \det_+(-\Delta + m^2) + \dots$$

- Dirac fermions (-: anti-periodic bc)

$$S_E = \int \psi^\dagger (i\cancel{\partial} + im) \psi \implies F_\beta = -2kT \log \det_-(-\Delta + m^2) + \dots$$

exercise

Try to prove the results for fermions (including sign and overall factor)

zeta-function for second order operator $A > 0$

$$\zeta_A(s) = \sum_n \lambda_n^{-s}, \quad \text{eigenvalues } \lambda_n$$

- absolute convergent series in half-plane $\Re(s) > d/2$
- meromorphic analytic continuation, analytic in neighborhood of $s = 0$
- defines ζ -function regularized determinant

Dowker, Hawking

$$\log \det A = \text{tr} \log A = \sum \log \lambda_n = -\left. \frac{d\zeta_A(s)}{ds} \right|_{s=0}$$

- correct for matrices
- Mellin transformations

$$\int_0^\infty dt t^{s-1} e^{-t\lambda} = \Gamma(s) \lambda^{-s}$$

\Rightarrow relation to heat kernel

$$\zeta_A(s) = \sum_n \frac{1}{\Gamma(s)} \int_0^\infty dt t^{s-1} e^{-t\lambda_n} = \frac{1}{\Gamma(s)} \int_0^\infty dt t^{s-1} \text{tr} (e^{-tA})$$

- coordinate representation

$$\zeta_A(s) = \frac{1}{\Gamma(s)} \int_0^\infty dt t^{s-1} \int dx K(t; x, x), \quad K(t) = e^{-tA}$$

- heat kernel of $A = -\Delta + m^2$ on cylinder $[0, \beta] \times \mathbb{R}^{d-1}$

$$K^\pm(t; x, x') = \frac{e^{-m^2 t}}{(4\pi t)^{d/2}} \sum_{n \in \mathbb{Z}} (\pm 1)^n e^{-\{(\tau - \tau' + n\beta)^2 + (x - x')^2\}/4t}$$

- integrate over diagonal elements

$$\zeta_A^\pm(s) = \frac{\beta V}{(4\pi)^{d/2} \Gamma(s)} \int dt t^{s-1-d/2} e^{-m^2 t} \sum_{n=-\infty}^{\infty} (\pm 1)^n e^{-n^2 \beta^2 / 4t}$$

- Jacobi theta function

- integral representation of **Kelvin functions**

$$\int_0^{\infty} dt t^a e^{-bt-c/t} = 2 \left(\frac{c}{b}\right)^{(a+1)/2} K_{a+1}(2\sqrt{bc})$$

⇒ series representation; in $d = 4$

$$\zeta_A^{\pm}(s) = \frac{\beta V}{16\pi^2} \frac{m^{4-2s}}{\Gamma(s)} \left(\Gamma(s-2) + 4 \sum_1^{\infty} (\pm)^n \left(\frac{nm\beta}{2}\right)^{s-2} K_{2-s}(nm\beta) \right)$$

- identities

$$\frac{\Gamma(s-2)}{\Gamma(s)} = \frac{1}{(s-1)(s-2)} \quad \text{and} \quad \frac{1}{\Gamma(s)} = s + O(s^2)$$

- derivative at $s = 0 \Rightarrow$

$$F_{\beta}^{\pm} = -\frac{m^4 V C_{\pm}}{128\pi^2} \left(3 - 2 \log \frac{m^2}{\mu^2} + 64 \sum_{n=1,2,\dots} (\pm)^n \frac{K_2(nm\beta)}{(nm\beta)^2} \right)$$

- real scalars $C_+ = 1$, complex fermions $C_- = -4$
- well-known results for massless particles $K_2(x) \sim 2/x^2$

$$\lim_{m \rightarrow 0} f^+(\beta) = -\frac{\pi^2}{90} T^4, \quad \lim_{m \rightarrow 0} f^-(\beta) = -\frac{2}{45\pi^2} T^4$$

questions

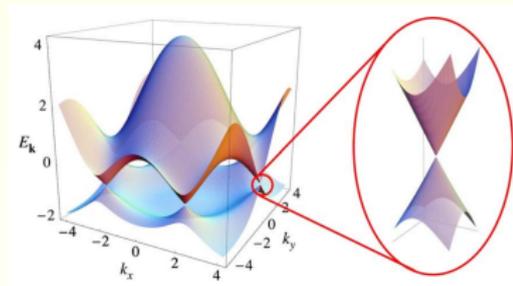
Why is there a relative factor of 4? What is the free energies of complex scalars, Majorana fermions and photons. What is free energy of complex fermions in d dimensions?

condensed matter systems in $d = 2 + 1$

- tight binding approximation for small excitation energies
- honeycomb lattice for graphene (GN):
2 atoms in every cell, 2 Dirac points
⇒ 4-component spinor field
- interaction-driven transition metal \leftrightarrow insulator
- long rang order: AF, CDW, ...
- interacting fermions (symmetries!)

condensed matter systems in $d = 1 + 1$

- conducting polymers (Trans- and Cis-polyacetylen) Su, Schrieffer, Heeger
- quasi-one-dimensional inhomogeneous superconductor Mertsching, Fischbeck



relativistic dispersion-relations for
electronic excitations on
honeycomb lattice

from Castro Neto et al.

- irreducible spinor in two and three dimensions has 2 components
- N_f species (flavours) of spinors, $\Psi = (\psi_1, \dots, \psi_{N_f})$
- relativistic fermions

$$\mathcal{L}_{\text{GN}} = \bar{\Psi} i \not{\partial} \Psi + im \bar{\Psi} \Psi + \mathcal{L}_{\text{Int}}(\Psi, \bar{\Psi}), \quad \text{e.g. } \bar{\Psi} \Psi = \sum \bar{\psi}_i \psi_i$$

- parity invariant models

$$\mathcal{L}_{\text{Int}} = \frac{g_{\text{GN}}^2}{2N_f} (\bar{\Psi} \Psi)(\bar{\Psi} \Psi) \quad \text{scalar-scalar, Gross-Neveu}$$

$$\mathcal{L}_{\text{Int}} = -\frac{g_{\text{Th}}^2}{2N_f} (\bar{\Psi} \gamma^\mu \Psi)(\bar{\Psi} \gamma_\mu \Psi) \quad \text{vector-vector, Thirring}$$

$$\mathcal{L}_{\text{Int}} = \frac{g_{\text{PS}}^2}{2N_f} (\bar{\Psi} \gamma_* \Psi)(\bar{\Psi} \gamma_* \Psi) \quad \text{pseudoscalar-pseudoscalar}$$

- in even dimensions $\gamma_* \propto \prod \gamma_\mu$
- Hubbard-Stratonovich trick with scalar, vector and pseudoscalar field

- combinations thereof in $d = 4$
 - non-renormalizable Fermi theory of weak interaction
 - effective models for chiral phase transition in QCD (Jona Lasino)
- 2 spacetime dimensions: $[g] = L^0$
 - massless ThM: soluble
 - massless ThM in curved space with μ : soluble
 - GNM: asymptotically free, integrable
- 3 spacetime dimensions: $[g] = Ls$
 - not renormalizable in PT
 - renormalizable in large- N expansion
 - interacting UV fixed point \rightarrow asymptotically safe
 - can exhibit parity breaking at low T
- lattice theories:
 - generically: sign problem even for $\mu = 0$
 - partial solution of sign problem

Thirring

Sachs+AW, ...

Gross-Neveu, Coleman, ...

Gawedzki, Kupiainen; Park, Rosenstein, Warr

de Veiga; da Calen; Gies, Janssen

Schmidt, Wellegehausen, Lenz, AW

with J. Lenz, L. Panullo, M. Wagner and B. Wellegehausen

- GN shows breaking of discrete chiral symmetry
- order parameter $i\Sigma = \langle \bar{\Psi}\Psi \rangle$

$$\psi_a \rightarrow i\gamma_*\psi_a, \quad \bar{\psi}_a \rightarrow i\bar{\psi}_a\gamma_* \implies i\Sigma = \langle \bar{\Psi}\Psi \rangle \rightarrow -\langle \bar{\Psi}\Psi \rangle$$

- equivalent formulation with auxiliary scalar field **Hubbard-Stratonovich transformation**

$$\mathcal{L}_{\text{GN}} = \mathcal{L}_\sigma = \bar{\Psi}(iD \otimes \mathbb{1}_{N_f})\Psi + \frac{N_f}{2g}(\bar{\Psi}\Psi)^2$$

$$\mathcal{L}_\sigma = \bar{\Psi}(iD \otimes \mathbb{1}_{N_f})\Psi + \lambda N_f \sigma^2, \quad D = \not{\partial} - \sigma \neq D^\dagger$$

- conserved fermion charge

$$Q = \int_{\text{space}} dx j^0 = \int_{\text{space}} dx \psi^\dagger \psi$$

- partition function of grand canonical ensemble

$$Z_{\beta, \mu} = \text{tr} e^{-\beta(\hat{H} - \mu \hat{Q})},$$

- functional integral with above \mathcal{L}_σ wherein

$$D = \not{\partial} + \sigma + \mu \gamma^0$$

- expectation values

$$\langle \mathcal{O} \rangle = \frac{1}{Z_{\beta, \mu}} \int \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{D}\sigma e^{-S_\sigma} \mathcal{O}$$

- fermion integral in

$$Z_{\beta,\mu} = \int \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{D}\sigma e^{-S_{\sigma}[\sigma,\psi,\bar{\psi}]} = \int \mathcal{D}\sigma e^{-N_f S_{\text{eff}}[\sigma]}$$

- N_f fermion species couple identically to auxiliary field \Rightarrow

$$\det(iD \otimes \mathbb{1}) = (\det iD)^{N_f}$$

- ψ anti-periodic in imaginary time, σ periodic
- effective action after fermion integral

$$S_{\text{eff}} = \lambda \int d^2x \sigma^2 - \log(\det iD)$$

- Ward identity (lattice regularization)

$$\frac{1}{Z_{\beta,\mu}} \int \mathcal{D}\psi \mathcal{D}\bar{\psi} \mathcal{D}\sigma \frac{d}{d\sigma(x)} \left(e^{-S[\sigma,\psi,\psi^\dagger]} \right) = - \left\langle \frac{dS}{d\sigma(x)} \right\rangle = 0$$

- exact relation

$$\Sigma \equiv -i \langle \bar{\psi}(x) \psi(x) \rangle = \frac{N_f}{g^2} \langle \sigma(x) \rangle$$

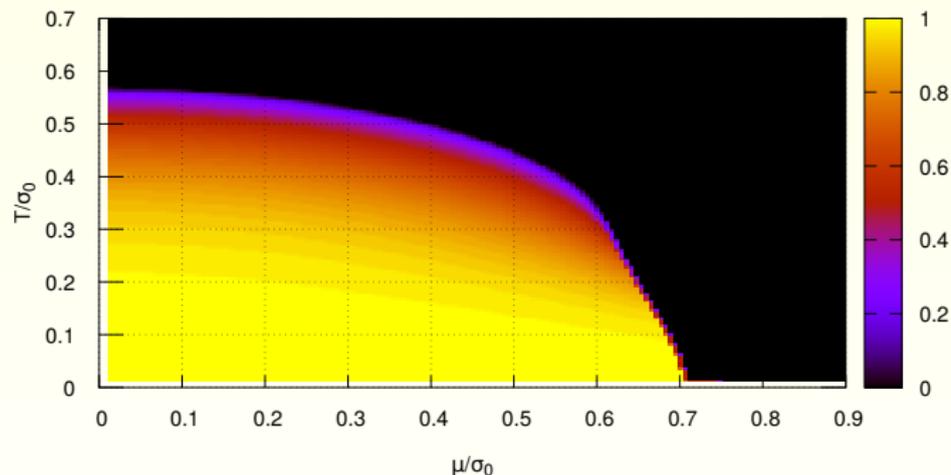
- for $N_f \rightarrow \infty$ saddle point (steepest descend) approximation

$$Z_{\beta, \mu} = \int \mathcal{D}\sigma e^{-N_f S_{\text{eff}}[\sigma]} \xrightarrow{N_f \rightarrow \infty} e^{-N_f \min S_{\text{eff}}[\sigma]}$$

- translation invariance \Rightarrow minimizing σ constant: $S_{\text{eff}} = (N_f \beta L) U_{\text{eff}}$

$$U_{\text{eff}} = \frac{\sigma^2}{4\pi} \left(\log \frac{\sigma^2}{\sigma_0^2} - 1 \right) - \frac{1}{\pi} \int_0^\infty dp \frac{p^2}{\epsilon_p} \left(\frac{1}{1 + e^{\beta(\epsilon_p + \mu)}} + \frac{1}{1 + e^{\beta(\epsilon_p - \mu)}} \right)$$

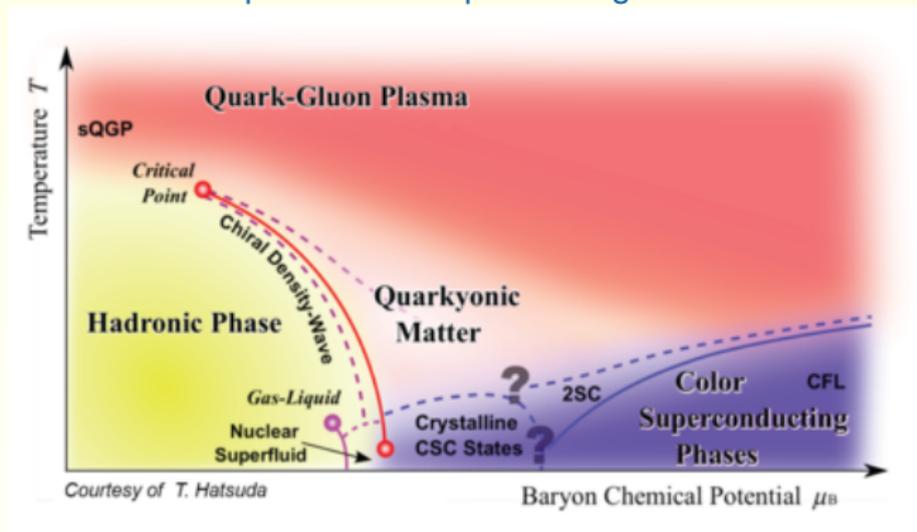
- one-particle energies $\epsilon_p = \sqrt{p^2 + \sigma^2}$
- IR-scale $\sigma_0 = \langle \sigma \rangle_{T=\mu=0}$



- symmetric phase for large T, μ
- homogeneously broken phase for small T, μ
- special points: $(T_c, \mu) = (e^\gamma/\pi, 0)$, $(T, \mu_c) = (0, 1/\sqrt{2})$
- Lifschitz-Punkt bei $(T, \mu_0) \approx (0.608, 0.318)$

Wolff, Barducci

possible QCD-phase diagram



- crystalline LOFF phase (color superconductive phase)?
- problem: $\mu \neq 0 \Rightarrow$ complex fermion determinant ☹
- large μ beyond reach in simulations
- are there inhomogeneous **crystallic phases** in model systems?

- discrete ε_n energies of Dirac Hamiltonian on $[0, L]$

$$h_\sigma = \gamma^0 \gamma^1 \partial_x + \gamma^0 \sigma(x)$$

- hidden supersymmetry

$$h_\sigma^2 = -\frac{d^2}{dx^2} + \sigma^2(x) - \gamma^1 \sigma'(x) = \begin{pmatrix} AA^\dagger & 0 \\ 0 & A^\dagger A \end{pmatrix}, \quad A = -\frac{d}{dx} + \sigma$$

- renormalization: fix (constant) condensate σ_0 at $\mu = T = 0$
- introduce constant **companion field**

$$\bar{\sigma}^2 = \frac{1}{L} \int dx \sigma^2(x)$$

- constant $\sigma \Rightarrow \bar{\sigma} = \sigma$

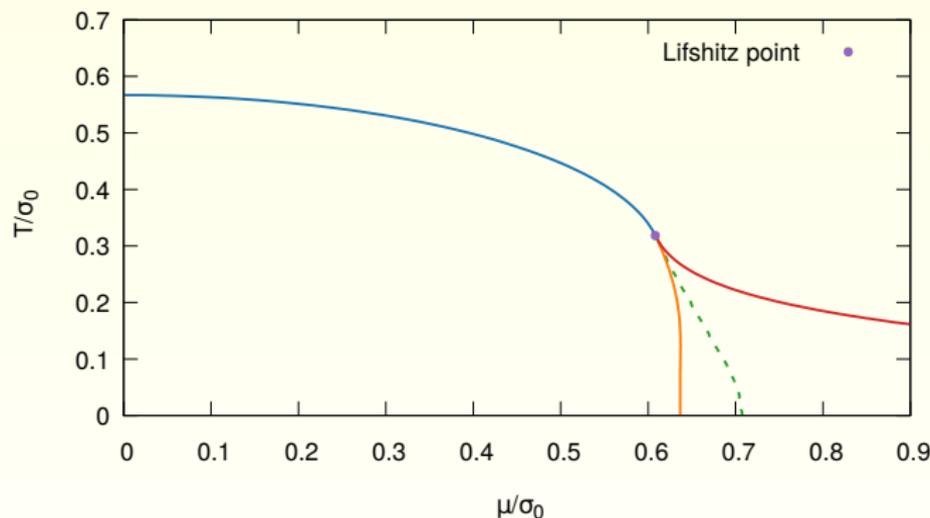
- renormalized effective action for $\sigma = \sigma(x)$

$$\begin{aligned} \mathcal{S}_{\text{eff}}[\sigma] = & \frac{\beta L}{4\pi} \bar{\sigma}^2 \left(\log \frac{\bar{\sigma}^2}{\sigma_0^2} - 1 \right) + \beta \left(\sum_{n:\varepsilon_n < 0} \varepsilon_n - \sum_{n:\bar{\varepsilon}_n < 0} \bar{\varepsilon}_n \right) \\ & - \sum_{n:\varepsilon_n > 0} \left(\log(1 + e^{-\beta(\varepsilon_n + \mu)}) + \log(1 + e^{-\beta(\varepsilon_n - \mu)}) \right) \end{aligned}$$

derive gap equation for inhomogeneous field

$$\begin{aligned} \frac{\delta \mathcal{S}_{\text{eff}}}{\delta \sigma(x)} = & \frac{1}{2\pi} \sigma(x) \log \frac{\bar{\sigma}^2}{\sigma_0^2} + \sum_{n:\varepsilon_n < 0} \psi_n^\dagger \gamma^0 \psi_n - \frac{\sigma(x)}{\bar{\sigma}} \sum_{n:\bar{\varepsilon}_n < 0} \bar{\psi}_n^\dagger \gamma^0 \bar{\psi}_n \\ & + \sum_{n:\varepsilon_n > 0} \left(\frac{1}{1 + e^{\beta(\varepsilon_n + \mu)}} + \frac{1}{1 + e^{\beta(\varepsilon_n - \mu)}} \right) \psi_n^\dagger \gamma^0 \psi_n = 0 \end{aligned}$$

- solution in terms of elliptic functions \Rightarrow crystal of baryons at large μ , low T

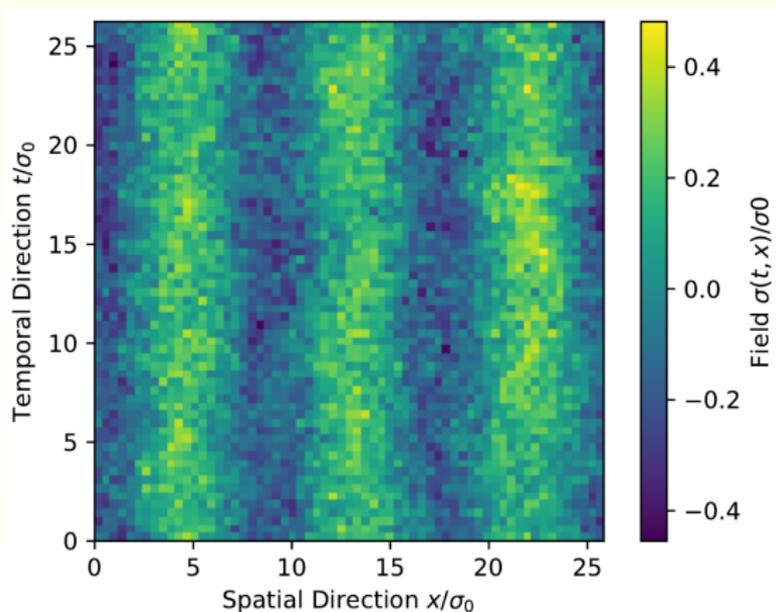


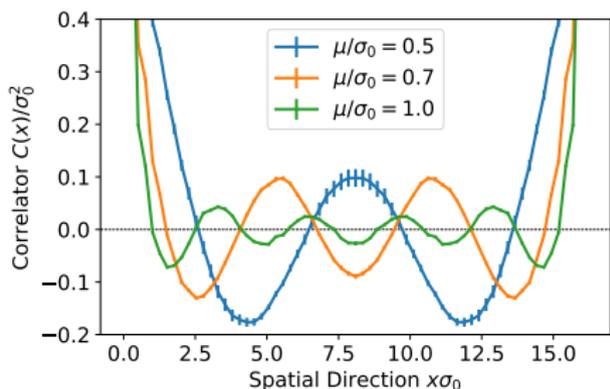
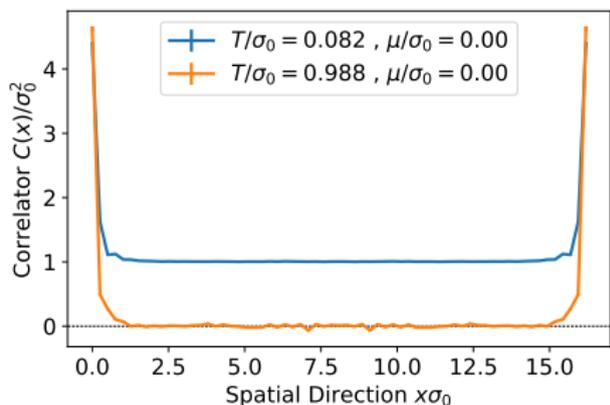
- inhomogeneous condensate for small T , large μ
 \Rightarrow breaking of translation invariance ($N_f \rightarrow \infty$)
- wave-length of condensate $\Leftrightarrow \mu$
- all phase transitions are second order
- cp. Peierls-Fröhlich model, ferromagnetic superconductors

- inhomogeneous $\langle \bar{\psi}\psi \rangle$ breaks translation invariance \rightarrow massless Goldstone-excitations \rightarrow should not exist in $d = 1 + 1$
- no-go theorems not valid for $N_f \rightarrow \infty$
- phase diagram = artifact of $N_f \rightarrow \infty$?
- is there a inhomogeneous condensate for $N_f < \infty$?
- number of massless Goldstone excitations:
 - n_k number of type k Goldstone modes
 - type 1: $\omega \sim |k|^{2n+1}$, e.g. relativistic dispersion relation
 - type 2: $\omega \sim |k|^{2n}$, e.g. non-relativistic dispersion relation
 - inner symmetries $n_1 + 2n_2 =$ number of broken directions
 - spacetime symmetries $n_1 + 2n_2 \leq$ number of broken directions
- large μ : dispersion relation need not be relativistic

- update with (nonlocal) determinant of huge matrix D
- potential sign-problem for finite μ
- can prove: fermion determinant is indeed real
⇒ no sign problem for even N_f
- hybrid MC algorithm, pseudo fermions
- rational approximation of inverse fermion matrix
- simulations with **chiral fermions only**
naive fermions for $N_f = 8, 16$ (\rightarrow doublers)
simulations with **SLAC fermions** for $N_f = 2, 8, 16$
action of pseudo-fermion field with parallelized Fourier transformation
- scale setting: condensate σ_0 at $T = \mu = 0$
- simulations on large lattices $N_s \leq 1024$

- low temperature $T = 0.038 \sigma_0$, medium density $\mu = 0.5 \sigma_0$
- typical configuration for $N_f = 8$ and $L = 64$

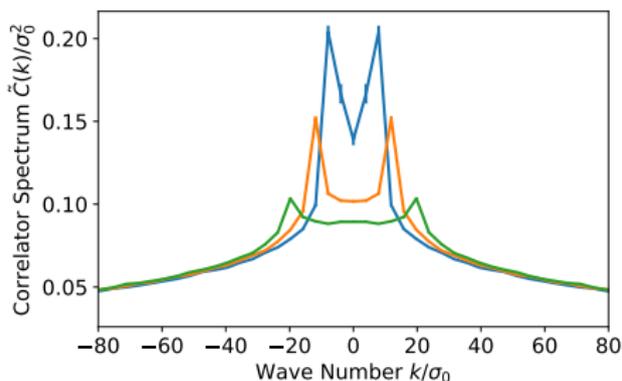
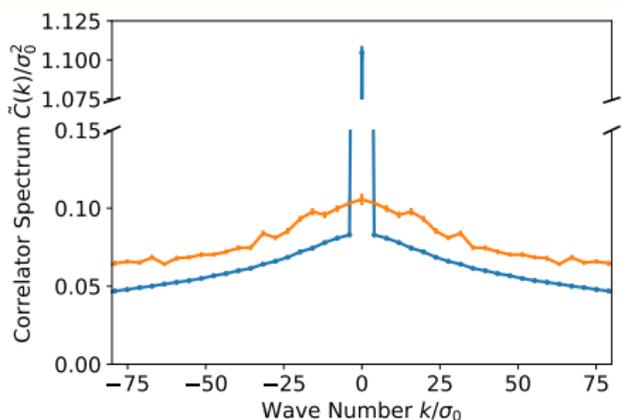




spatial correlation function of
chiral condensate

$$C(x) = \frac{1}{L} \sum_y \langle \sigma(y, t) \sigma(y + x, t) \rangle$$

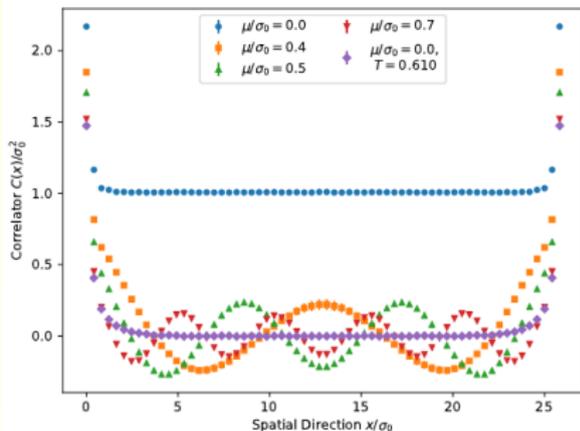
- $N_f = 8, L = 64$
naive fermions
- top: homogeneous phase
 $\mu = 0$
 $T/\sigma_0 \in \{0.082, 0.988\}$
- bottom: inhomogeneous phase
 $T = 0.082\sigma_0$
 $\mu/\sigma_0 \in \{0.5, 0.7, 1.0\}$



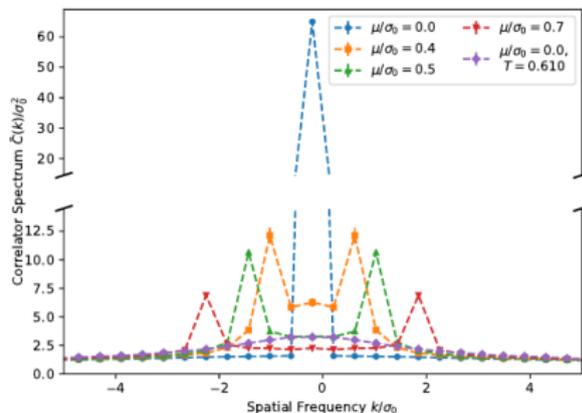
Fourier transform of the spatial correlation function

$$\tilde{C}(k) \propto \sum_x e^{ikx} C(x)$$

- $N_f = 8, L = 64$
naive fermions
- top: homogeneous phases
 $\mu = 0$
 $T/\sigma_0 \in \{0.082, 0.988\}$
- bottom: inhomogeneous phase
 $T = 0.082 \sigma_0$
 $\mu/\sigma_0 \in \{0.5, 0.7, 1.0\}$

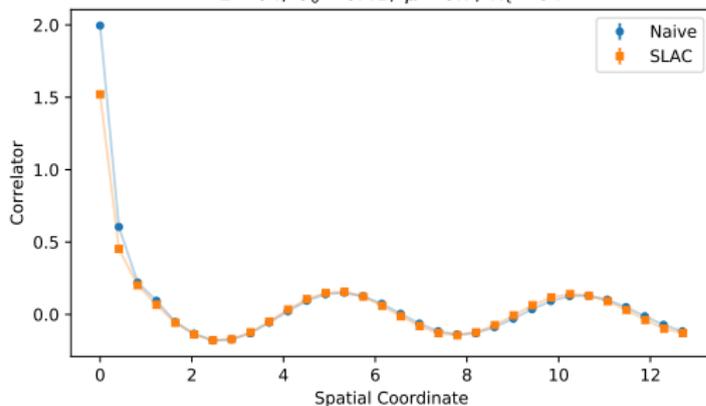
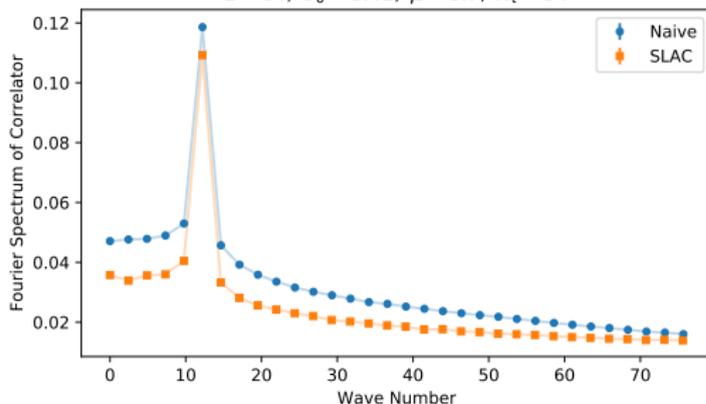


(a) Real space.

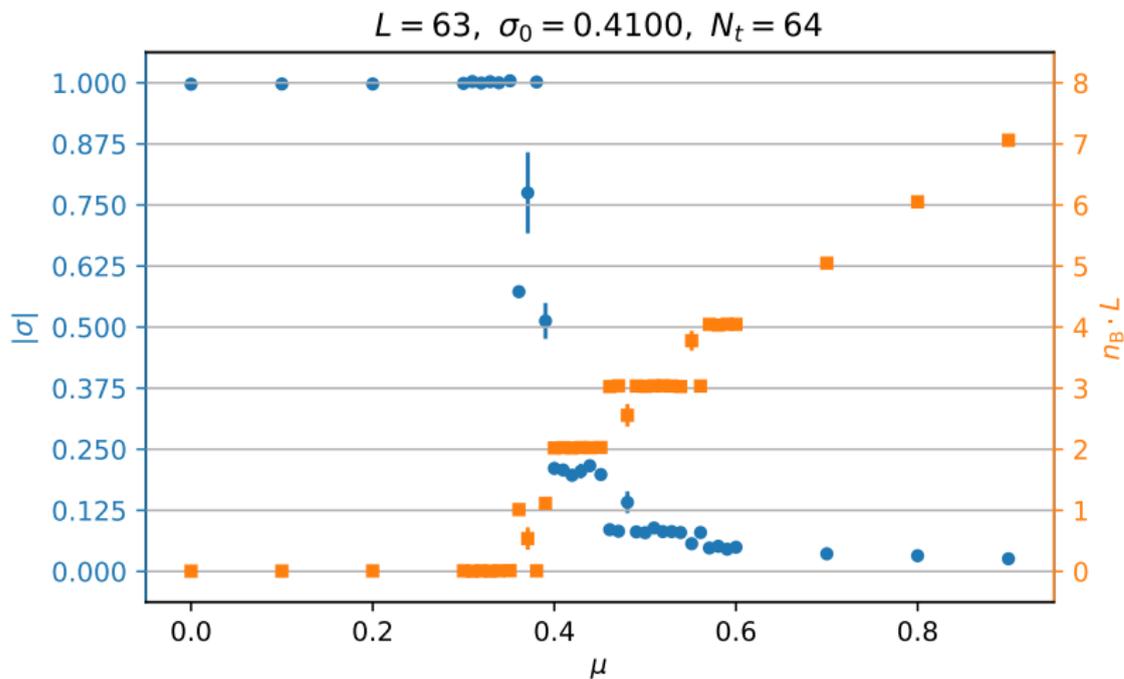


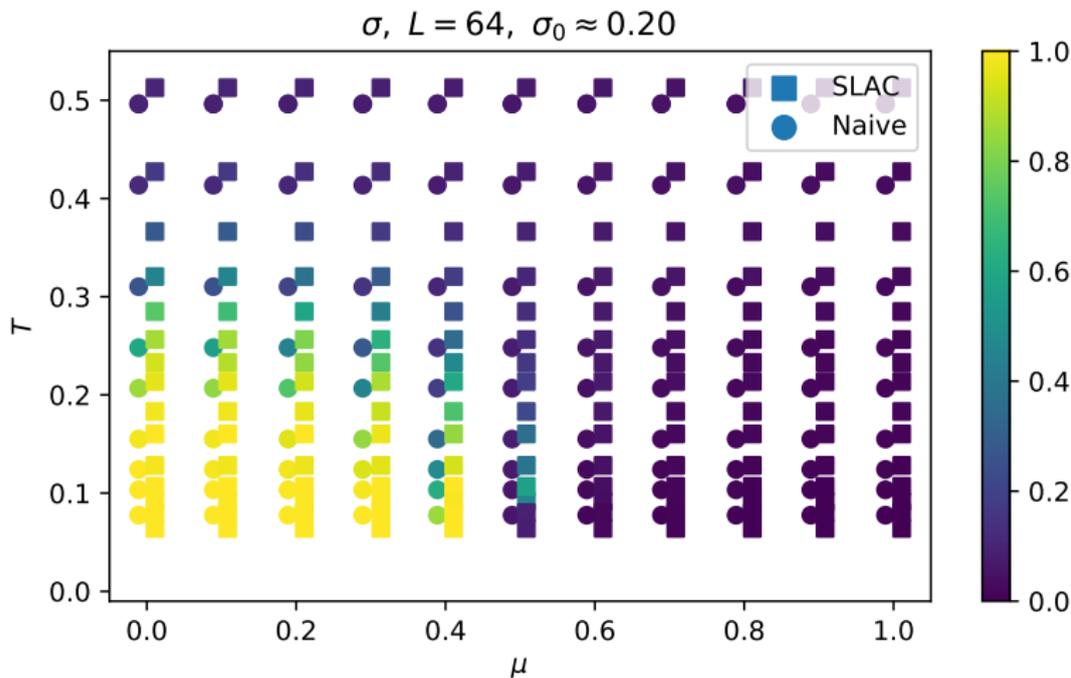
spatial correlation function and Fourier-transform

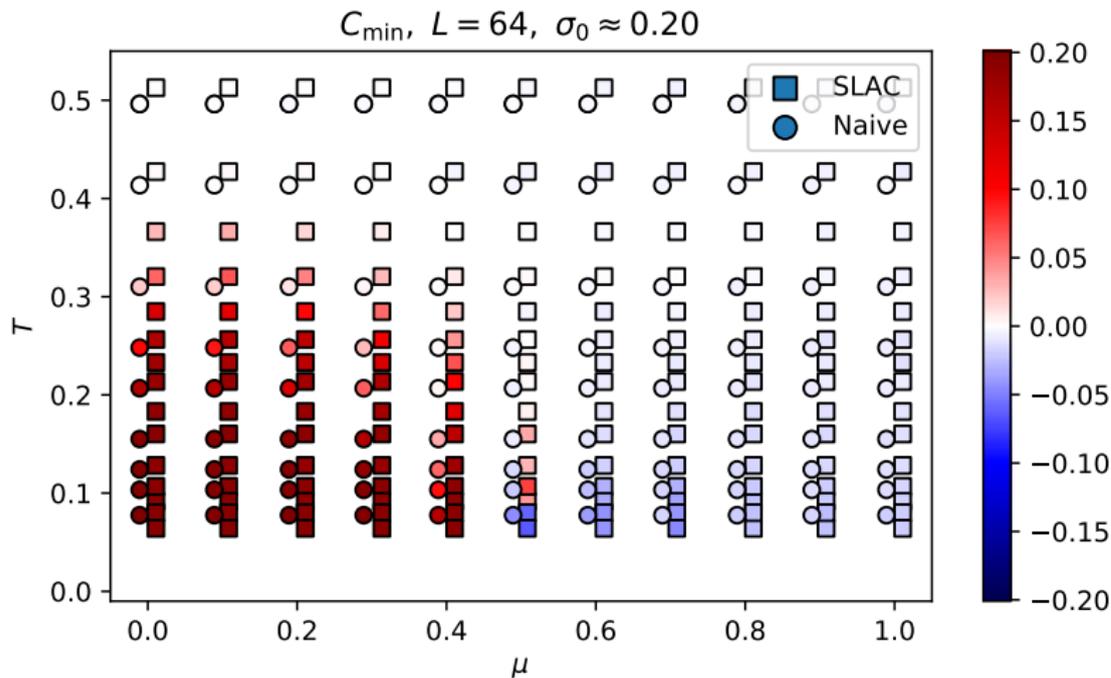
- $N_f = 8, L = 64$
SLAC-fermions
- low temperature $T = 0.038\sigma_0$
- different chemical potentials
 $\mu/\sigma_0 \in \{0, 0.4, 0.5, 0.7\}$
- violet:
symmetric phase
 $\mu = 0, T = 0.61\sigma_0$

$L = 64, \sigma_0 \approx 0.41, \mu \approx 0.7, N_t = 64$

 $L = 64, \sigma_0 \approx 0.41, \mu \approx 0.7, N_t = 64$


- $N_f = 8$
- crystalline phase
- spatial correlation function for naive and SLAC fermions
- Fourier transform





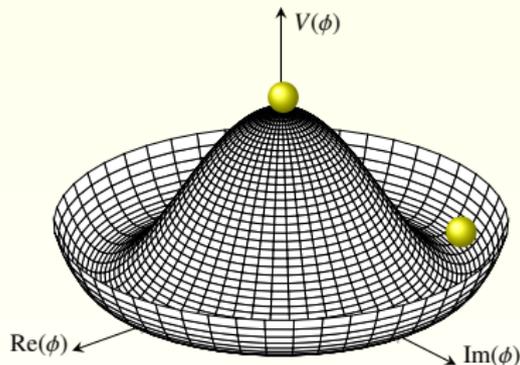


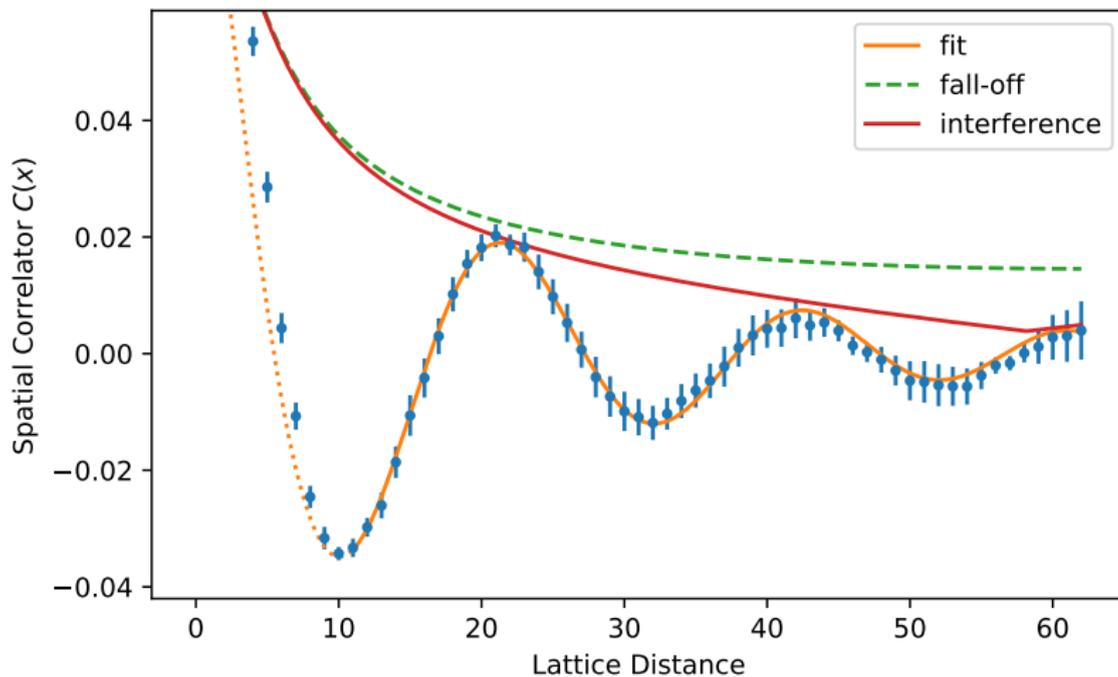
- correlations function for $N_f \gg 1$

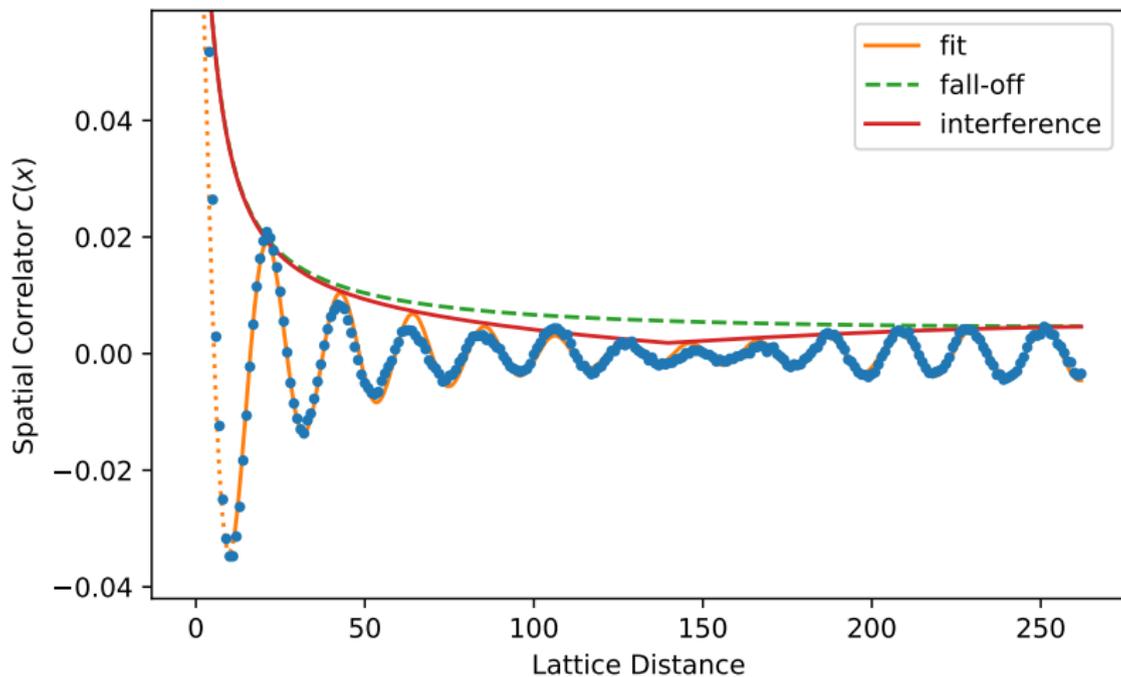
Witten

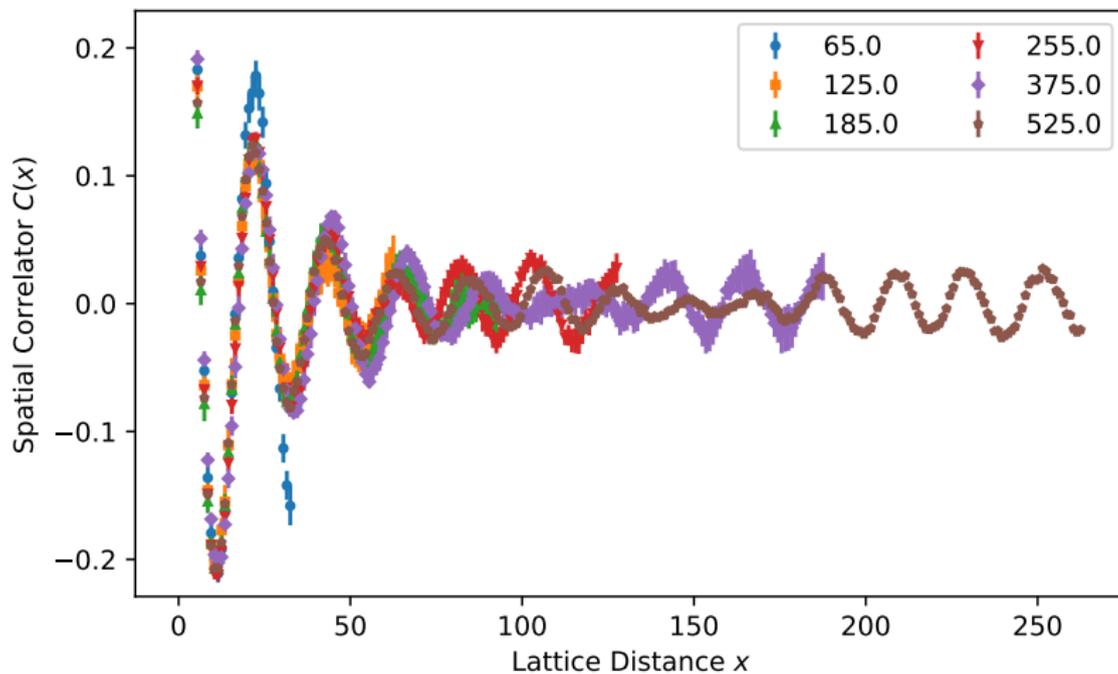
$$C(x, y) \sim \frac{1}{|x - y|^{1/N_f}}$$

- may look like SSB for large N_f on small lattices
- dependence on system size
- smallest available $N_f = 2$
- check algorithmic aspects (e.g. thermalization)









- first simulation for GN model at finite μ, T, N_f
- no sign problem for even N_f
- comparable results for $N_f = 8$ and $N_f = 16$
naive and chiral SLAC fermions
- phase diagrams are similar as for $N_f \rightarrow \infty$
wave length and amplitude of condensate
- simulations for $N_f = 2$ on sizable lattices
- Goldstone-theorem, ...
- situation in higher dimensions
- domain walls, vortices, ... ???

Thies

Lenz, Pannullo, Wagner, Wellegehausen, AW

- asymptotically safe ($1/N_f$ expansion, FRG)
- GN model show 2nd order phase transition for all N_f
- N_f odd: parity breaking
- Thirring models:
 - even N_f : no phase transition
 - odd N_f : phase transition for $N_f \leq N_f^{\text{crit}}$
- critical N_f^{crit} determined
- spectrum of light (would be Goldstone) particles
- average spectral density of Dirac operator
- full phase diagram in (λ, N_f) -plane

B. Wellegehausen, D. Schmidt, AW, Phys.Rev. D96 (2017) 094504

J. Lenz, AW, B. Wellegehausen, arXiv:1905.00137