

## Exercise 10: Heat kernel methods

### Some theory first.

Consider the representation of the one loop effective action

$$\Gamma[\varphi] = \frac{1}{2} \text{Tr} \log (\mathcal{O} + m^2)$$

with the operator  $\mathcal{O} = -\partial^2 + \frac{g}{2}\varphi^2$ . We also define the flat space Laplacian as  $\Delta = -\partial^2$ . We have already seen that the action can be represented as

$$\Gamma[\varphi] = -\frac{1}{2} \text{Tr} \int \frac{ds}{s} e^{-sm^2} \mathcal{H}(s)$$

with  $\mathcal{H}(s) \equiv e^{-s\mathcal{O}}$  known as the *heat kernel* of the operator  $\mathcal{O}$ . The parameter  $s$  is often called *proper time*. (The mass is assumed here to be a number that commutes with everything.)

The trace can be performed by giving a representation to  $\mathcal{H}(s)$ . In coordinate space it is a matrix which is formally written as  $\mathcal{H}(s; x, x') \equiv \langle x | e^{-s\mathcal{O}} | x' \rangle$ . In practice  $\mathcal{H}(s; x, x')$  is the solution of the differential equation

$$\partial_s \mathcal{H}(s; x, x') + \mathcal{O}_x \mathcal{H}(s; x, x') = 0 \quad \mathcal{H}(0; x, x') = \delta(x, x')$$

This function can be interpreted as the propagation to the location  $x$  and in the time  $s$  of some heat from a point source located at the point  $x = x'$  and time  $s = 0$  according to the operator  $\mathcal{O}$ . The heat kernel forms an Abelian one dimensional semigroup

$$\int d^d x' \mathcal{H}(s_1; x, x') \mathcal{H}(s_2; x', x'') = \mathcal{H}(s_1 + s_2; x, x'')$$

We define also the heat kernel  $\mathcal{H}_0(s)$  of the simpler operator  $\Delta = -\partial^2$  analogously

$$\partial_s \mathcal{H}_0(s; x, x') + \Delta_x \mathcal{H}_0(s; x, x') = 0 \quad \mathcal{H}_0(0; x, x') = \delta(x, x')$$

This latter heat kernel is simpler because it can be computed easily in momentum space and becomes a Gaussian distribution

$$\tilde{\mathcal{H}}_0(s; p) \delta_{p, p'} = \langle p | \mathcal{H}_0(s) | p' \rangle = e^{-sp^2} \delta_{p, p'}$$

in which we used momentum conservation to factor out a delta function in the definition of  $\tilde{\mathcal{H}}_0(s; p)$ . In coordinate space it is also a Gaussian after Fourier transforming

$$\mathcal{H}_0(s; x, x') = \frac{1}{(4\pi s)^{d/2}} \exp\left(-\frac{|x - x'|^2}{4s}\right)$$

You can see that  $\Delta$  is covariant under Euclidean transformations (translations and rotations) because  $\mathcal{H}_0(s; x, x')$  is just a function of  $|x - x'|$ .

Let  $E = \frac{g}{2}\varphi^2$  be the *endomorphism* that distinguishes the two operators  $\mathcal{O} = \Delta + E$ . The endomorphism is a local function  $E = E_x = \frac{g}{2}\varphi(x)^2$ , so it is not a differential operator like  $\Delta$ , and we indicate by  $\tilde{E}_p$  its Fourier transform. We can write the heat kernel of  $\mathcal{O}$  using the simpler  $\mathcal{H}_0(s)$  thanks to the (proper time) ordered expansion in  $E$

$$\begin{aligned} \mathcal{H}(s; x, x') &= \mathcal{H}_0(s; x, x') - s \int_y \int_0^1 dt_1 \mathcal{H}_0(s(1-t_1); x, y) E_y \mathcal{H}_0(st_1; y, x') \\ &+ s^2 \int_{y_1, y_2} \int_0^1 dt_1 \int_0^{t_1} dt_2 \mathcal{H}_0(s(1-t_1); x, y_1) E_{y_1} \mathcal{H}_0(s(t_1-t_2); y_1, y_2) E_{y_2} \mathcal{H}_0(st_2; y_2, x') \\ &+ \dots \end{aligned}$$

in which spacetime integrations are condensed  $\int_y \equiv \int d^d y$ . (We are going to show how to derive this expansion in class.) Inserting the above expression in the one of the effective action

$$\Gamma[\varphi] = -\frac{1}{2} \int \frac{ds}{s} \int d^d x e^{-sm^2} \mathcal{H}(s; x, x)$$

and considering only the part quadratic in  $E$  we get

$$\begin{aligned} \Gamma|_{E^2} &= -\frac{1}{2} \int ds s e^{-sm^2} \int_{y_1, y_2} \int_0^1 dt_1 \int_0^{t_1} dt_2 \mathcal{H}_0(s(1-t_1+t_2); y_2, y_1) E_{y_1} \times \\ &\quad \times \mathcal{H}_0(s(t_1-t_2); y_1, y_2) E_{y_2} \end{aligned}$$

after using the semigroup property (and the cyclicity of the trace).

**Part 1:**

Compute the Fourier transform of

$$\int_{y_1, y_2} \mathcal{H}_0(s(1-t_1+t_2); y_2, y_1) E_{y_1} \mathcal{H}_0(s(t_1-t_2); y_1, y_2) E_{y_2} = \int_{p, q} \tilde{E}_p \mathcal{A}(s; t_1, t_2; p, q) \tilde{E}_{-p}$$

**Hint:**

Recall that  $\tilde{E}_p$  is the Fourier transform of the endomorphism.

**Part 2:**

Define the variable  $\xi = t_1 - t_2$  and show that

$$(1-t_1+t_2)q^2 + (t_1-t_2)(q+p)^2 = (q+\xi p)^2 + \xi(1-\xi)p^2$$

then insert this result in the amplitude  $\mathcal{A}$  and perform the integration over  $q$ .

**Hint:**

After the manipulation of the exponent recall that the integration measure  $d^d q$  is invariant under translations.

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**Part 3:**

Use the result of the previous point (keeping the dependence on the momentum  $p$ ) to compute the integration over the proper time  $s$  inside  $\Gamma|_{E^2}$ .

**Hint:**

You can rewrite the integration over the two parameters  $t_1$  and  $t_2$  using the fact that:

$$\int_0^1 dt_1 \int_0^{t_1} dt_2 g(t_1 - t_2) = \frac{1}{2} \int_0^1 d\xi g(\xi),$$

for  $g$  a function such that  $g(\xi) = g(1 - \xi)$ .

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**Part 4:**

Expand  $\Gamma|_{E^2}$  in  $\epsilon = 4 - d$  (including the finite part at  $\epsilon^0$ ) and compute the integration over  $\xi$ .

**Hint:**

You can make use of the following primitive function

$$\begin{aligned} \int d\xi \log(m^2 + \xi(1 - \xi)p^2) &= -\sqrt{\frac{p^2 + 4m^2}{p^2}} \operatorname{arctanh}\left(\frac{2\xi - 1}{\sqrt{\frac{p^2 + 4m^2}{p^2}}}\right) \\ &\quad -\xi + \left(\xi - \frac{1}{2}\right) \log(m^2 + \xi(1 - \xi)p^2) \end{aligned}$$

and recall that  $\operatorname{arctanh}(-x) = -\operatorname{arctanh}(x)$ .

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