

# *Theory Colloquium*

## Renormalizability of Yang-Mills theories in extra dimensions

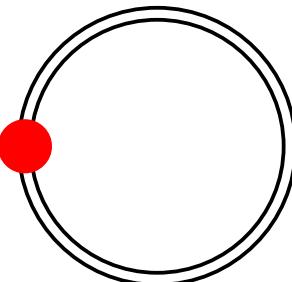
Holger Gies, Heidelberg U.



extra dimensional  
renormalization:

{ Why ?  
How ?  
What ?

$$\partial_t \Gamma_k = \frac{1}{2} \partial_t R_k$$



(PRD 68:085015,2003, HEP-TH/0305208 & PRD 66:025006,2002, HEP-TH/0202207)

*Theory* **Colloquium**

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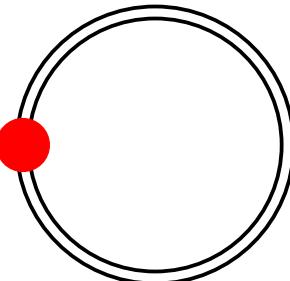
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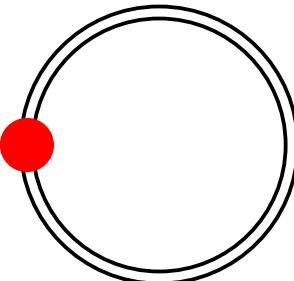
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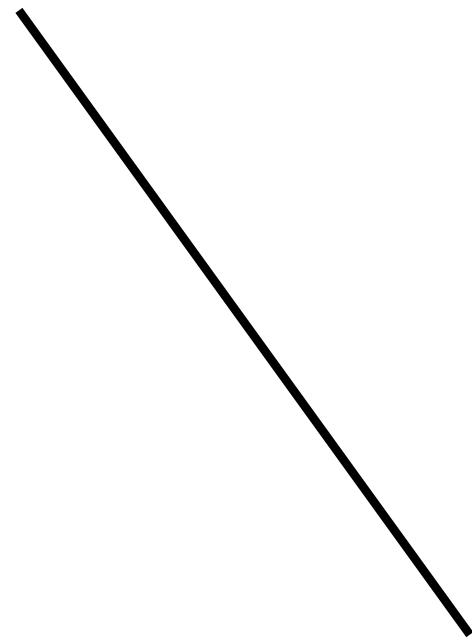
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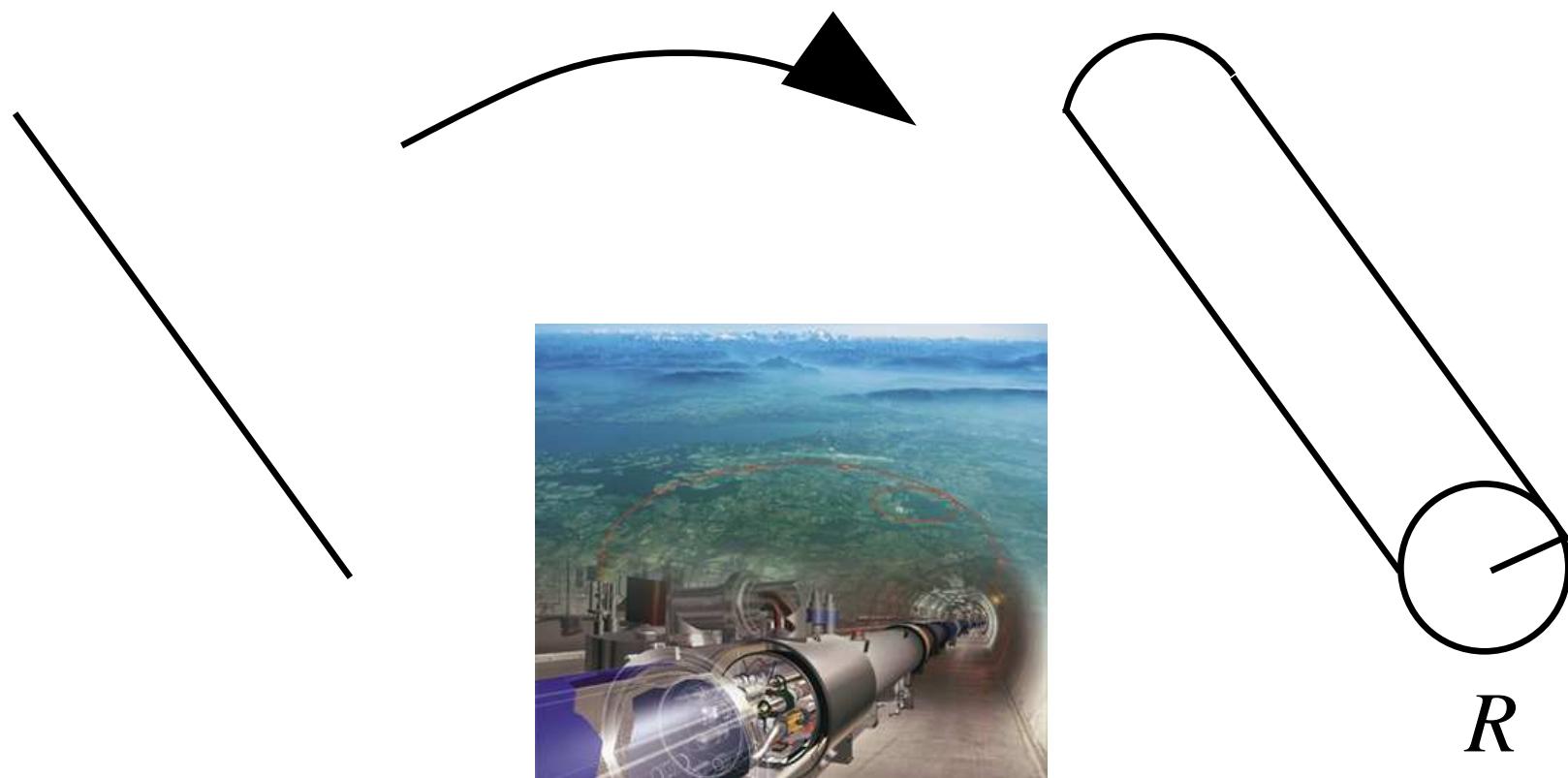
# Why extra dimensions ?

- ▷ appear in abundance in string theory



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- ▷ “explains” weakness of gravity

$$F_4 \sim -G \frac{m_1 m_2}{r^2}$$

- ▷  $\hbar = c = 1$

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- ▷ “decompose” Planck scale: (ARKANI-HAMED,DIMOUPOULOS,DVALI’98)

$$G \sim \frac{1}{M_{Pl}^2} \sim 10^{-38} \text{ GeV}^2 \sim \frac{1}{M_\star^{2+N} R^N}$$

- ▷ for instance,  $N = 6$ :  $(1/R) \sim 10 \text{ TeV}$ ,  $M_\star \sim 10^7 \text{ GeV}$

# Why extra dimensions ?

- ▷ “explains” (reparametrizes) weakness of gravity

$$F_4 \sim -G \frac{m_1 m_2}{r^2} \quad F_{\text{N-ExtraD}} \sim -G_{\text{N-ExtraD}} \frac{m_1 m_2}{r^{2+N}}$$

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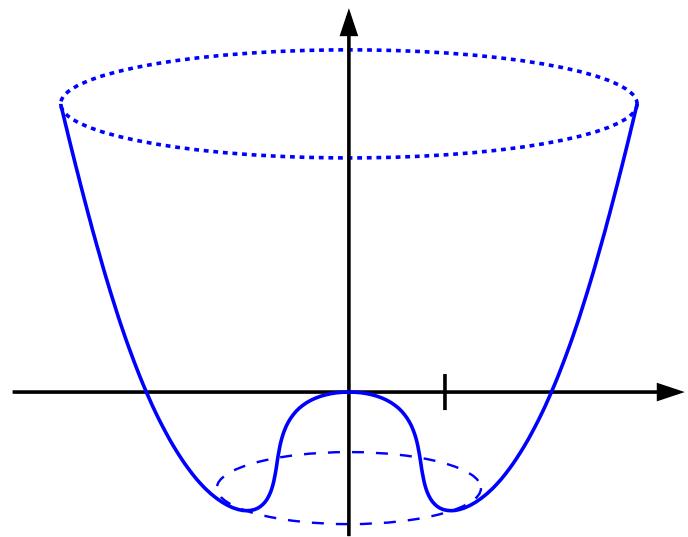
- ▷ for instance,  $N = 6$ :  $(1/R) \sim 10 \text{ TeV}$ ,  $M_\star \sim 10^7 \text{ GeV} \ll M_{Pl}$

# Why extra dimensions ?

- more natural symmetry-breaking mechanisms

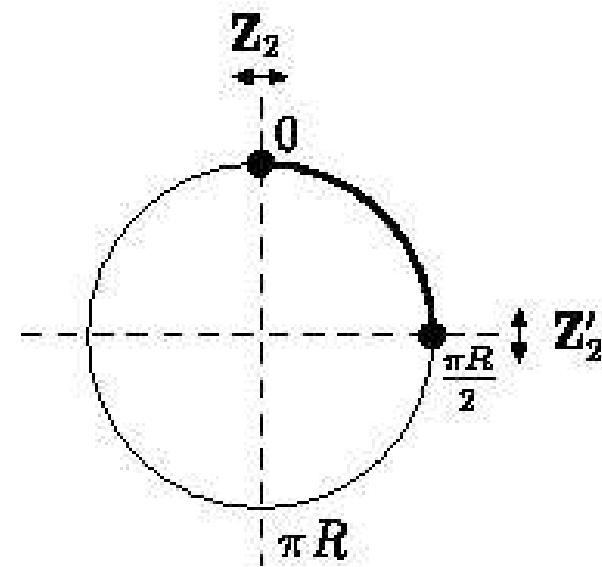
$$\text{GUT} \longrightarrow \text{SU}(3)_{\text{color}} \times \text{SU}(2)_L \times \text{U}(1)_Y$$

Higgs mechanism



vs.

“orbifolding”

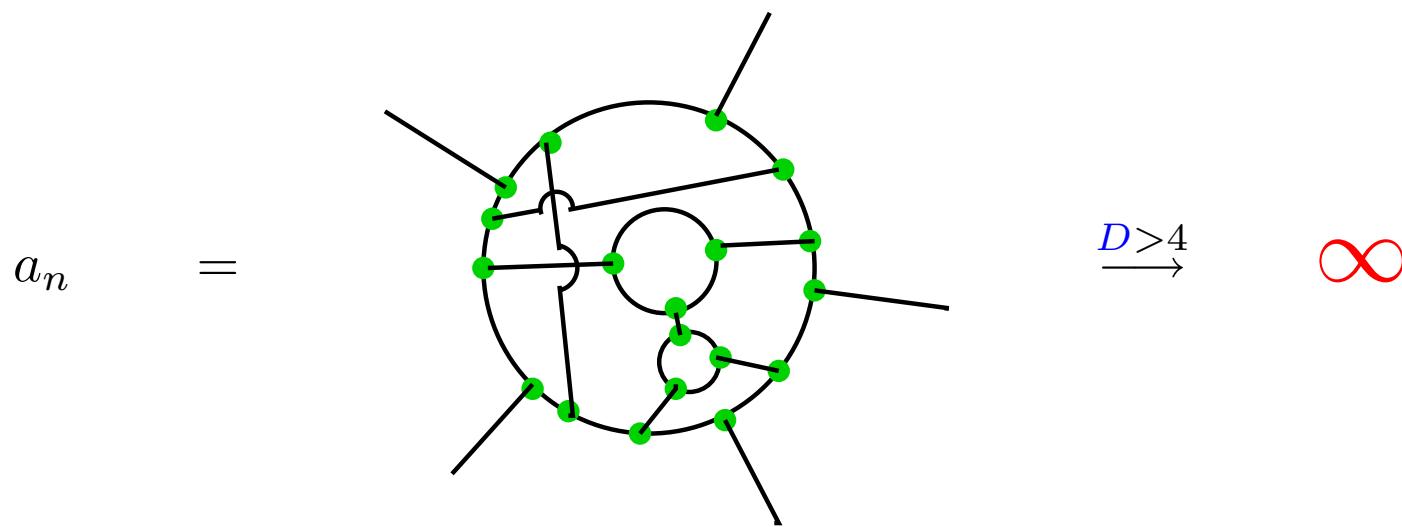


(KAWAMURA'99; HEBCKER,MARCH-RUSSELL'02)

# Perturbative QFT in extra dimensions

- ▷ perturbative expansion

$$\langle \phi \dots \phi \rangle = \sum_{n=0}^{\infty} a_n g^n$$



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$$\langle \phi \dots \phi \rangle = \sum_{n=0}^{\infty} a_n g^n$$

- ▷ regularization

$$a_n \Big|_{\Lambda} = \text{Diagram} \sim \Lambda^p$$

The diagram consists of a complex network of black lines forming a loop-like structure with many vertices. Each vertex is marked with a small green circle. The lines represent fields or particles in a higher-dimensional space.

# Perturbative QFT in extra dimensions

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$$a_n \Big|_{\Lambda} = \text{Diagram} \xrightarrow{D>4} \Lambda^p$$

The diagram consists of several concentric circles. The outermost circle has 12 green dots representing vertices. Internal circles have fewer dots. Lines connect the dots, forming a complex network of loops and vertices. The entire expression is evaluated at a scale  $\Lambda$  and then regularized for  $D > 4$  dimensions.

Question:

*“How many physical parameters do we have to fix for obtaining  $\Lambda$ -independent predictions ?”*

# Perturbative QFT in extra dimensions

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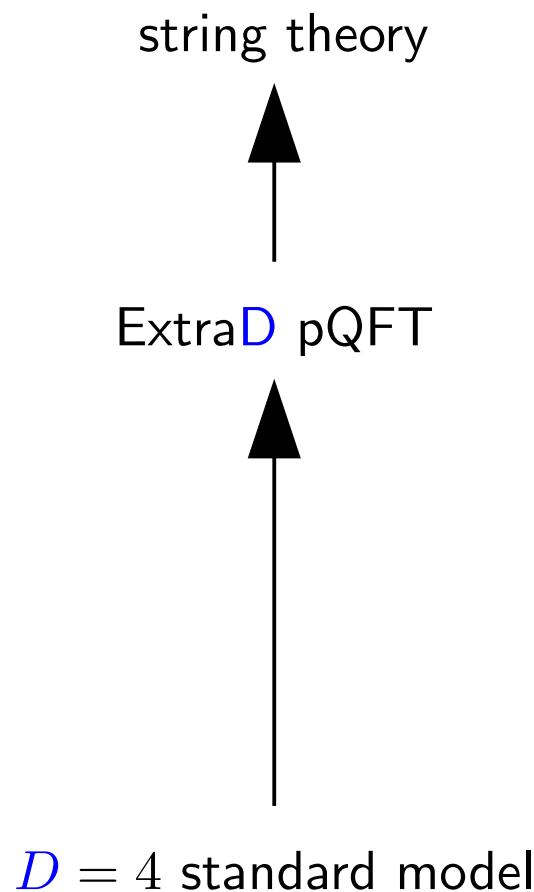
$$a_n \Big|_{\Lambda} = \text{Diagram} \xrightarrow{D>4} \Lambda^p$$

The diagram consists of a complex network of black lines and green dots. It features several nested loops and vertices, representing a Feynman diagram. The diagram is followed by a right-pointing arrow with the text  $D > 4$  above it, indicating the dimension of the theory. To the right of the arrow is the symbol  $\Lambda^p$ , where  $\Lambda$  is purple and  $p$  is pink.

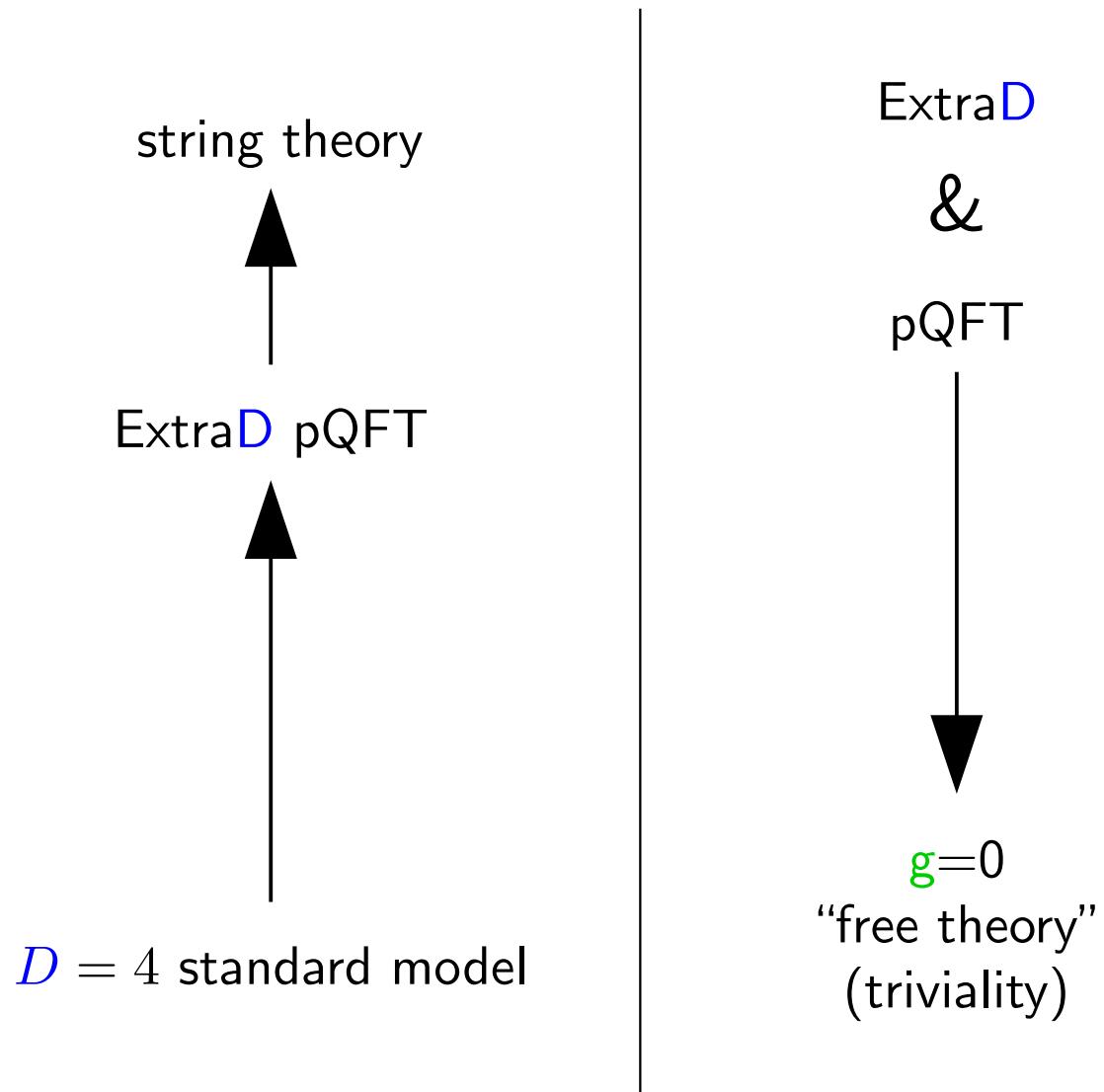
Answer:

$$\# \text{ of physical parameters} = \Delta : \begin{cases} \Delta < \infty & \text{for } D = 4 \text{ (p. renormalizable)} \\ \Delta \rightarrow \infty & \text{for } D > 4 \text{ (p. nonrenormalizable)} \end{cases}$$

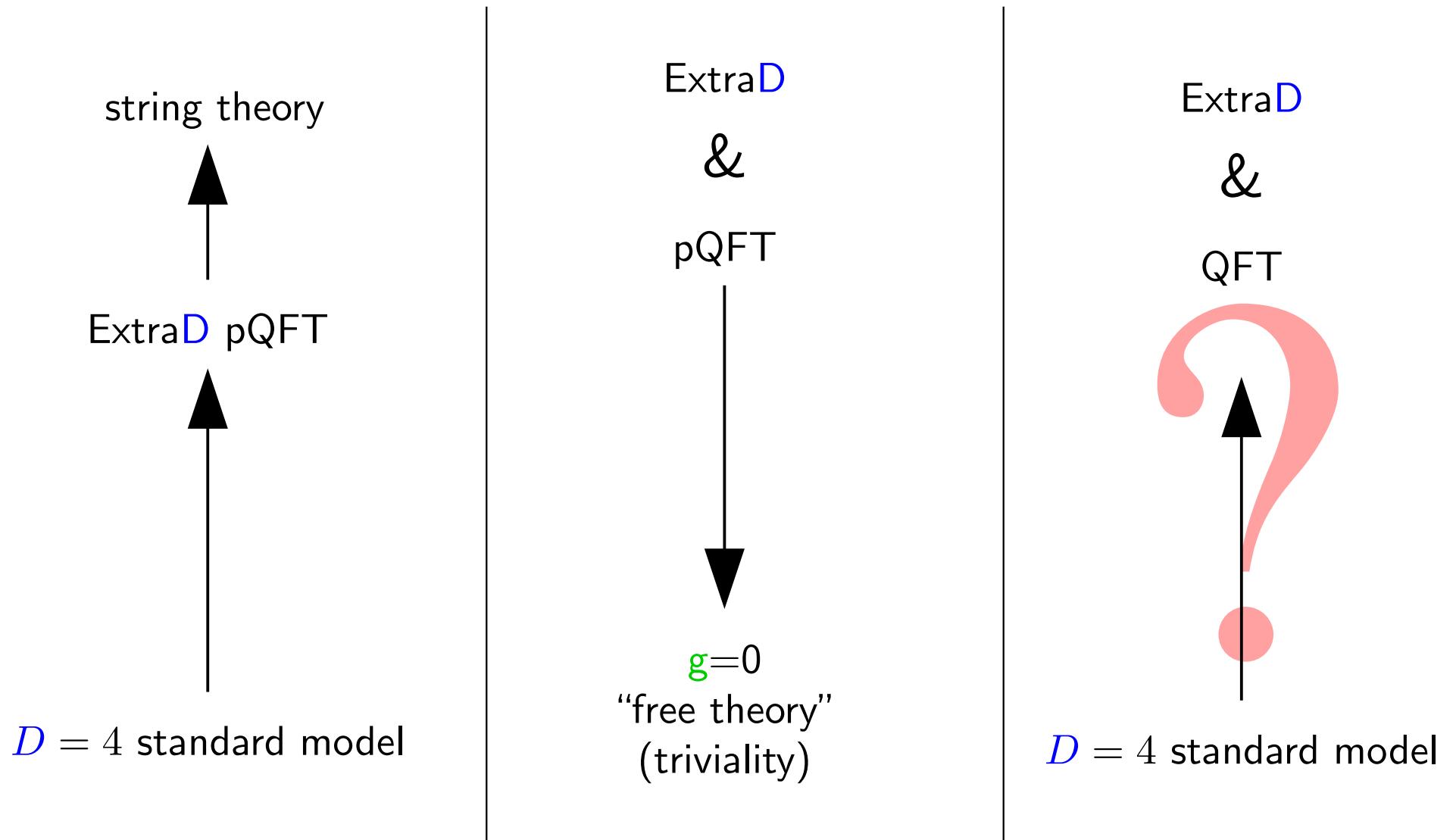
# Scenarios with extra dimensions



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# Why renormalizability ?

- ▷ IR physics well separated from UV physics (cutoff  $\Lambda$  independence)
- ▷ # of physical parameters  $\Delta < \infty$
- ▷ QFT should be predictive
  - ⇒ realized in perturbative QFT

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  - ⇒ realized in perturbative QFT
- ▷ beyond perturbation theory?
  - ⇒ Scenario of “Asymptotic Safety”
    - (WEINBERG'76)
    - (GELL-MANN, LOW'54)

# Why extra dimensional renormalizability ?

coincidence

$$D = 4$$

spacetime manifold

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critical dimension of pQFT

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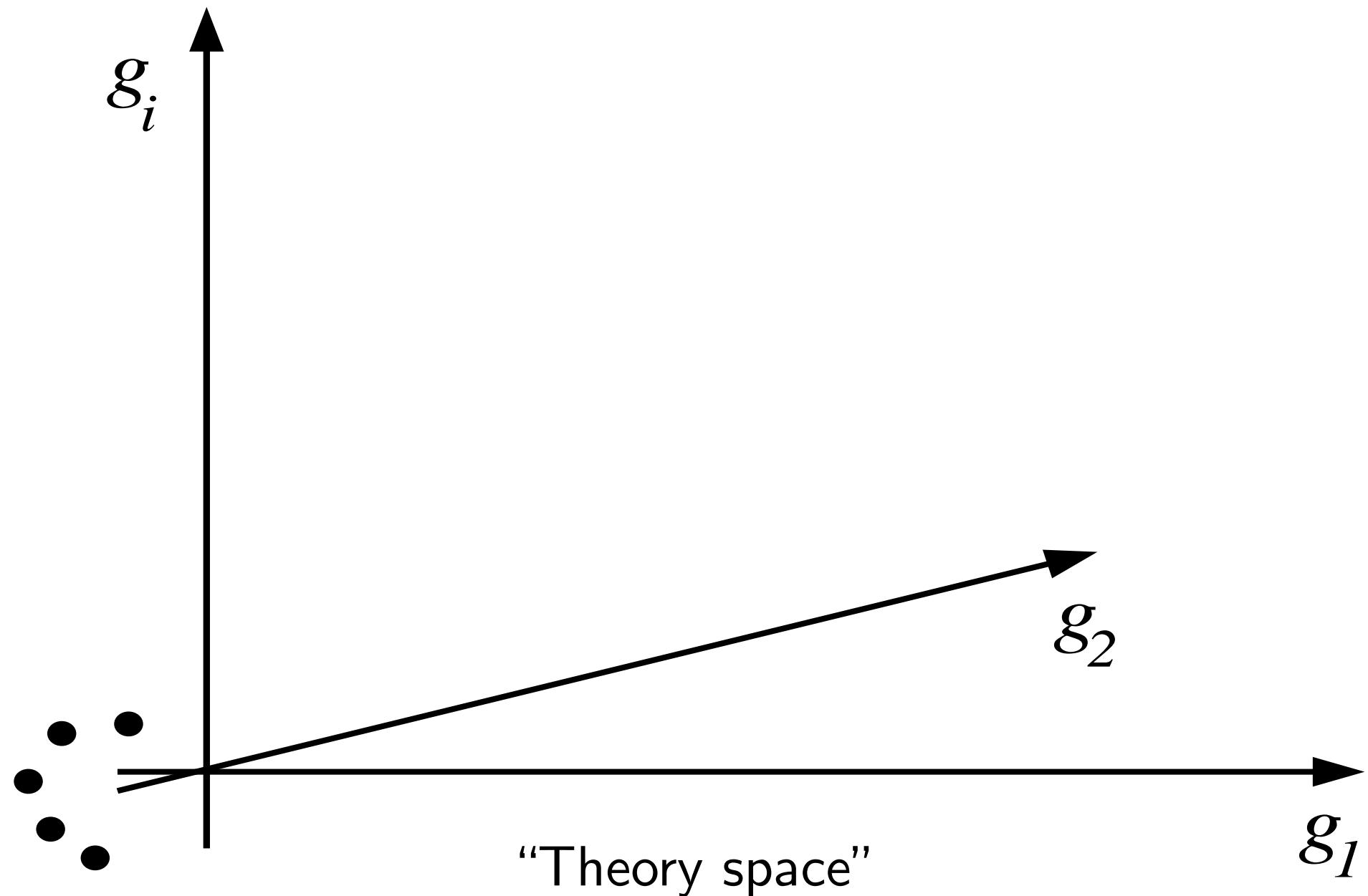
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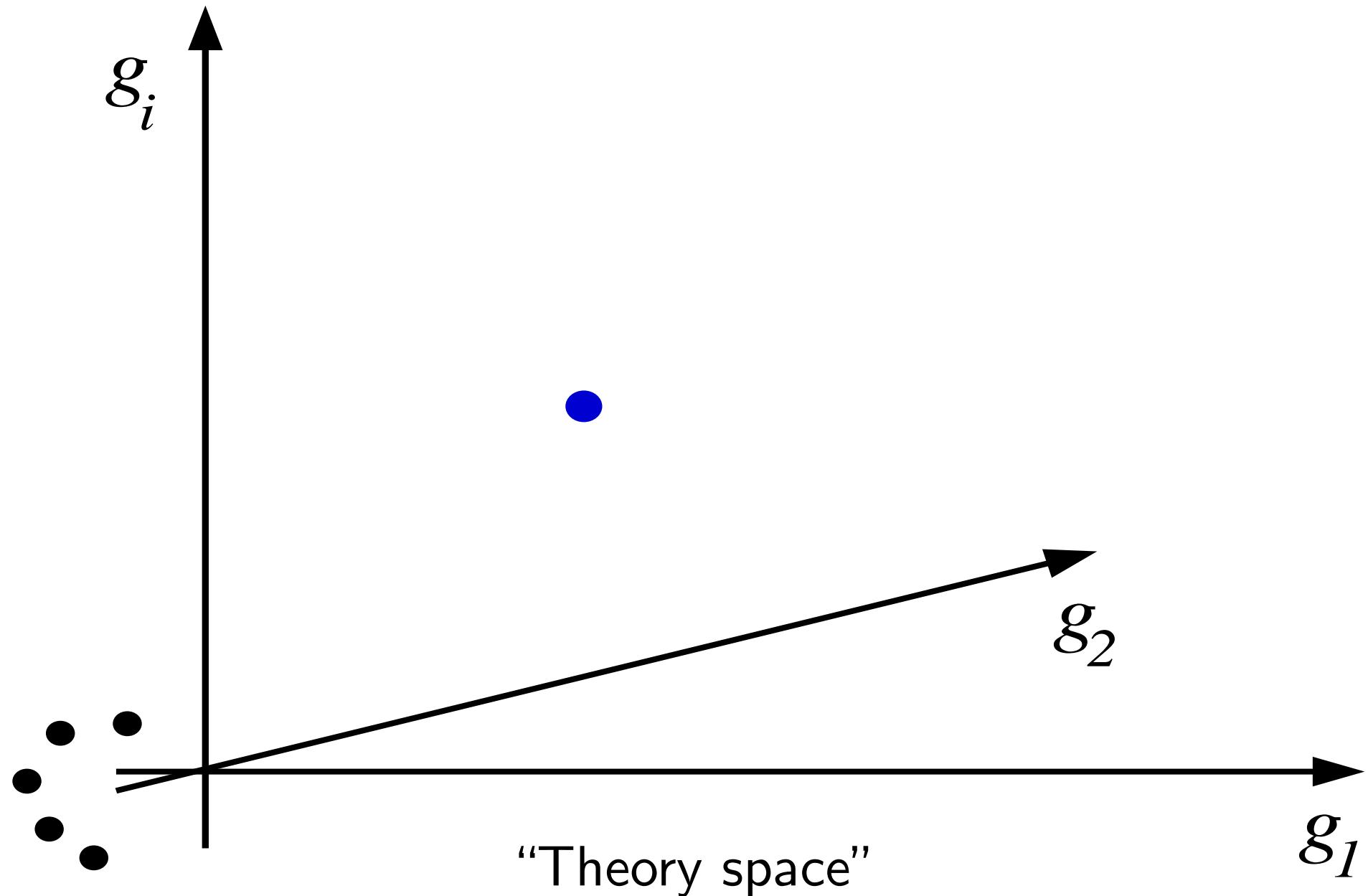
critical dimension of pQFT



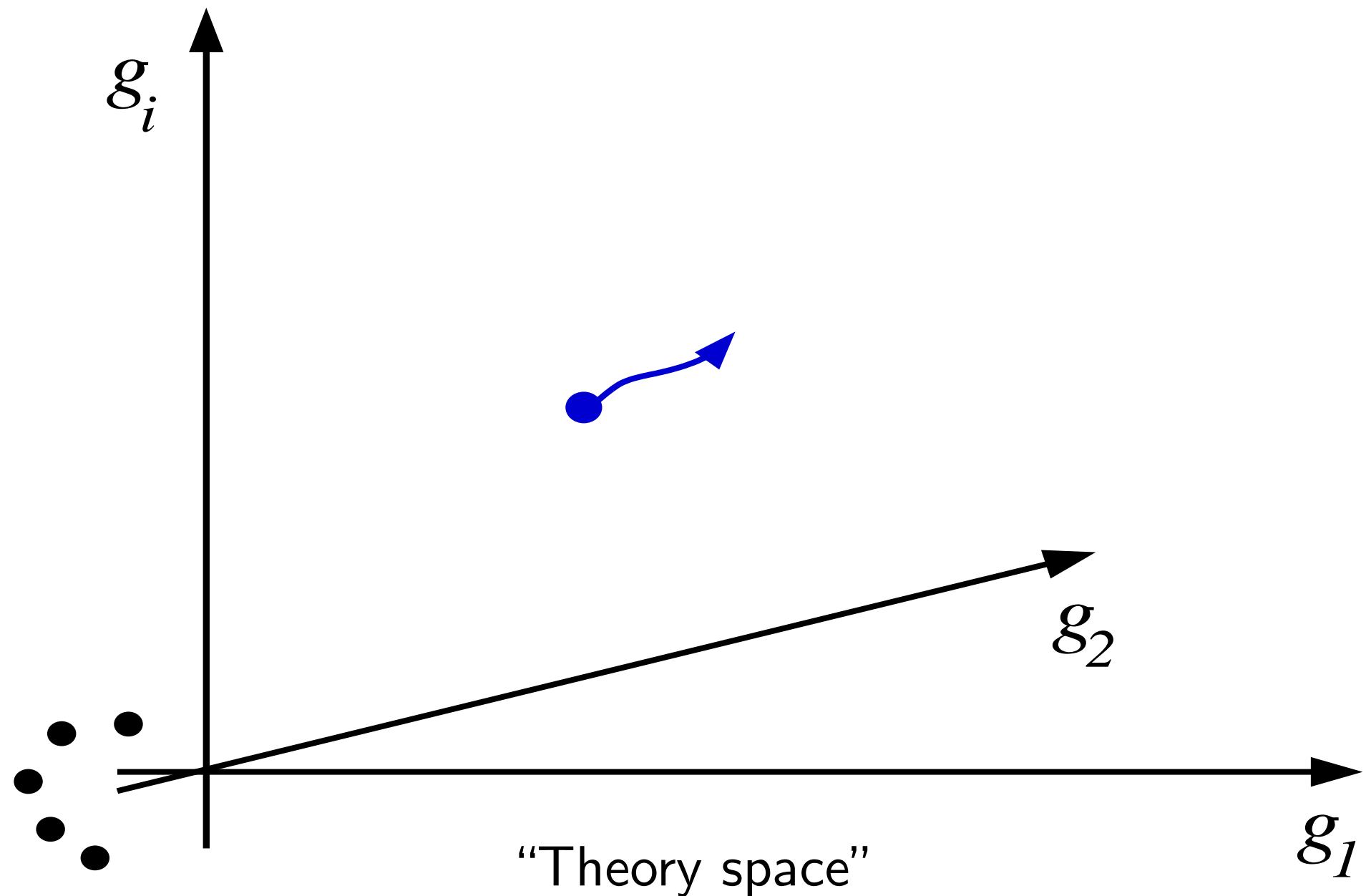
How ?      Asymptotic Safety



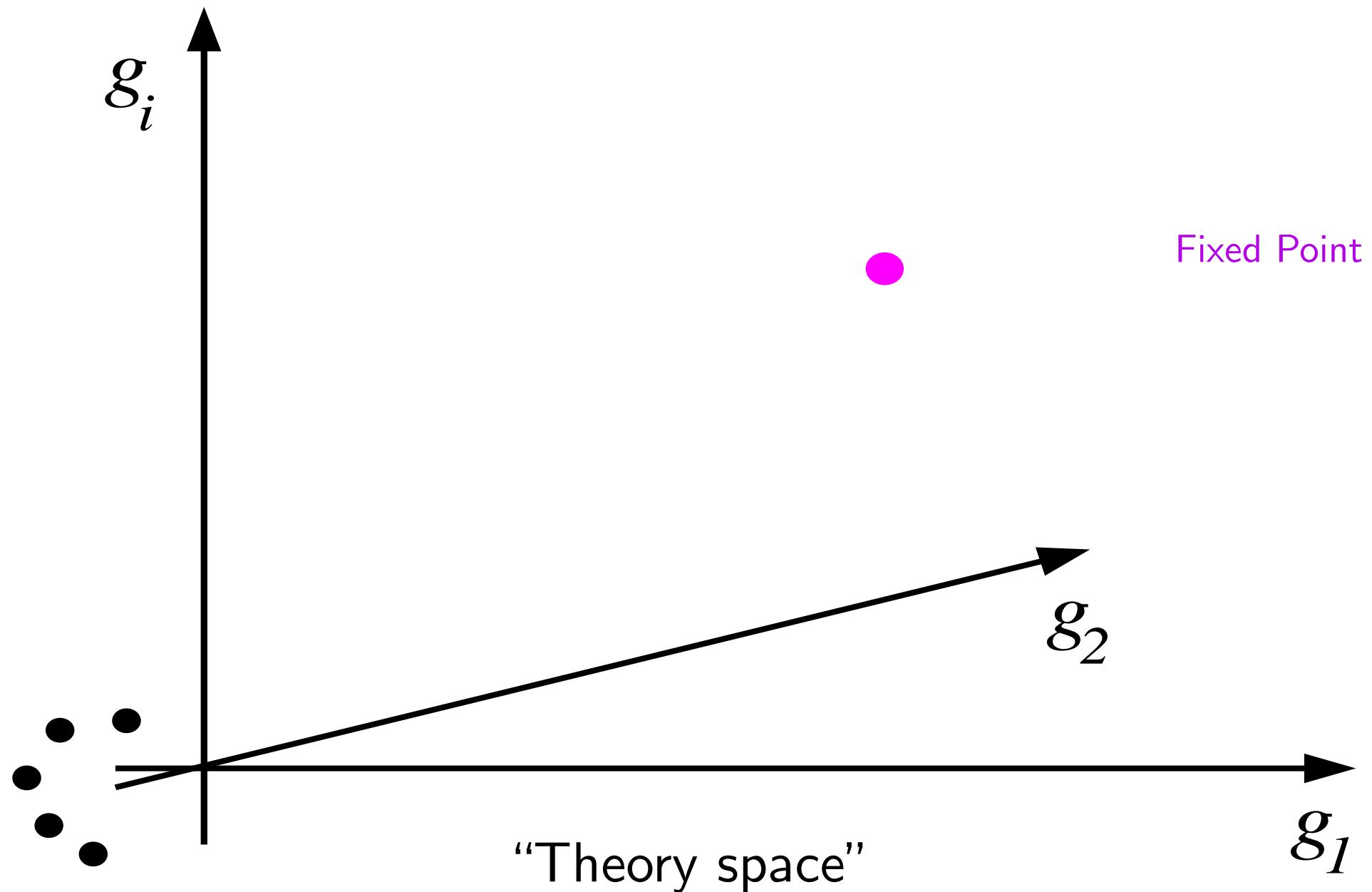
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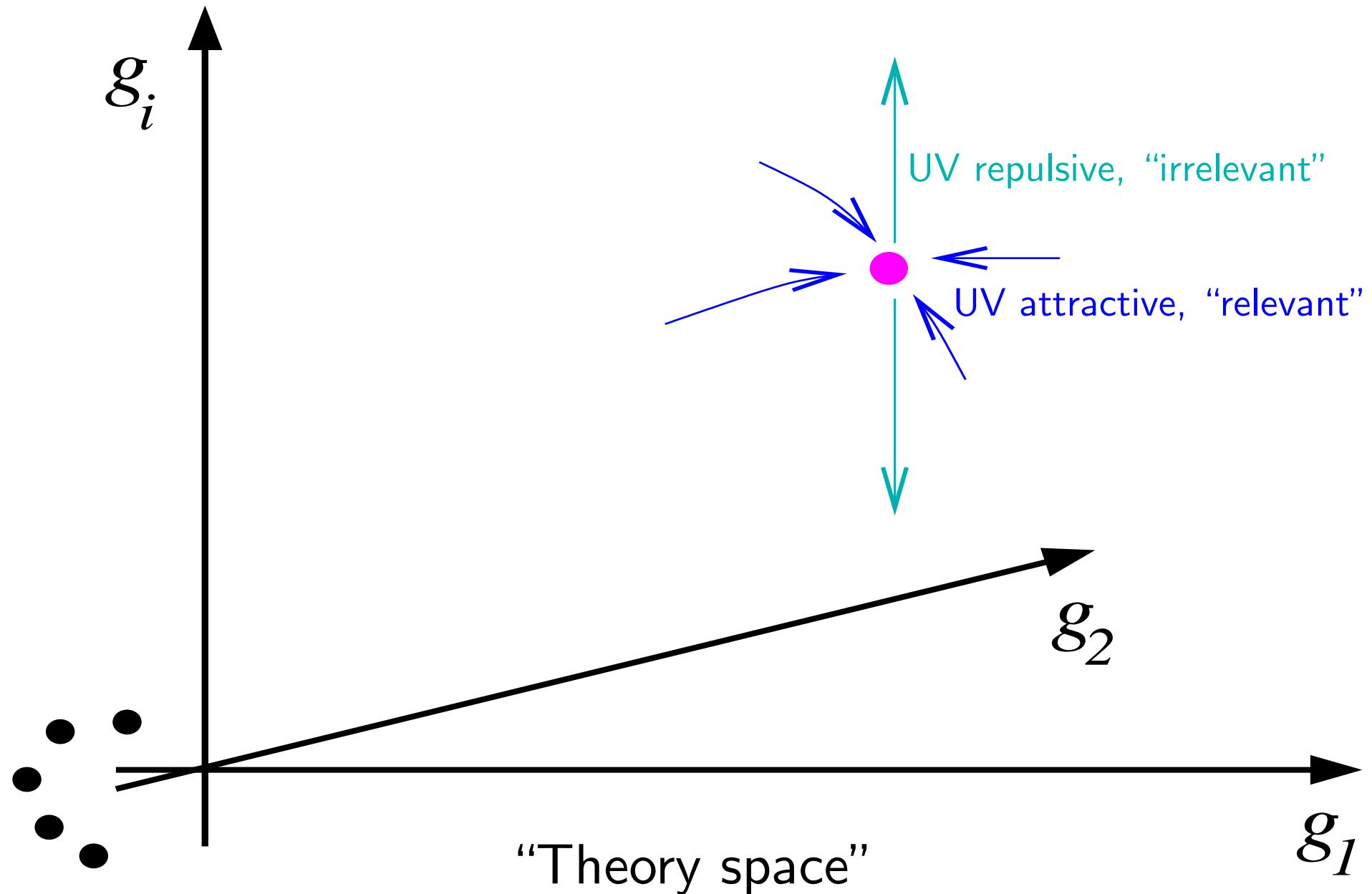
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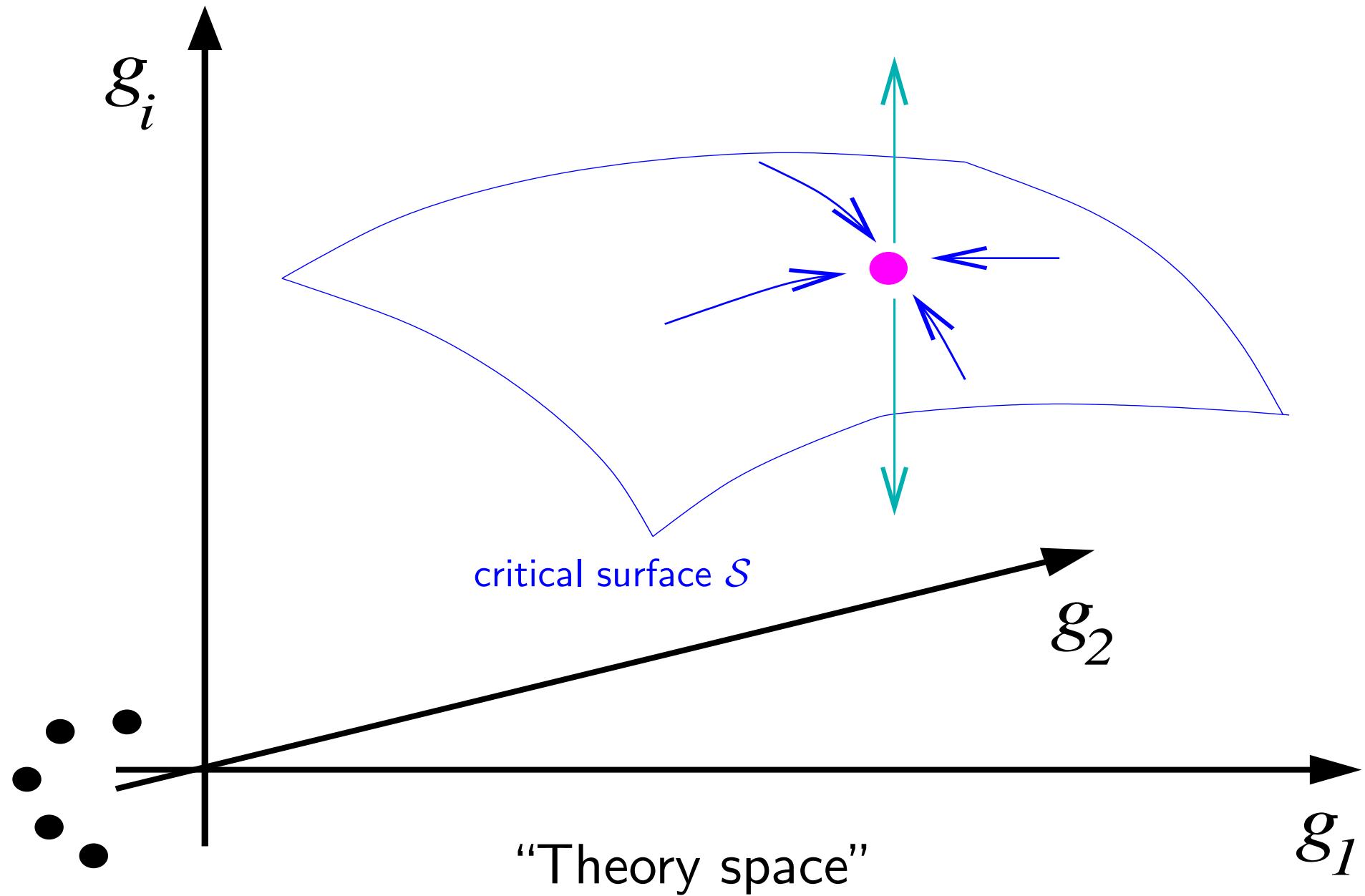
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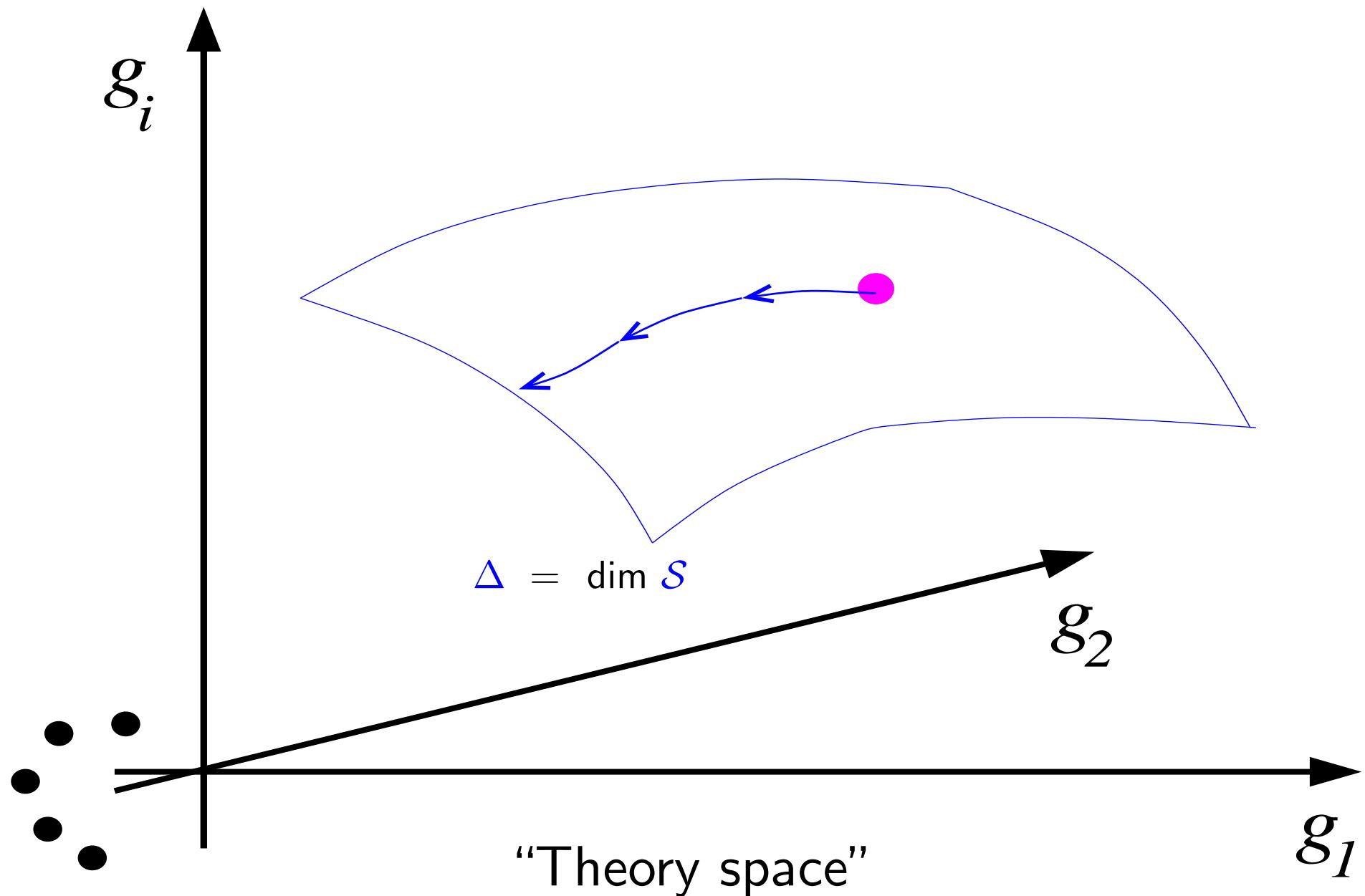
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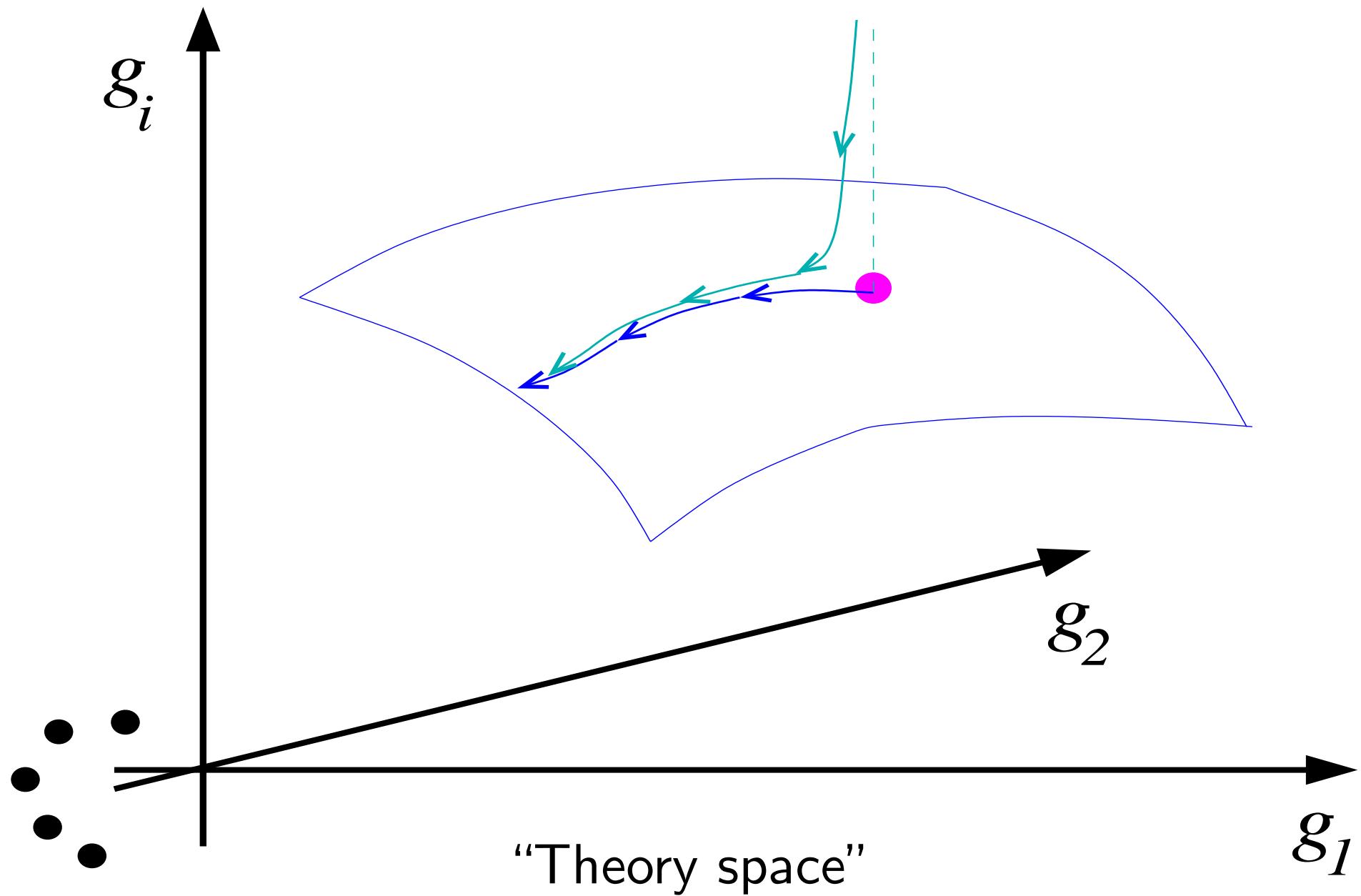
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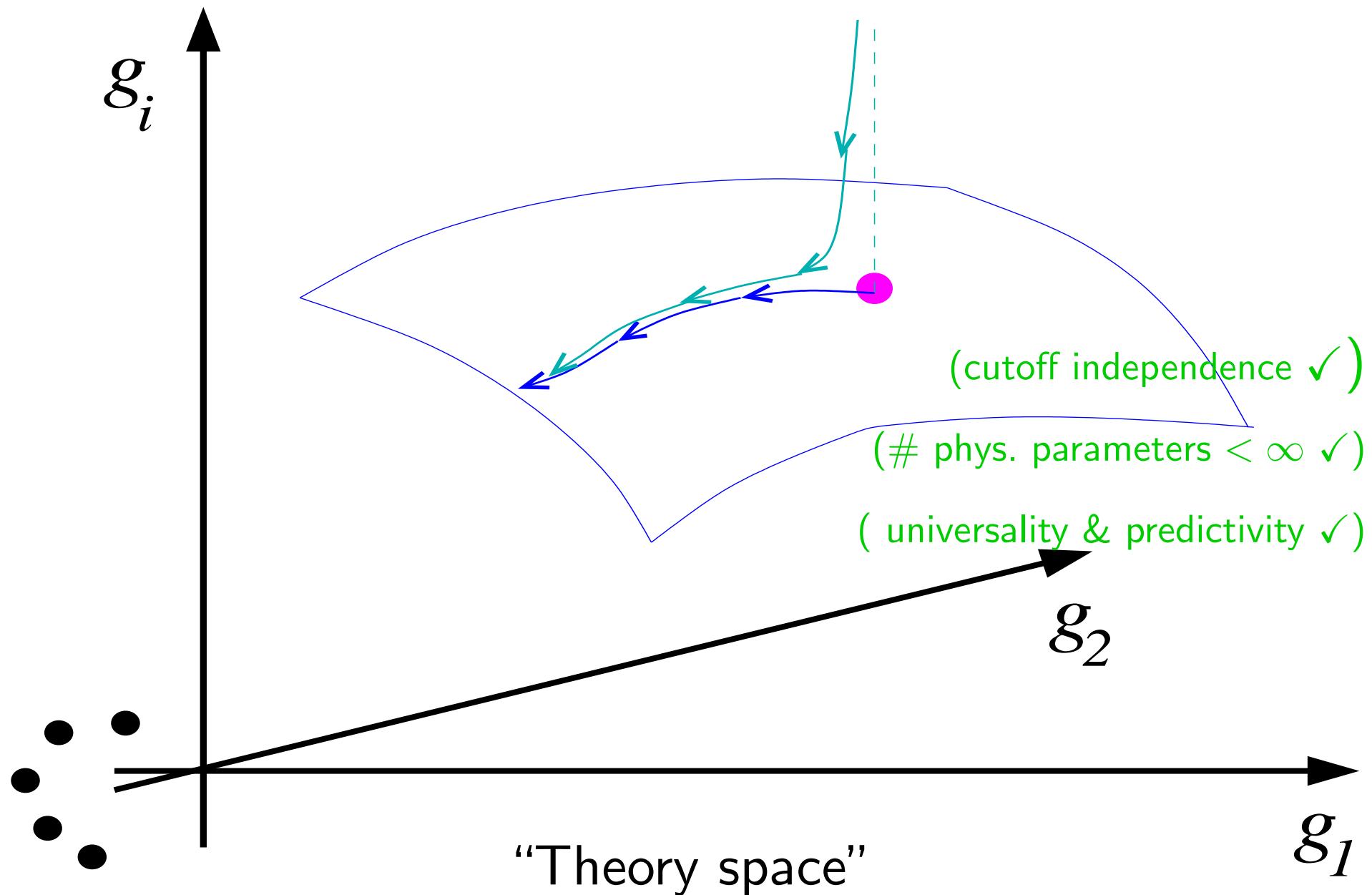
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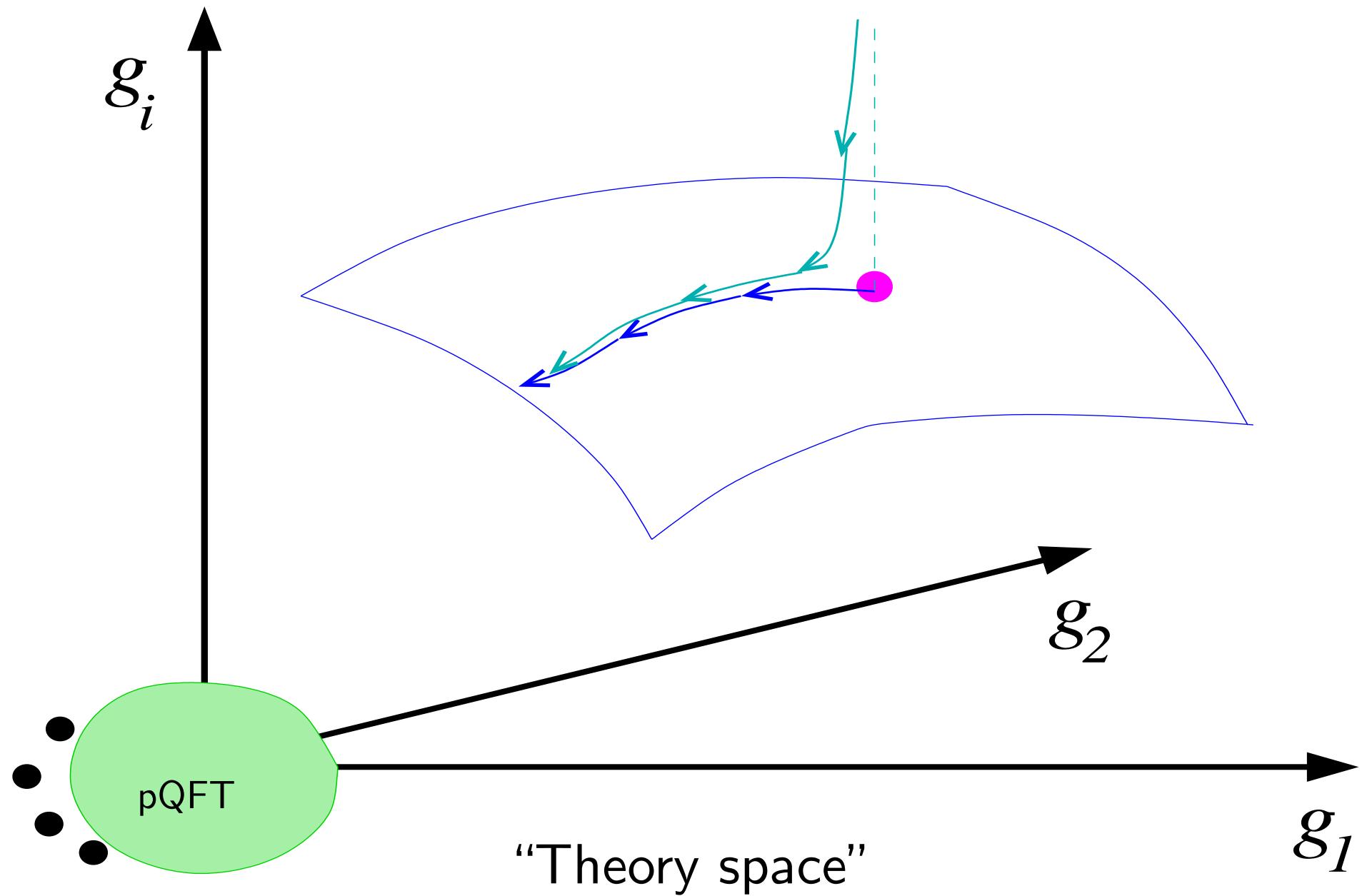
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# An Example

- ▷ Nambu–Jona-Lasinio / Gross-Neveu in  $D = 3$  dimensions,  $[\bar{\lambda}] = -1 \equiv (2 - D)$ :

$$\Gamma_k = \int \bar{\psi} i\partial^\mu \psi + \frac{1}{2} \bar{\lambda} (\bar{\psi} \psi)^2 + \dots$$

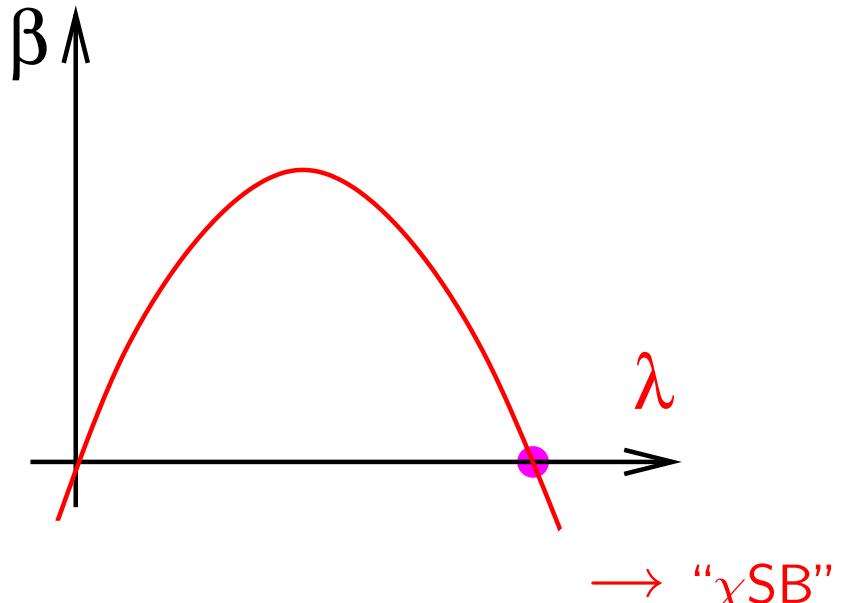
- ▷ flow of the dim'less coupling  $\lambda = k \bar{\lambda}$

$$\beta := k \partial_k \lambda = \underbrace{\epsilon}_{D-2=1} \lambda - c \lambda^2 + \mathcal{O}(\epsilon^2)$$

$\epsilon$  expansion

- ▷ UV fixed point  $\lambda_* = 1/c$

⇒ asymptotically safe



(GAWEDZKI, KUPIAINEN'85; ROSENSTEIN, WARR, PARK'89; DE CALAN ET AL.'91)

## $\epsilon$ -expanded Yang-Mills theory

▷  $D > 4$ : bare coupling  $\bar{g}_{\textcolor{blue}{D}}$  has negative mass dimension  $[\bar{g}_{\textcolor{blue}{D}}] = (4 - \textcolor{blue}{D})/2$ :

$$S = \int_x d^{\textcolor{blue}{D}} x \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a, \quad F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + \bar{g}_{\textcolor{blue}{D}} f^{abc} A_\mu^b A_\nu^c$$

▷  $D - 4 = \epsilon$  expansion, dim'less coupling:  $g^2 \sim k^{\textcolor{blue}{D}-4} \bar{g}_{\textcolor{blue}{D}}^2$  (PESKIN'80)

$$\partial_t g^2 \equiv \beta_{g^2} = (\textcolor{blue}{D} - 4)g^2 - \frac{22N}{3} \frac{g^4}{16\pi^2} + \dots, \quad \partial_t \equiv k \frac{d}{dk}$$

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(GROSS&WILCZEK'73, POLITZER'73)

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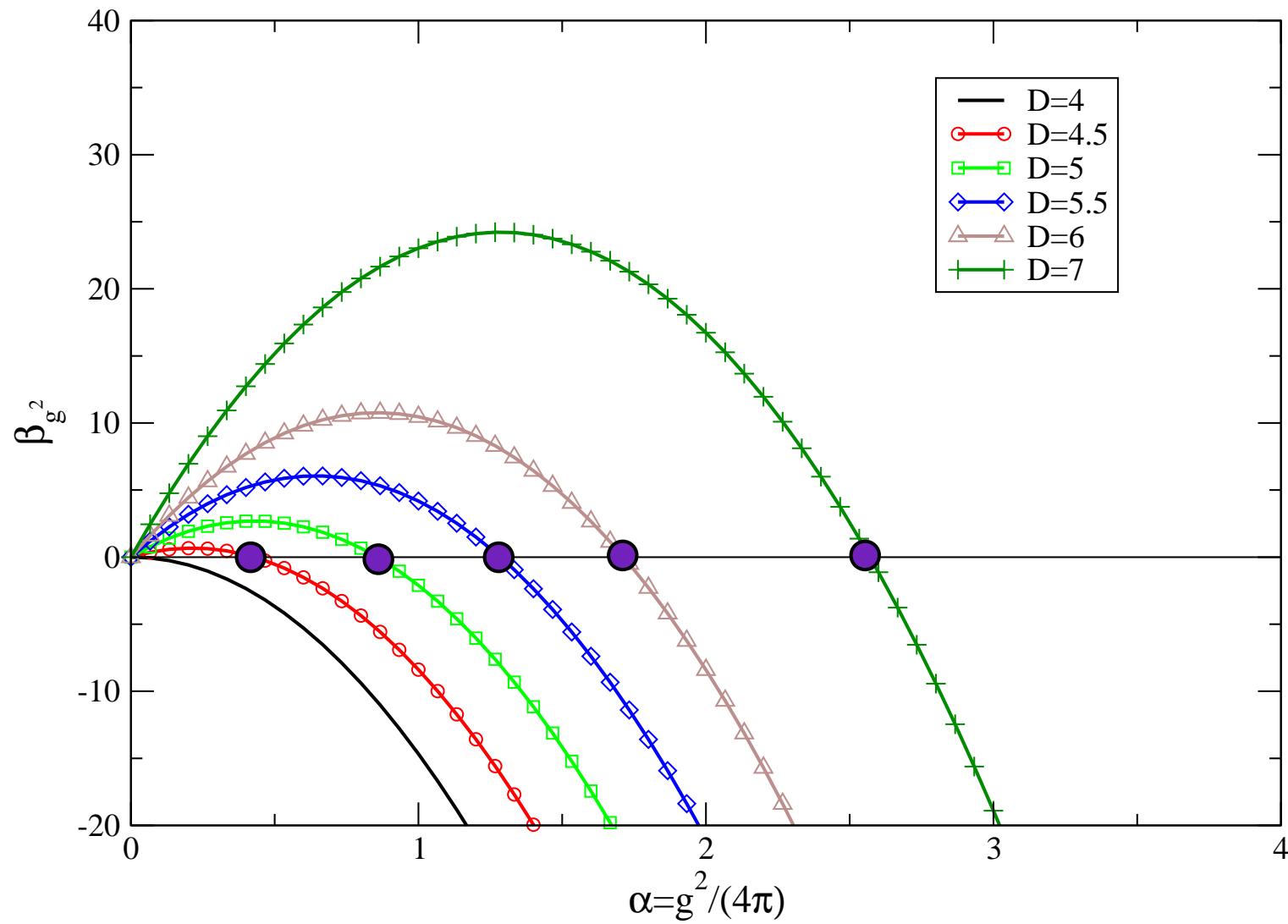
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- ▷ UV fixed point:

$$\textcolor{violet}{g}_*^2 = (24\pi^2/11N)\epsilon$$

for all  $\epsilon \dots ?$

# naive $\epsilon$ expansion



nonperturbative problem for  $\epsilon \gtrsim 1$

# A $D \geq 4$ scenario

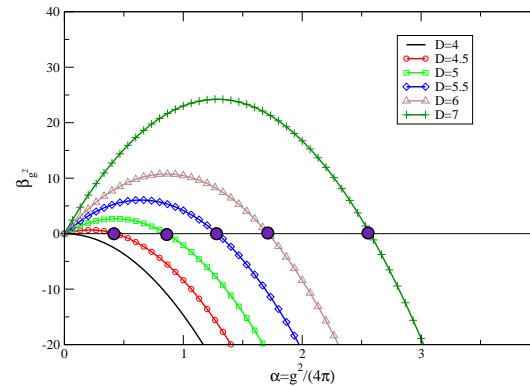
- ▷  $\beta$  function:

$$\partial_t g^2 \equiv \beta_{g^2} = (D - 4)g^2 - \beta_{\text{fluct}}^D(g^2), \quad \beta_{\text{fluct}}^D(g^2) = -b_0^D g^4 + \mathcal{O}(g^6)$$

dimensional running       $\longleftrightarrow$       fluctuational running

- ▷ assume analyticity in  $D$ :

$$\implies \text{UV in } D > 4 \leftrightarrow \text{IR in } D = 4$$



- ▷ **CAVEAT I:** definition dependence of the running coupling

$$\implies \text{running coupling from RG flow of gauge-invariant operators}$$

## A $D \geq 4$ scenario cont'd

- ▷ scale-dependent effective action

$$\Gamma_k = \int \frac{Z_F}{4} F_{\mu\nu}^a F_{\mu\nu}^a + \frac{Y}{2} (D_\mu^{ab} F_{\kappa\lambda}^b)^2 + \frac{W_2}{2} \frac{1}{16} (F_{\mu\nu}^a F_{\mu\nu}^a)^2 + \frac{\tilde{W}_2}{2} \frac{1}{16} (\tilde{F}_{\mu\nu}^a F_{\mu\nu}^a)^2 \dots,$$

- ▷ running coupling:

$$g^2 = k^{D-4} Z_F^{-1} \bar{g}_D^2$$

⇒ UV fixed point in  $g^2$  → renormalizable operator  $\sim F^2$

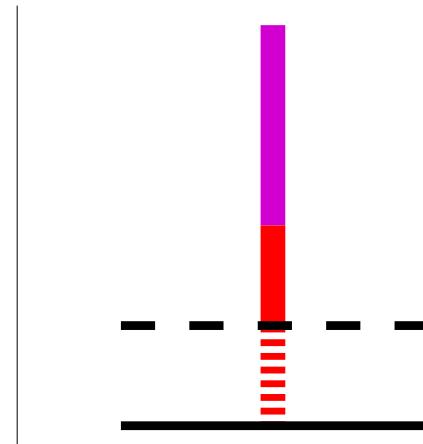
- ▷ **CAVEAT II:** running coupling is regulator-scheme dependent

⇒ mass-dependent regulator scheme required  
for nonperturbative problems  
(e.g. threshold behavior, mass generation, etc.)

# A $D \geq 4$ scenario: $D = 4$ vs. $D > 4$

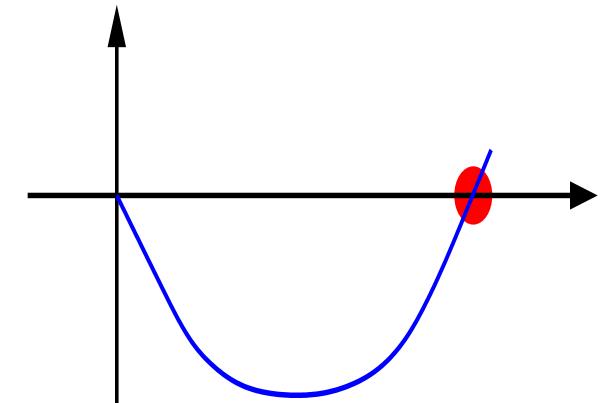
▷  $D = 4$ : Yang-Mills mass gap  $M$

- ⇒ threshold behavior for  $k^2 \ll M$
- ⇒ freeze-out of couplings in the **IR**
- ⇒ **IR** fixed point expected

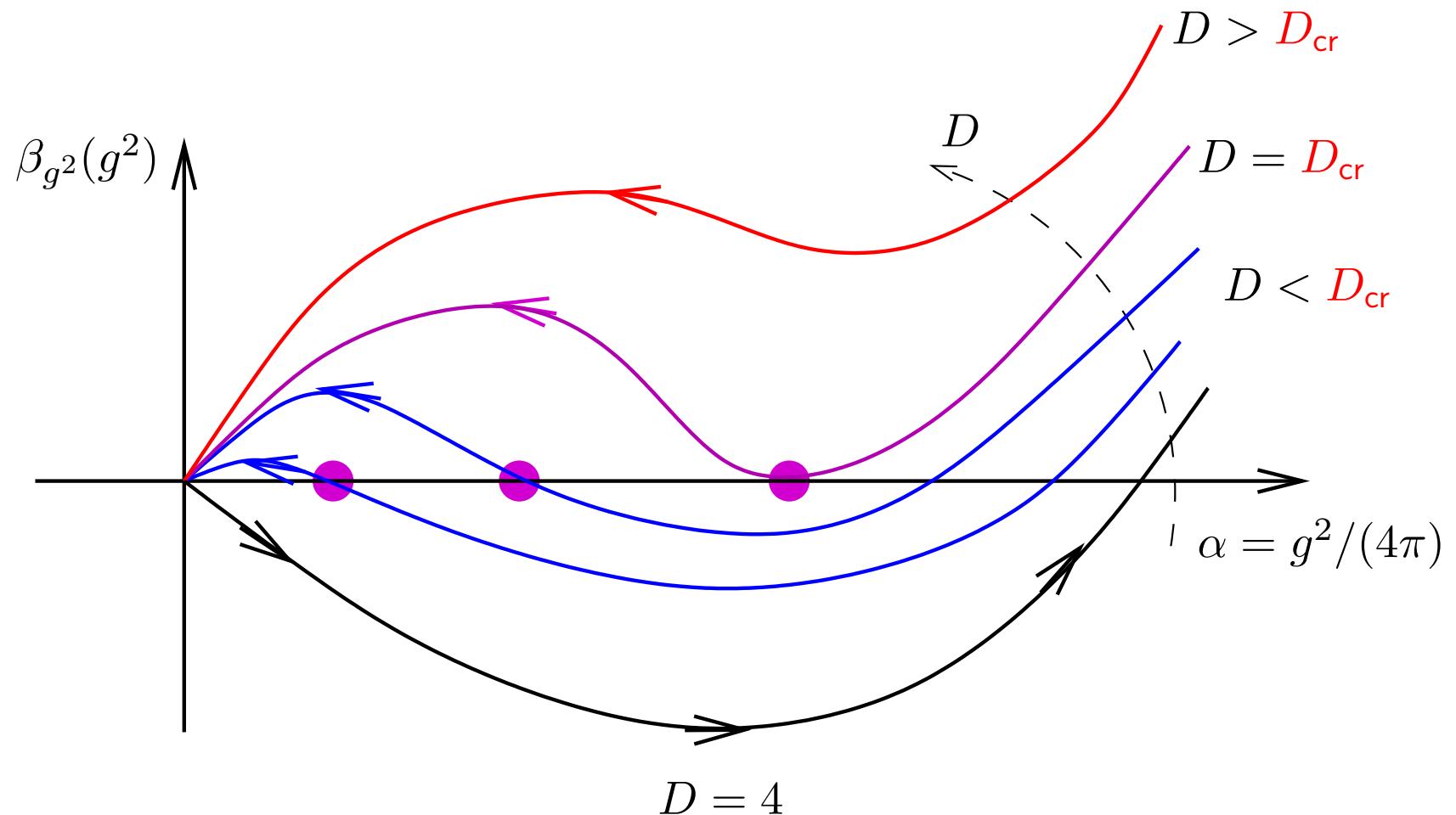


▷ combined evidence for  $D = 4$  SU(3) Yang-Mills theory

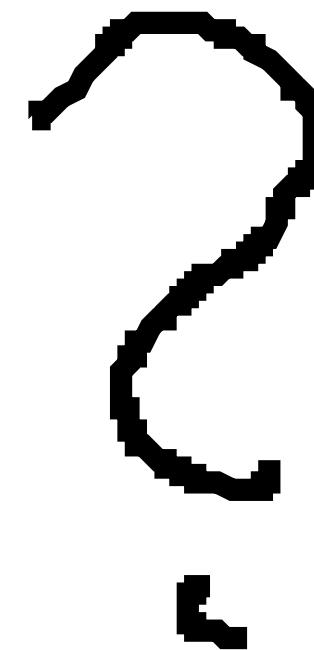
- DSE in Landau gauge:  $\alpha_* \simeq 2.97$   
(LERCHE,SMEKAL'02; ALKOFER,FISCHER'02)
- ERG in Landau gauge:  $\alpha_* \simeq 2.97$   
(PAWLOWSKI ET AL.'04; FISCHER, HG'04)
- lattice in Landau gauge:  $\alpha_* \simeq 2.74$   
(OLIVEIRA,SILVA'04)
- ERG in background gauge:  $\alpha_* \simeq 7.7$   
(HG'02)



## A $D \geq 4$ scenario: $D = 4$ vs. $D > 4$ , cont'd



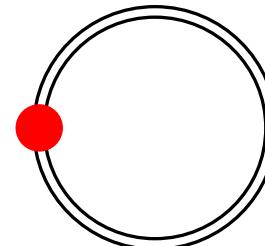
▷  $D$ -analyticity & ( $D = 4$ ) IR behavior  $\implies$  existence of  $D_{\text{cr}}$



## Exact RG Flow Equation

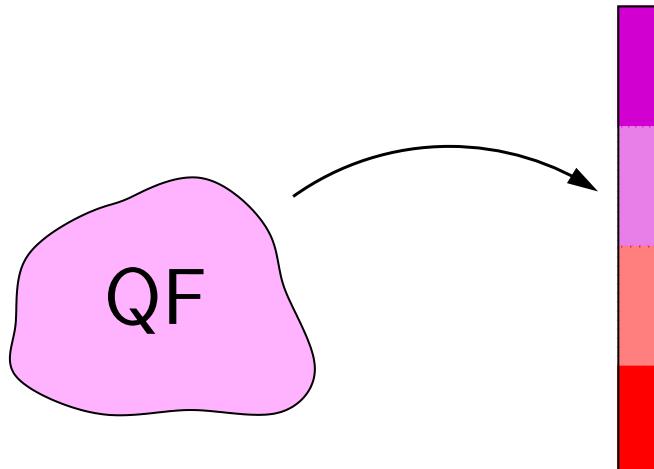
IR:  $k \rightarrow 0$       UV:  $k \rightarrow \Lambda$

$$\partial_t \Gamma_k = \frac{1}{2} \operatorname{Tr} \partial_t R_k (\Gamma_k^{(2)} + R_k)^{-1}$$

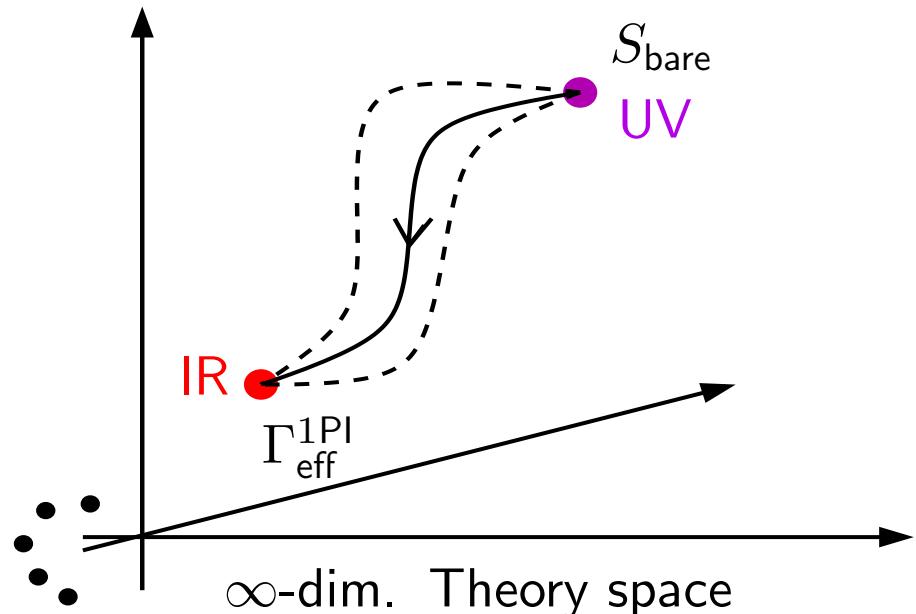


(WETTERICH'93)

▷ quantum fluctuations



▷ RG trajectory:  $\Gamma_{k=\Lambda} = S_{\text{bare}} \rightarrow \Gamma_{k=0} = \Gamma_{\text{eff}}^{\text{1PI}}$



# Operator expansion

- ▷ Standard ghost and gauge-fixing terms
- ▷ Gluonic truncation:  $\Gamma_k[A] = \int d^d x \ W_k(F^2), \quad F^2 \equiv F_{\mu\nu}^a F_{\mu\nu}^a$

$$W_k(F^2) = \frac{Z_F}{4} F^2 + \frac{1}{16} W_2 (F^2)^2 + \frac{1}{3! \cdot 4^3} W_3 (F^2)^3 + \frac{1}{4! \cdot 4^4} W_4 (F^2)^4 + \dots$$

(cf. Savvidy model of confinement)

- ▷ spectrally adjusted flow equation:

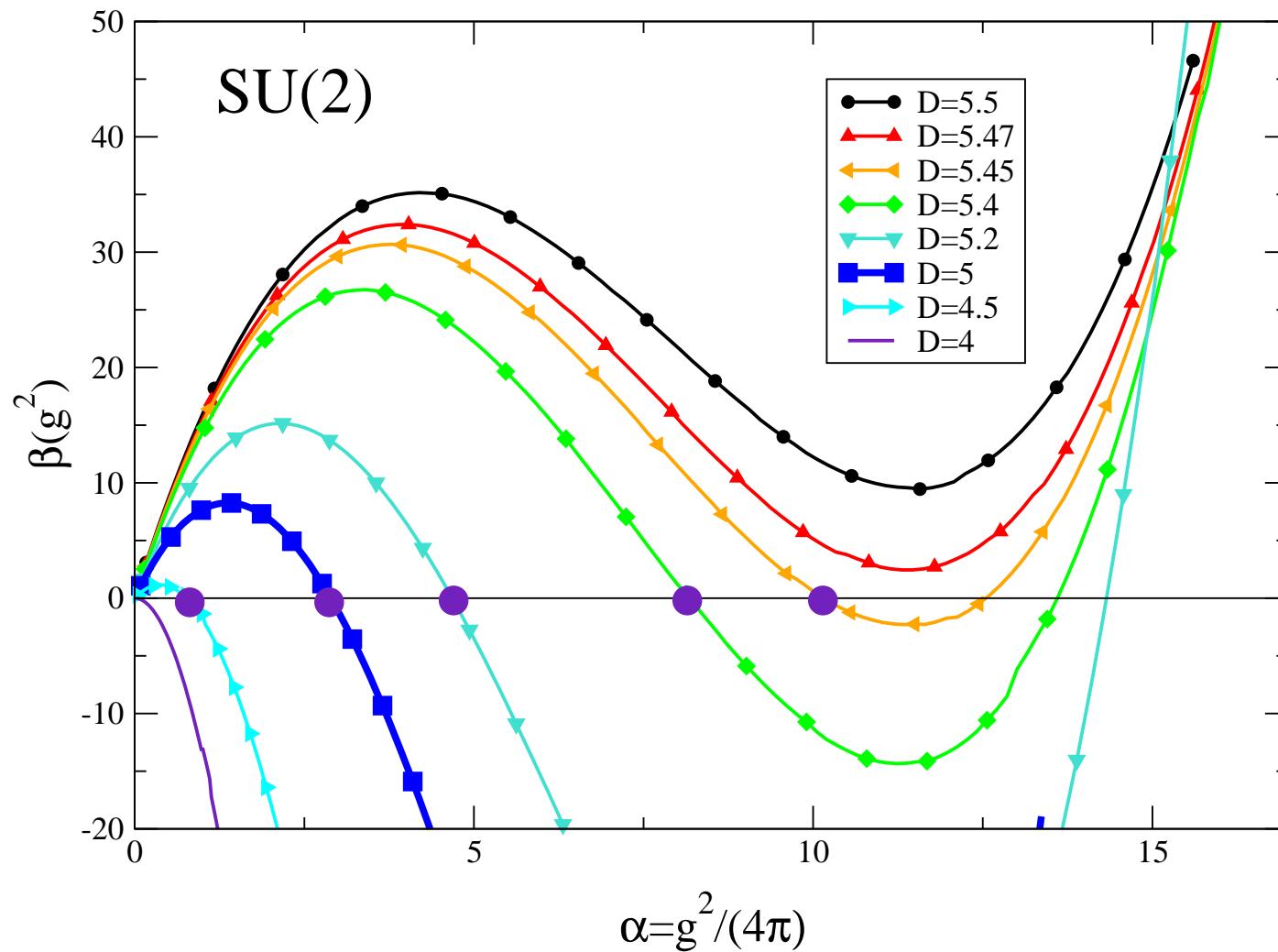
$$\partial_t Z_F \curvearrowleft \partial_t W_2 \curvearrowleft \partial_t W_3 \curvearrowleft \partial_t W_4 \curvearrowleft \partial_t W_5 \dots$$

- ▷ running coupling:  $g^2 = k^{D-4} Z_F^{-1} \bar{g}^2$

- ▷  $\beta$  function: 
$$\boxed{\partial_t g^2 \equiv \beta_{g^2} = (D - 4 + \eta) g^2}$$
  $\eta = -\partial_t \ln Z_F$

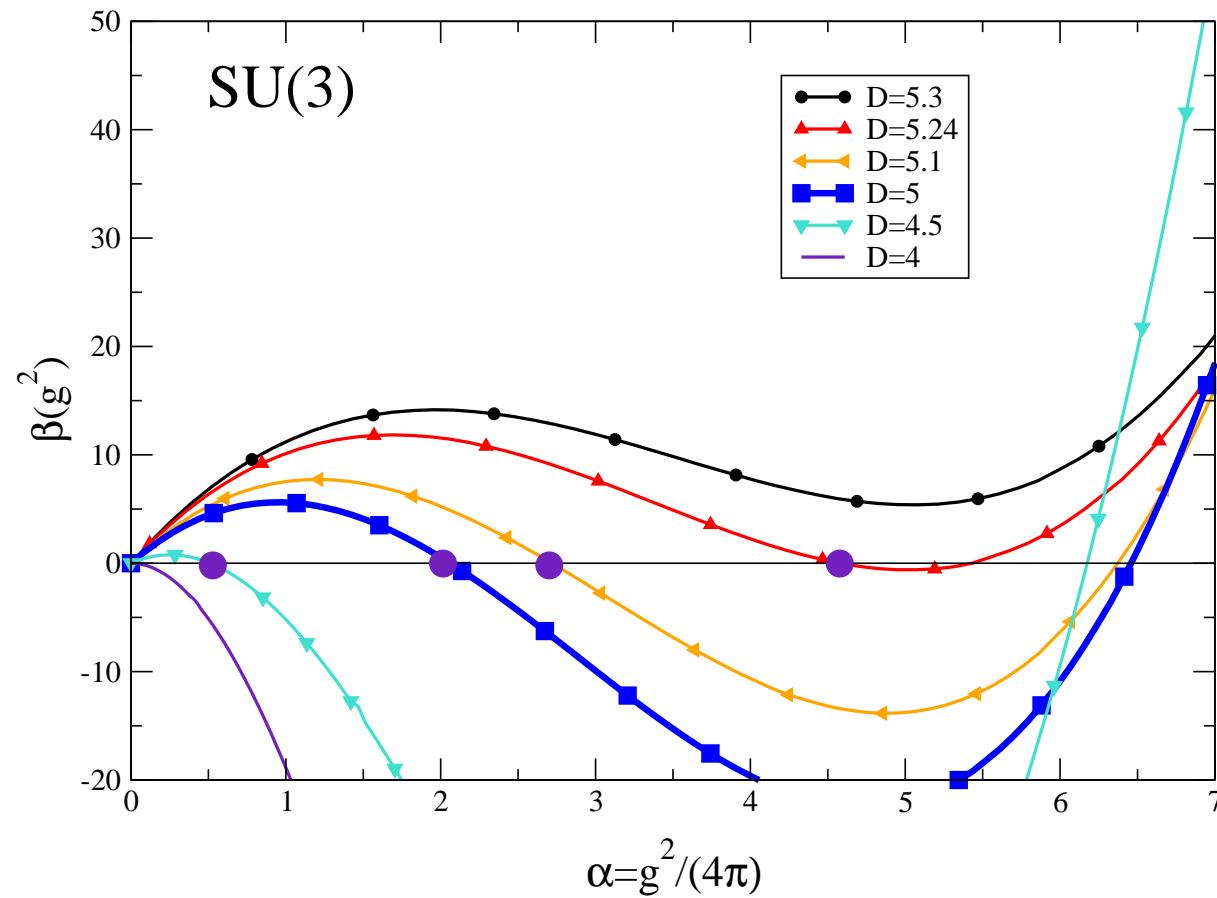
# $\beta$ function for SU(2)

$$D_{\text{cr}} = 5.46$$



# $\beta$ function for SU(3)

$$D_{\text{cr}} = 5.26 \pm 0.01$$



▷ SU(5):  $D_{\text{cr}} = 5.05 \pm 0.05$

# Comments I

$$D_{\text{cr}}^{\text{SU}(2)} \simeq 5.46, \quad D_{\text{cr}}^{\text{SU}(3)} \simeq 5.26 \pm 0.01, \quad D_{\text{cr}}^{\text{SU}(5)} \simeq 5.05 \pm 0.05,$$

▷  $D = 4$  analogue:

upper bound:  $D_{\text{cr}}^{\text{true}} \lesssim D_{\text{cr}}^{\text{trunc}}$

▷ lattice studies

(CREUTZ'79; KAWAI,NIO,OKAMOTO'92; NISHIMURA'96)

$$\text{spin-wave phase} \quad \xrightleftharpoons[1\text{st order}]{} \quad \text{confining phase}$$

⇒ no QFT continuum limit found (with Wilson action)

## Comments II

▷ conservative viewpoint

$$D_{\text{cr}}^{\text{true}} < 5$$

▷ alternative viewpoint

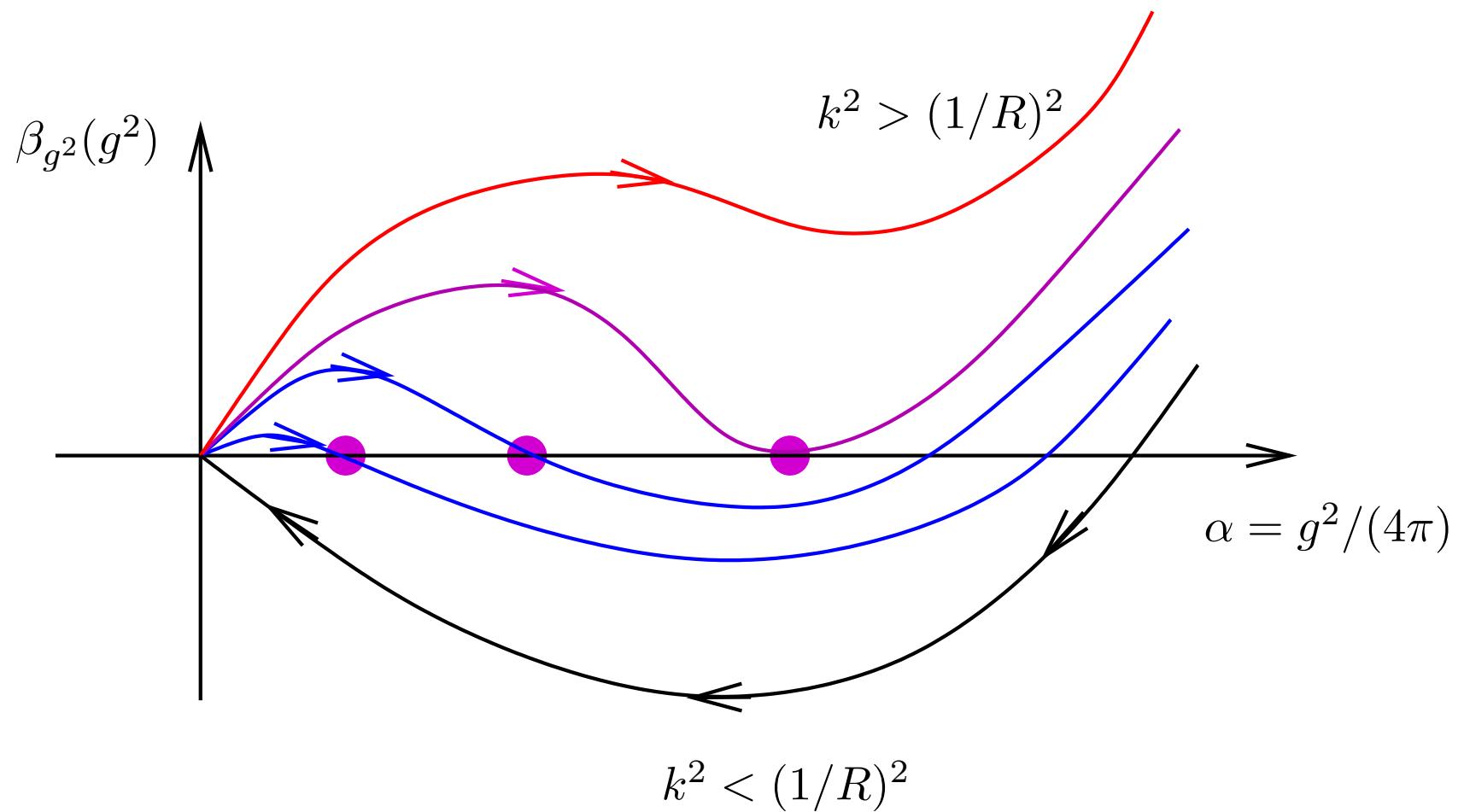
UV fixed point exists, but  $\Delta > 1$

▷ naive inclusion of fermions: 1-loop running

$$\beta_{g^2}^{\text{fermion}} = + \frac{4}{3} N_f \frac{g^4}{16\pi^2} + \dots$$

⇒ with fermions:  $4 < D_{\text{cr}} < 5$

# Compactified extra dimensions ?



# Conclusions

- ➊  $4 \leq D < D_{\text{cr}}$  can be asymptotically safe  
= (nonperturbatively) renormalizable
- ➋  $D_{\text{cr}} \lesssim 5$  for pure Yang-Mills theories  
no asymptotic safety for  $D \geq 6$
- ➌ model building based on (partial) UV fixed points ?  
. . . not recommended

# Coincidence ?

$$D = 4$$

spacetime manifold

$$D \simeq 4$$

RG critical dimension



▷  $\epsilon$  expansion to 4-loop order:

(MORRIS'04)

- UV fixed point exists formally for any  $D > 4$
- $D = 5$ : asymptotic series predictive for <10-loop
- $D \gtrsim 6$ :  $\epsilon$  series in asymptotic regime (loss of predictivity)

# $\beta$ function

- ▷ asymptotic series with  $R_k$ -dependent coefficients  $a_m^D$

$$\eta = \sum_{m=1}^{\infty} a_m^D \left( \frac{g^2}{(4\pi)^2} \right)^m$$

- ▷ perturbative beta function,  $SU(N_c)$ ,  $D = 4$ :

$$\begin{aligned} \beta(g^2) &= -\frac{22N_c}{3} \frac{g^4}{(4\pi)^2} \\ &\quad - \left( \frac{77N_c^2}{3} - \frac{127(3N_c^2 - 2)}{45} f(R_k) \right) \frac{g^6}{(4\pi)^4} + \dots \end{aligned}$$

- ▷ 1 loop: exact

2 loop: 99% for  $SU(2)$ , 95% for  $SU(3)$ ,  
 (for exponential regulator)

1	-29.3333
2	-357.83
3	-191.32
4	15499.6
5	-1.88776 · 10 <sup>6</sup>
6	1.65315 · 10 <sup>7</sup>
7	2.79324 · 10 <sup>9</sup>
8	-1.37622 · 10 <sup>11</sup>
9	-4.21715 · 10 <sup>12</sup>
10	8.60663 · 10 <sup>14</sup>
11	-8.05611 · 10 <sup>16</sup>
12	5.21052 · 10 <sup>19</sup>
13	-6.30043 · 10 <sup>22</sup>
14	9.35648 · 10 <sup>25</sup>
15	-1.78717 · 10 <sup>29</sup>
16	4.35314 · 10 <sup>32</sup>
17	-1.33397 · 10 <sup>36</sup>
18	5.08021 · 10 <sup>39</sup>
19	-2.37794 · 10 <sup>43</sup>
20	1.35433 · 10 <sup>47</sup>