

Determinants, Dirac Operators and One-Loop Physics

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with Abrikosov, Beneventano, Blau, Dettki, Duerr, Falomir, Kirsten
Pisani, Mukhanov, Sachs, Santangelo, Visser, Wiesendanger, Zelnikov, . . .

Heat Kernels in Mathematics and Physics

Blaubeuren

28.11.–2.12. 2006

Selected problems

Useful methods

Boundary conditions for spinor fields

Results for bag-BC

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Selected problems

- ▶ Euclidean gauge theories on compact spaces

$$\begin{aligned} Z[\eta, \bar{\eta}] &= \int \mathcal{D}(A, \psi) e^{-S_{YM} + \int \bar{\psi} i \not{D} \psi + \int \bar{\eta} \psi + \bar{\psi} \eta} \\ &= \sum_{\text{sectors}} \int \mathcal{D}A e^{-\Gamma[A] + \int \bar{\eta} S' \eta} \prod_{k=1}^n (\bar{\eta}, \psi_k) (\bar{\psi}_k, \eta), \end{aligned}$$

- ▶ needed: determinants, Greenfunction, zero-modes, ...

$$\Gamma[A] = S_{YM} - \log \det' i \not{D}, \quad S' = \frac{1}{i \not{D}'}, \quad i \not{D} \psi_k = 0$$

- ▶  thermal boundary conditions \Rightarrow finite temperature QFT

- ▶ solution of 2d gauge theories (T^2 : with I. Sachs)

► **Semiclassical approximation (one-loop)**

Generating functional: $\phi : \mathcal{M} \rightarrow \text{target space}$

$$Z[\mathcal{M}, g, j] = \int \mathcal{D}\phi e^{-S[\phi, g] + (j, \phi)} = e^{W[\mathcal{M}, g, j]}$$

Legendre transform: $W \rightarrow \text{effective action } \Gamma[\mathcal{M}, g, \varphi]$

► scaling behaviour \rightarrow β -function, φ -renormalization ...

$$\Gamma[\lambda\mathcal{M}, \lambda^{d_g} g, \lambda^{d_\varphi} \varphi] = \Gamma[\mathcal{M}, g(\lambda), \sqrt{Z(\lambda)} \varphi]$$

► expand $\phi = \phi_{cl} + \delta\phi$, up to $(\delta\phi)^2 \Rightarrow$

$$\Gamma^{(1)} = S[\varphi] + \frac{\hbar}{2} \log \det S''(\mathcal{M}, g, \varphi)$$

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 + V(\phi) \implies S'' = -\Delta + V''(\varphi)$$

► $\lambda_n(\lambda) = \lambda^{-2} \lambda_n(1)$, HK $\Rightarrow g^{(1)}(\lambda), Z^{(1)}(\lambda), \dots$ (BC)
 (Duerr, Wiesendanger, W.)

► Casimir-effect



free field $H = \sum \omega_n (a_n^\dagger a_n + \frac{1}{2})$

ω_n geometry and field-dependent

Casimir energy $E_{\text{vac}} = \frac{1}{2} \sum_n \omega_n$

better: $\zeta(s) = \sum \omega_n^{-s}$, $E_{\text{vac}} = \frac{1}{2} \zeta(1)$.

→ measured Casimir force

(with Blau, Visser)

► Fractional charges

massless fermions, $i\not{D}\psi_n = \lambda_n\psi_n$.

charge vs spectral asymmetry

$$\eta(s) = \sum \frac{\text{sign}(\lambda_n)}{|\lambda_n|^s}, \quad Q = \eta(0)$$

$\eta(0)$ related to scattering problem

depend on boundary conditions (APS, bag, ...)

(with Forgacs, O'Raiheartaigh, Kirsten, La Plata, Abrikosov)

► Hawking-radiation

scalar field in curved space: **effective action**

$$e^{-\Gamma[g_{\mu\nu}]} = \int \mathcal{D}\phi e^{-S[g_{\mu\nu}, \phi]}$$
$$\langle T_{\mu\nu} \rangle = \frac{2}{\sqrt{g}} \frac{\delta\Gamma[g_{\mu\nu}]}{\delta g^{\mu\nu}}$$

$\langle T_{\mu\nu} \rangle \rightarrow$ **vacuum polarization, Hawking radiation**

► minimally coupled scalar field

$$\Gamma[g_{\mu\nu}] = -\frac{1}{2} \log \det(-\Delta_g)$$

- calculated in $2d$ with HK-method and $g_{\mu\nu}^\alpha = e^{2\alpha\sigma} \delta_{\mu\nu}$
- almost done for s-wave sector in $4d$
heat kernel not sufficient (with **Mukhanov, Zelnikov**)
- $d = 4$ conformally coupled, $g_{\mu\nu}(x) \rightarrow e^{2\alpha\sigma(x)} g_{\mu\nu}(x)$

Useful methods

► Divergences:

second order, elliptic differential operator A in \mathcal{M}

$$\text{Weyl: } \lambda_n(A) \sim c n^{2/d}, \quad d = \dim(\mathcal{M})$$

need regularization (definition) of **divergent objects**

$$\det(A), \quad \det_{d>1} \frac{A}{A_0}$$

► popular and very useful: **heat kernel techniques**

$$\log \det A = -\zeta'_A(0), \quad \zeta_A(s) = \text{Tr} A^{-s} = \sum_n \lambda_n^{-s}$$

$$\zeta_A(s) = \frac{1}{\Gamma(s)} \int_0^\infty dt t^{s-1} \text{Tr} e^{-tA}$$

$$\text{Tr} e^{-tA} = \int_{\mathcal{M}} dx K(t; x, x), \quad K(t; x, y) = \langle x | e^{-tA} | y \rangle$$

- **deformations** \leftrightarrow integrating **anomalies**

$A \equiv A_1 \in \{A_\alpha | \alpha \in [0, 1]\}$, $A_\alpha \psi_n^\alpha = \lambda_{n,\alpha} \psi_n^\alpha$, ortho

$$\begin{aligned} \frac{d\lambda_{n,\alpha}}{d\alpha} &= \lambda_{n,\alpha} \langle \psi_n^\alpha | F | \psi_n^\alpha \rangle \\ \frac{d}{d\alpha} \sum \lambda_{n,\alpha}^{-s} &= -s \sum \lambda_{n,\alpha}^{-s} \langle \psi_n^\alpha | F | \psi_n^\alpha \rangle = -s \operatorname{Tr} (A_\alpha^{-s} F) \\ \frac{d}{d\alpha} \operatorname{Tr} A_\alpha^{-s} &= -\frac{s}{\Gamma(s)} \int t^{s-1} \operatorname{Tr} (e^{-tA_\alpha} F) \end{aligned}$$

- α -variation of $\zeta'_{A_\alpha}(0)$ from **heat kernel coefficients**

$$\operatorname{Tr} e^{-tA_\alpha} F = \frac{1}{(4\pi t)^{\frac{d}{2}}} \left(\sum t^n \int a_n F + \sum t^{n/2} \oint b_{n/2} F \right)$$

heat kernel coefficients $a_{d/2}^\alpha, b_{d/2}^\alpha \Rightarrow \zeta'_{A_1}(0) - \zeta'_{A_0}(0)$
 A_0 sufficiently simple $\Rightarrow \det A$

- $2d$ induced gravity, $2d$ gauge theories, β -functions, ...

► **explicit spectral analysis**

A sufficient simple (1-dimensional, radial, free field, highly symmetric background): calculate λ_n , $\det A$ constant field strength (with **Blau, Visser**)

► **scattering theory, dispersion relations**

⇒ spectral functions vs. scattering data

e.g. $\eta(0) \sim$ **phase shifts**

determinants \sim **Jost functions**

applied to

sphalerons, monopoles, soliton quantization, ...

Boundary conditions (BC) for spinor fields

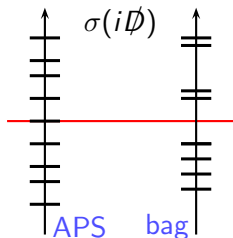
\not{D} on compact $\mathcal{M} \subset \mathbb{R}^{d=2n}$ with boundary $\partial\mathcal{M}$

▶ nonlocal APS-BC

$\{\not{D}, \gamma_*\} = 0 \Rightarrow$ spectrum symmetric, $\gamma_* \propto \gamma^0 \dots \gamma^{d-1}$
 asymmetry only from zero-modes (index theorem)
 no breaking of CS in finite volume and $N_F > 1$

▶ attractive alternative: local bag-BC

$$\psi = B_\theta \psi \text{ on } \partial\mathcal{M}, \quad B_\theta = i\gamma_* e^{\theta\gamma_*} \gamma_n, \quad \gamma_n = n^\mu \gamma_\mu$$



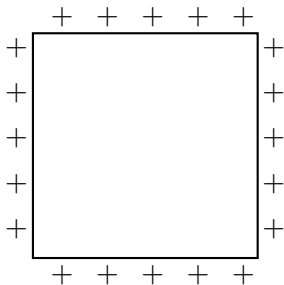
bag boundary conditions: local,
 selfadjoint, strongly elliptic
 spectrum **not symmetric** \Rightarrow
 breaking of γ_* -symmetry
no zero-modes
 no flux quantisation

- ▶ text book formula for **generating functional**

$$Z[\eta, \bar{\eta}] = \int \mathcal{D}A e^{-S_{YM}[A] + \int \bar{\eta} S_{\theta} \eta} \det_{\theta}(i\not{D})$$

determinant and **Greenfunction** θ -dependent
 classical symmetry $\psi \rightarrow e^{\alpha\gamma_*}\psi$, broken by bag-BC

- ▶ **Boundary conditions and symmetry breaking**



Ising model:

\mathbb{Z}_2 broken below T_c
 for symmetric (periodic)
 boundary cond. $\langle \sigma_x \rangle = 0$
 need **trigger:**

- constant magnet field
- \mathbb{Z}_2 -breaking bc

► how to probe broken phase

	spin models	gauge theories
symmetry	$\mathbb{Z}_2 : \sigma_x \rightarrow -\sigma_x$	$U_A(1) : \psi \rightarrow e^{i\alpha\gamma_5} \psi$
order par.	$\langle \sigma_x \rangle$	$\langle \bar{\psi}(x)\psi(x) \rangle$
trigger 1	magnetic field	quark mass
trigger 2	+ bc	bag bc

► parity transformation

$$\lambda_n(\theta, A) = -\lambda_n(-\theta, \tilde{A})$$

constraints on S_θ , $\det_\theta i\mathcal{D}$ and asymmetry

$$\zeta(\theta, A) = \zeta(-\theta, \tilde{A})$$

$$\eta(\theta, A) = -\eta(-\theta, \tilde{A})$$

Results for bag-BC

- ▶ **green function** for $A = 0$ in **spherical bag**

$$S_{\theta}^{(0)}(x, y) = S^{(0)}(x, y) + \frac{\Gamma(n)}{2R\pi^n} \gamma_* e^{\theta\gamma_*} \frac{R^2 - (\gamma, x)(\gamma, y)}{(R^2 - 2xy + \frac{x^2y^2}{R^2})^n}$$

- green function** for $A \neq 0$ in $2d$ spherical bag

$$S_{\theta}(x, y) = G^{\dagger}(A, x) S_{\theta}^{(0)}(x, y) G(A, y)$$

similar results on **cylinders**

- ▶ **heat kernel on cylinder** $\mathcal{M} = [0, \beta] \times [0, L]$

finite temperature: antiperiodic bc in x^0

bag bc in spatial direction

$K(t, x, y)$ explicitly constructed (with **Duerr, Santangelo, Beneventano**)

- η -function of $i\cancel{D}$ in ball

$$\eta(s, i\cancel{D}) = \sum_{\ell=0}^{\infty} \frac{a_{\ell}(d)}{2\pi i} \int_{\Gamma} \frac{d}{dz} \log (H(\theta, zR) - H(-\theta, zR))$$

$$H(\theta, x) = \frac{J_{\nu-1/2}(x) - e^{\theta} J_{\nu+1/2}(x)}{J_{\nu-1/2}(x) + e^{\theta} J_{\nu+1/2}(x)}, \quad \nu = \ell + d - \frac{1}{2}$$

- asymmetry in 2 and 4 dimensions

$$\eta(0, i\cancel{D}) = -\frac{1}{2} \tanh \frac{\theta}{2}$$

$$\eta(0, i\cancel{D}) = \frac{\tanh \frac{\theta}{2}}{6144 \cosh^6 \frac{\theta}{2}} (259 + 344 \cosh \theta + 161 \cosh 2\theta + 16 \cosh 3\theta)$$

odd functions (parity transformation)
(with Kirsten, Kirchberg, Santangelo)

- ▶ **determinants**: deformation technique,

$$\frac{d}{d\theta} \lambda_n = -\lambda_n(\psi_n, \gamma_* \psi_n) \Rightarrow$$

$$\frac{d}{d\theta} \log \det_{\theta}(i\mathcal{D}) = - \int \text{Chern}(F) + \dots$$

induced θ -term

- ▶ **chiral condensates**

for $2d$ Abelian gauge theories on disk and cylinder:

single-flavour QED_2 : condensate, CSB

multi-flavour QED_2 : no condensate, no CSB

QCD_2 with Dirac-fermions: no CSB

bag-BC as good as small quark masses
toy-model calculations much simpler
useful: heat-kernel + deformation methods